Assumptions of Physics Summer School 2025

Introduction to Physical Mathematics

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Main goal of the project

Identify a handful of physical starting points from which the basic laws can be rigorously derived

For example:



Assumptions Physics

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This also requires rederiving all mathematical structures from physical requirements

For example:

Science is evidence based \Rightarrow scientific theory must be characterized by experimentally verifiable statements \Rightarrow topologies and σ -algebras



Standard view of the foundations of physics



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We found:

Experimental verifiability ⇒ topologies and σ-algebras
 Geometrical structures ⇔ Entropic structures
 Hamiltonian evolution ⇔ det-rev/isolation + DOF independence
 Massive particles and potential forces ⇔ * + Kinematic eq

Physical requirements and assumptions drive most of the theoretical apparatus

Goal of physics is to find the true laws of the universe!

Less productive point of view

Goal of physics is to find models that can be empirically tested

More productive point of view



Our view of the foundations of physics



Foundations of physics The theory of physical models



Reverse physics: Start with the equations, reverse engineer physical assumptions/principles

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Goal: find the right overall physical concepts, "elevate" the discussion from mathematical constructs to physical principles

Physical mathematics: Start from scratch and rederive all mathematical structures from physical requirements



Goal: get the details right, perfect one-to-one map between mathematical and physical objects



The fundamental misunderstanding in the foundations of physics



Prevalent attitude among physicists

Math is a just tool for calculation, whose technical details are better left to mathematicians

But we don't develop theories by writing down assumptions and then derive observable consequences in a sequence of theorems and proofs. In physics, theories almost always start out as loose patchworks of ideas. Cleaning up the mess that physicists generate in theory development, and finding a neat set of assumptions from which the whole theory can be derived, is often left to our colleagues in mathematical physics—a branch of mathematics, not of physics.

Sabine Hossenfelder – Lost in Math

Even those that work on the math, they work on it as mathematicians



We use mathematics to specify our models, not just calculations, and specifying physical models is the whole point of physics

Also, there is no single way to "clean up the mess": each axiom and definition represents a choice in mathematical modeling

Those are physical choices, which mathematicians are ill-equipped to make

So we end up with THE WRONG MATH



Examples of unphysical mathematics



In differential geometry, tangent vectors are derivations

$$v: \mathcal{C}^{\infty}(X) \to \mathcal{C}^{\infty}(X)$$



In polar coordinates

$$\partial_r + \partial_\theta = ??$$

[m] [rad]

In phase space

$$\partial_q + \partial_p = ???^*$$
[m] [Kg m s⁻¹]

Doesn't work with units

Mathematically precise \Rightarrow physically precise



Hilbert Quantum states represented by L^2 space

Different observers see finite/infinite expectation

$$y = \tan\left(\frac{\pi}{2}\operatorname{erf}(x)\right)$$
$$\psi(y) = \psi(x)\sqrt{\frac{dx}{dy}}$$

Expectation can have finite-to-infinite oscillations



$$\psi(x) = \sqrt{\frac{e^{-x}}{\sqrt{\pi}}} \qquad \int |\psi|^2 dx = 1$$

$$\rho_{\psi}(x) = \frac{e^{-x^2}}{\sqrt{\pi}} \qquad \langle X^2 \rangle_{\psi} = \frac{1}{2}$$

$$\phi(y) = \sqrt{\frac{1}{\pi(y^2 + 1)}} \qquad \int |\phi|^2 dx = 1$$

$$\rho_{\phi}(y) = \frac{1}{\pi(y^2 + 1)} \qquad \langle Y^2 \rangle_{\phi} \to \infty$$

 $-x^2$

Every continuous linear operator defined on the whole Hilbert space is bounded \Rightarrow position/momentum/energy/number of particles are not defined on the whole Hilbert space!!!





A mathematical definition is **physical** if it captures and only captures an aspect of the physical system



Mathematicians have developed standards of rigor for their discipline

What standard of rigor should we have for physical mathematics?

For the math part, the same as mathematics

What should we do for the physics part?



Informal intuitive statement (something that makes sense to a physicist or an engineer)



- Continuity: the map $+(p, a, b) \rightarrow pa + \bar{p}b$ is continuous (with respect to the product topology of $[0,1] \times \mathcal{E} \times \mathcal{E}$
- Identity: 1a + 0b = a
- Idempotence: $pa + \bar{p}a = a$ for all $p \in [0, 1]$
- Commutativity: $p_{a} + \bar{p}b = \bar{p}b + p_{a}$ for all $p \in [0, 1]$ Associativity: $p_{1}e_{1} + \bar{p}_{1}\left(\overline{\left(\frac{p_{3}}{\bar{p}_{1}}\right)}e_{2} + \frac{p_{3}}{\bar{p}_{1}}e_{3}\right) = \bar{p}_{3}\left(\frac{p_{1}}{\bar{p}_{3}}e_{1} + \overline{\left(\frac{p_{1}}{\bar{p}_{3}}\right)}e_{2}\right) + p_{3}e_{3}$ where $p_{1} + p_{3} \leq 1$

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Formal requirement (something a mathematician will find precise)



- Continuity: the map $+(p, a, b) \rightarrow pa + \bar{p}b$ is continuous (with respect to the product topology of $[0,1] \times \mathcal{E} \times \mathcal{E}$
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Justification. This axiom captures the ability to create a mixture merely by selecting between the output of different processes. Let e_1 and e_2 be two ensembles that represent the output of two different processes P_1 and P_2 . Let a selector S_p be a process that outputs two symbols, the first with probability p and the second with probability \bar{p} . Then we can create another process P that, depending on the selector, outputs either the output of P_1 or P_2 . All possible preparations of such a procedure will form an ensemble. Therefore we are justified in equipping an ensemble space with a mixing operation that takes a real number from zero to one, and two ensembles.

Given that mixing represents an experimental relationship, and all experimental rela-

Informal intuitive statement

(something that makes sense to a physicist or an engineer)

Formal requirement (something a mathematician will find precise)

Show that the formal requirement follows from the intuitive statement



Clear idea of what is being modelled



- Continuity: the map $+(p, a, b) \rightarrow pa + \bar{p}b$ is continuous (with respect to the product topology of $[0,1] \times \mathcal{E} \times \mathcal{E}$
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Given that mixing represents an experimental relationship, and all experimental relationships must be continuous in the natural topology, mixing must be a continuous function. Note that p is a continuously ordered quantity, where no value is perfectly experimentally verifiable, and therefore the natural topology is the one of the reals. This justifies continuity. If n = 1 the output of P will always be the output of P. This justifies the identity

Informal intuitive statement

(something that makes sense to a physicist or an engineer)

Formal requirement (something a mathematician will find precise)

Show that the formal requirement follows from the intuitive statement

Justification uses previous findings



Physical mathematics must start with most basic structures



- Continuity: the map $+(p, a, b) \rightarrow pa + \bar{p}b$ is continuous (with respect to the product topology of $[0,1] \times \mathcal{E} \times \mathcal{E}$
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If p = 1, the output of P will always be the output of P_1 . This justifies the identity property. If P_1 and P_2 are the same process, then the output of P will always be the output of P_1 . This justifies the idempotence property. The order in which the processes are given does not matter as long as the same probability is matched to the same process. The process P is identical under permutation of P_1 and P_2 . This justifies commutativity. If we are mixing three processes P_1 , P_2 and P_3 , as long as the final probabilities are the same, it does not matter if we mix P_1 and P_2 first or P_2 and P_3 . This justifies associativity.

Informal intuitive statement

(something that makes sense to a physicist or an engineer)

Formal requirement (something a mathematician will find precise)

Show that the formal requirement follows from the intuitive statement



Properties justified by understanding the model



- Continuity: the map $+(p, a, b) \rightarrow pa + \bar{p}b$ is continuous (with respect to the product topology of $[0,1] \times \mathcal{E} \times \mathcal{E}$
- *Identity*: 1a + 0b = a
- Idempotence: $pa + \bar{p}a = a$ for all $p \in [0, 1]$
- Commutativity: $pa + \bar{p}b = \bar{p}b + pa$ for all $p \in [0, 1]$ Associativity: $p_1e_1 + \bar{p}_1\left(\overline{\left(\frac{p_3}{\bar{p}_1}\right)}e_2 + \frac{p_3}{\bar{p}_1}e_3\right) = \bar{p}_3\left(\frac{p_1}{\bar{p}_3}e_1 + \overline{\left(\frac{p_1}{\bar{p}_3}\right)}e_2\right) + p_3e_3$ where $p_1 + p_3 \le 1$

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There is no question as to what the math describes

The properties are justified by, are a consequence of, what the model describes

Every math proof can be understood physically

 \Rightarrow The math describes and only describes physically meaningful concepts

It's physical mathematics

Informal intuitive statement

(something that makes sense to a physicist or an engineer)

Formal requirement (something a mathematician will find precise)

Show that the formal requirement follows from the intuitive statement



The goal of physical mathematics is to recover ALL mathematical structures used in physics from clear physical requirements

Clarify realm of applicability of each mathematical structure

Perfect map between math and physics

Provide a generalized structure for all physical theories

It's a better way to do physics

It forces you to think a lot deeper about physics, what it means to have an experimentally based theory, what it means to define a state, what is entropy or energy, ...

It's not just a "math thing"





Takeaway

- It is possible to define the starting points of our physical theories so that they are both mathematically precise and physically meaningful (and philosophically consistent)
- Physical mathematics: mathematical structures justified by the physics
 - Justifications provide a new standard of rigor for physical theories
- Only mathematical structures that are justified by unavoidable physical requirements can serve as truly foundational structures
 - All physical theories must satisfy those requirements
- \Rightarrow Foundations of physics is not "guessing" what the physical world is "made of," but articulating in a precise way what physical theories are



Logic of experimental verifiability \downarrow topologies and σ -algebras



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Principle of scientific objectivity. Science is universal, non-contradictory and evidence based.

\Rightarrow Science is about statements that are associated to experimental tests



Axioms of logic

Axiom 1.2 (Axiom of context). A statement s is an assertion that is either true or false. A logical context S is a collection of statements with well defined logical relationships. Formally, a logical context S is a collection of elements called statements upon which is defined a function truth : $S \to \mathbb{B}$.

Axiom 1.4 (Axiom of possibility). A possible assignment for a logical context S is a map $a: S \to \mathbb{B}$ that assigns a truth value to each statement in a way consistent with the content of the statements. Formally, each logical context comes equipped with a set $\mathcal{A}_S \subseteq \mathbb{B}^S$ such that truth $\in \mathcal{A}_S$. A map $a: S \to \mathbb{B}$ is a possible assignment for S if $a \in \mathcal{A}_S$.

Axiom 1.9 (Axiom of closure). We can always find a statement whose truth value arbitrarily depends on an arbitrary set of statements. Formally, let $S \subseteq S$ be a set of statements and $f_{\mathbb{B}} : \mathbb{B}^S \to \mathbb{B}$ an arbitrary function from an assignment of S to a truth value. Then we can always find a statement $\bar{s} \in S$ that depends on S through $f_{\mathbb{B}}$.

Lead to standard logic (i.e. Boolean algebra)

two-valued logic

Axioms of verifiability

Axiom 1.27 (Axiom of verifiability). A verifiable statement is a statement that, if true, can be shown to be so experimentally. Formally, each logical context S contains a set of statements $S_v \subseteq S$ whose elements are said to be verifiable. Moreover, we have the following properties:

- every certainty $T \in S$ is verifiable
- every impossibility $\perp \in S$ is verifiable
- a statement equivalent to a verifiable statement is verifiable

Axiom 1.31 (Axiom of finite conjunction verifiability). The conjunction of a finite collection of verifiable statements is a verifiable statement. Formally, let $\{s_i\}_{i=1}^n \subseteq S_v$ be a finite collection of verifiable statements. Then the conjunction $\bigwedge_{i=1}^n s_i \in S_v$ is a verifiable statement.

Axiom 1.32 (Axiom of countable disjunction verifiability). The disjunction of a countable collection of verifiable statements is a verifiable statement. Formally, let $\{s_i\}_{i=1}^{\infty} \subseteq S_v$ be a countable collection of verifiable statements. Then the disjunction $\bigvee_{i=1}^{\infty} s_i \in S_v$ is a verifiable statement.

Lead to intuitionist logic (i.e. Heyting algebra)

three-valued logic



Axiom 1.27 (Axiom of verifiability). A verifiable statement is a statement that, if true, can be shown to be so experimentally. Formally, each logical context S contains a set of statements $S_v \subseteq S$ whose elements are said to be verifiable. Moreover, we have the following properties:

- every certainty $\top \in S$ is verifiable
- every impossibility $\bot \in S$ is verifiable
- a statement equivalent to a verifiable statement is verifiable

Remark. The **negation or logical NOT** of a verifiable statement is not necessarily a verifiable statement.

S	<i>s</i> ₁	Test Result		
$\left(\cdot \left(\cdot \right) \right)$	Т	SUCCESS (in finite time)		
	F	FAILURE (in finite time)		$\langle \rangle$
$\langle s_1 \rangle$	г	UNDEFINED		XX
experimental test		Tests are not part of the formal system		
				https://assumptionsofphysics.org/ /

Assumptions Physics **Axiom 1.31** (Axiom of finite conjunction verifiability). The conjunction of a finite collection of verifiable statements is a verifiable statement. Formally, let $\{s_i\}_{i=1}^n \subseteq S_v$ be a finite collection of verifiable statements. Then the conjunction $\bigwedge_{i=1}^n s_i \in S_v$ is a verifiable statement.

Conjunction (AND) of verifiable statements: check that all tests terminate successfully

⇒ Only finite conjunction is guaranteed to terminate



- $\wedge (e_i)$:
- 1. Run all e_i
- 2. If all succeed, return SUCCESS
- 3. Return FAILURE



Axiom 1.32 (Axiom of countable disjunction verifiability). The disjunction of a countable collection of verifiable statements is a verifiable statement. Formally, let $\{s_i\}_{i=1}^{\infty} \subseteq S_v$ be a countable collection of verifiable statements. Then the disjunction $\bigvee_{i=1}^{\infty} s_i \in S_v$ is a verifiable statement.

Disjunction (OR) of verifiable statements: check that ONE test terminates successfully

watch out for non-termination!

 \Rightarrow Only countable disjunction can reach all tests



One successful test is sufficient

- $\vee (e_i)$:
- 1. Initialize *n* to 1
- 2. For each i = 1 ... n
 - a) Run e_i for n seconds
 - b) If e_i succeeds, return SUCCESS
- 3. Increment *n* and go to 2



Definition 1.34. Given a set \mathcal{D} of verifiable statements, $\mathcal{B} \subseteq \mathcal{D}$ is a **basis** if the truth values of \mathcal{B} are enough to deduce the truth values of the set. Formally, all elements of \mathcal{D} can be generated from \mathcal{B} using finite conjunction and countable disjunction.

Definition 1.35. An experimental domain \mathcal{D} represents a set of verifiable statements that can be tested and possibly verified in an indefinite amount of time. Formally, it is a set of statements, closed under finite conjunction and countable disjunction, that includes precisely the certainty, the impossibility, and a set of verifiable statements that can be generated from a countable basis.



Definition 1.36. The theoretical domain $\overline{\mathcal{D}}$ of an experimental domain \mathcal{D} is the set of statements constructed from \mathcal{D} to which we can associate a test regardless of termination. We call theoretical statement a statement that is part of a theoretical domain. More formally, $\overline{\mathcal{D}}$ is the set of all statements generated from \mathcal{D} using negation, finite conjunction and countable disjunction.

Extend the domain to include all statements that are associated with a test, regardless of termination.

All statements depend on the verifiable statements (which depend on the basis)

No new information is captured



Definition 1.47. A possibility for an experimental domain \mathcal{D} is a statement $x \in \overline{\mathcal{D}}$ that, when true, determines the truth value for all statements in the theoretical domain. Formally, $x \not\equiv \bot$ and for each $s \in \overline{\mathcal{D}}$, either $x \leq s$ or $x \neq s$. The **full possibilities**, or simply the **possibilities**, X for \mathcal{D} are the collection of all possibilities.

A possibility of a domain is a statement that picks one assignment

Possibilities: experimentally defined alternative cases defined by the verifiable cases

Proposition 1.48. Let \mathcal{D} be an experimental domain. A possibility for \mathcal{D} is any minterm of a basis that is not impossible.







Maximum cardinality of distinguishable cases Each point identified by truth of Set of distinguishable cases Correspond to binary expansion countably many verifiable stmts 0.0100011101011... FTFFFTTTFTFTT Most we can test over TFFTTFTTFFFTF... 0.1001101100010... arbitrarily long time FTFFFTTFTFFTF... 0.0100011010010... FTTFTFTTFTFFT... 0.0110101101001... 0 Correspondence to binary sequence 0100011101011... 1001101100010... 0100011010010... 0110101101001... • Sets with greater cardinality (e.g. the set of all discontinuous functions from \mathbb{R} to \mathbb{R}) cannot represent physical objects

 Issues about higher infinities (e.g. large cardinals) are not relevant, but those surrounding the continuum hypothesis may be

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Assumptions Physics

Power set vs Borel algebra

Using the set $2^{\mathbb{R}^n}$ of all possible subsets for \mathbb{R}^n is problematic

Notion of size (i.e. measure) cannot be defined on all sets

Using non-measurable sets leads to the Banach-Tarski paradox



wikipedia

These problems are avoided if we restrict ourselves to Borel sets

 \Rightarrow If we restrict ourselves to experimentally definable objects, these paradoxes are avoided

Physical mathematics can give insight to these foundational issues in mathematics

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An inference relationship is a map $\mathscr{V}: \mathscr{D}_Y \to \mathscr{D}_X$ such that $\mathscr{V}(s) \equiv s$



Why functions are well-behaved

CO2

Phase

Phase transition regions are experimentally decidable \Leftrightarrow Topologically isolated regions





Topological continuity \neq Analytical continuity

We can verify we are at the triple point

We measure the equilibrium of three phases, not the pressure/temperature

Before 2019, the triple point of water was used to define the kelvin, the base unit of thermodynamic System of Units (SI).^[3] The kelvin was defined so that the triple point of water is exactly 273.16 K, but that changed with the 2019 revision of the SI, where the kelvin was redefined so that the Boltzmann constant is exactly 1.380 649 × 10⁻²³ J·K⁻¹, and the triple point of water became an experimentally measured constant

from https://en.wikipedia.org/wiki/Triple point

Analytical discontinuity can only happen in regions that are experimentally decidable



Physics

Takeaway

- Requiring experimental verifiability in a physical theory leads to topologies and $\sigma\text{-algebras}$
 - Open sets correspond to verifiable statements, continuous functions preserve experimental verifiability, Borel sets correspond to statements associated with tests, ...
- All proofs can be understood as describing arguments on experimental verifiability
 - Limits (truth sequences of verifiable statements become constants), topological distinguishability (experimental distinguishability), interior/exterior/boundary (verifiable/falsifiable/undecidable), ...
- Further constructions become more meaningful
 - Probability measure defined on σ -algebra: we assign probability to statements with a test; topological groups: transformations we can experimentally identify/define; ...

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Assumptions Physics

It is possible to develop a foundation of physics that is both mathematically rigorous and physically meaningful

The mathematical definitions ARE the physical requirements and assumptions



No issues of "interpretations"

Clear realm of applicability of mathematical tools



There is no "just math"

Either the math represents physical objects, then it's describing physics Or it doesn't, and therefore it should be stripped away from the physical theory

Only by understanding the full details of the math and physics (and philosophy) can you make that determination

If you do not know what the well-ordering of the reals is, you are precisely a person that cannot determine whether it is physically significant or not



Wrapping it up

- Assumptions of Physics: different approach to the foundations of physics
 - No interpretations, no theories of everything: physically meaningful starting points from which we can rederive the laws and the mathematical frameworks they need
 - Physical theories are models
 - Need to clarify exactly what the realm of applicability of each model is
- Physical mathematics: derive the math required from physical requirements
 - In physics, mathematics is used to model physical systems, therefore we need mathematics that is designed specifically for that purpose
- You need to start at the lowest level of mathematics
 - Rigor, precision, meaning, correctness cannot be "sprinkled on top"
 - "Big systems that work evolve from small systems that work, never from big systems that do not work" (Gall's law)



To learn more

- Project website
 - <u>https://assumptionsofphysics.org</u> for papers, presentations, ...
 - https://assumptionsofphysics.org/book for our open access book (updated every few years with new results)
- YouTube channels
 - https://www.youtube.com/@gcarcassi Videos with results and insights from the research
 - https://www.youtube.com/@AssumptionsofPhysicsResearch Research channel, with open questions and livestreamed work sessions
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