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# Assumptions of physics

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**This book is a work in progress.** This draft is a development copy built on July 7, 2019. You can get the latest version from <http://assumptionsofphysics.org/book>. It is provided as-is for the purpose of early review and feedback.

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# Preface

The main point you should be aware before reading this work at this stage is that it is a work in progress and it will be for a few years to come. If you only want to read the definitive version, you will have to wait.

## Aim

The aim of this work is to answer the following question: what do the laws of physics represent? What do they describe? Under what conditions are they valid? The way we approach the problem is to find the minimal set of physical assumptions that are necessary and sufficient to derive them. If we are able to do so, then we know that all that the laws of physics say is encoded in those assumptions.

By doing this, we also receive a great deal of new insights into the physics, the math and the philosophy of both. We get a more precise sense why some mathematical structures are pervasive in science and we can finally understand what they are meant to represent. We clear up misconceptions. The downside is that we have to touch many subjects in math (logic, topological spaces, measure theory, group theory, vector spaces, differential geometry, symplectic geometry, statistics, probability theory, ...), physics (Hamiltonian mechanics, Lagrangian mechanics, thermodynamics, quantum mechanics, electromagnetism, ...) and science in general (computer science theory, information theory, system theory, ...).

While the topic is necessarily inter-disciplinary, this is still first and foremost a scientific book. The material should be accessible to the mathematician and philosopher, but understand that it needs to resonate first and foremost with the physicist and the engineer. The mathematical definitions and derivations are there to make the science precise, but they are not the main focus. In fact, the book is designed so that the mathematical definitions and proofs, highlighted with a green side bar, can be skipped altogether without loss of the big picture and the important details. Along the same line, the foundational discussions are there to articulate more precisely what it means to do science, so they will not indulge in other questions which may be of interest to the philosopher but not to the scientist.

## Release early, release often

While it may be unusual in academia to circulate work in such early stages, it is established practice in open source/free software communities. For this project to be successful we need to pool expertise and ideas from a wide range of disciplines, therefore it is useful for us to work as openly as possible to foster reviews and discussions from people with significantly different

backgrounds and at different stages of expertise. This means the content may change, even significantly, as problems are discovered and addressed.

We understand that this way of operating is not for everybody. To those who are not interested in seeing or participating in the development of the ideas, we suggest to wait for the final edition which, however, is years away. To the others, we encourage you to get familiar with the work and style and hope we may find points of collaboration to help push it forward.

## Overview

In broad strokes, the work will be divided into five sections, each identifying and expanding on a single idea.

- The principle of scientific objectivity: “Science is universal, non-contradictory and evidence based.” From this we’ll derive the basic requirement for scientific investigation that has to be present no matter what. We will have statements of fact and a way to verify their truth experimentally. Mathematically, this requirement will be captured by topologies and sigma-algebras. We will also have distributions and, in particular, statistical distributions. Mathematically, this will require our topological spaces to be differentiable, to be equipped with a canonical measure and that each distribution be a differentiable function defined on the aforementioned differentiable structure and measure.
- The assumption of determinism and reversibility: “The system under study undergoes deterministic and reversible evolution.” From this we’ll define the idea of a state and a law of evolution. Mathematically, the physical properties of the system determine which category is used to describe the state space and deterministic and reversible evolution will be an isomorphism in the category (i.e. a bijective map that preserves the physical properties of the system).
- The assumption of infinitesimal reducibility: “Knowing the state of the whole system is equivalent to knowing the state of all its infinitesimal parts.” For example, we can study the motion of a cannonball, but we can also mark a spot in red and study the motion of the mark. Knowing the evolution of the whole cannonball means knowing the evolution of any arbitrary spot and vice-versa. Mathematically, the state of the whole will be a distribution over the state space of the parts. It will need to be a distribution whose value is invariant under coordinate transformations. The state space of the infinitesimal parts, then, comes equipped with an invariant two-form upon which we can define such a distribution. The state space is therefore a symplectic manifold and the deterministic and reversible evolution is a symplectomorphism. That is: the infinitesimal parts follow classical Hamiltonian mechanics. Proper handling of the time variable will give us a relativistic version of the framework without extra assumptions.
- The assumption of irreducibility: “Knowing the state of the whole system tells us nothing about its infinitesimal parts.” For example, we can study the state of an electron by scattering photons off of it. But whenever a photon interacts with the electron, it interacts with the whole electron. There is no way to mark a part of an electron and study it independently from the rest. Mathematically, the state of the electron will be a distribution that evolves deterministically where the motion of each infinitesimal part

evolves randomly. A complex vector space will be the appropriate tool to keep track of the internal chaotic motion leading to quantum mechanics.

- The assumption of kinematic equivalence: “Knowing the state of the system is equivalent to knowing its trajectory and vice-versa.” This means that we’ll have to be able to transfer a distribution over state variables (i.e. position and momentum) into a distribution over kinematic variables (i.e. position and velocity). Mathematically, the symplectic two-form will induce a symmetric tensor over the tangent space for position. This will give us a metric and will also allow us to reformulate the laws of motion according to Lagrangian mechanics. Because the transformation is linear, we are able to constrain the Hamiltonian to the one for massive particles under scalar and vector potential forces.

## Changelog

2018/06/22: Ver 0.1 - Consolidated first two chapters that lay the foundation for the general theory.

2019/02/22: Ver 0.2 - Consolidated third chapter on properties, quantities and ordering.

2019/07/07: Ver 0.3 - Reviewed first two chapters to clarify the idea of possible assignments and how contexts for function spaces are constructed.



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# Chapter 1

## Verifiable statements and experimental domains

In this chapter we lay the foundations for our general mathematical theory of experimental science: a formalism that is broad enough to be applied to any area of scientific investigation. It is based on the idea of **verifiable statements**, assertions that are either true or false and that can be validated experimentally. Whether it is physics or chemistry, economics or psychology, medicine or biology, the goal is always to find some objective truth about the natural world that is supported by experimental data.

We group verifiable statements into **experimental domains** which represent the list of all possible testable answers to a particular scientific question. From those, we define **theoretical domains** which add those statements that, though not directly testable, can be used to describe predictions. Within each theoretical domain, we find those particular statements that, if true, predict the truthfulness or falsehood of all other statements. We call these the **possibilities** of the domain as they identify the complete descriptions that are admissible. To answer a scientific question, then, is to find which possibility is consistent with experimental data: the one that correctly predicts the result of all experimental tests.

We'll see how the above organization always exists on any given set of verifiable statements. That is, it is a fundamental structure for all sciences. We'll also see that these concepts are deeply intertwined with fundamental mathematical tools: experimental domains map to topologies while theoretical domains map to  $\sigma$ -algebras. These two core mathematical structures provide the foundation for differential geometry, Lie algebras, measure theory, probability theory and other mathematical branches that are heavily used in physics and other sciences.

As a consequence of this connection, we can build a more precise, intuitive and insightful understanding of what these mathematical structures are meant to represent in the scientific world. It also reveals why these mathematical tools are so pervasive and successful in science.

### 1.1 Statements

Statements, like “*the mass of the electron is  $511 \pm 0.5$  keV*” or “*that animal is a cat*”, will be the cornerstone of our general mathematical theory of experimental science. In this section we will outline the basic definitions that allow us to combine statements into other statements (e.g. “*that animal is black*” and “*that animal a cat*” gives “*that animal is a black cat*”) and

to compare their content (e.g. “*the mass of the electron is  $511 \pm 0.5$  keV*” and “*the mass of the electron is  $0.511 \pm 0.0005$  MeV*” are equivalent).

We will start from a somewhat different starting point than what is customary in order to address a few issues. The first is that we need to develop a formal framework to handle the relationship of statements that are not themselves formally defined.<sup>1</sup> The second issue is that the truth values in our context are in general found experimentally, not through deduction. The role of the logic framework is to keep track of the consistency between the possible hypothetical cases. That is, we need relationships that capture the idea of causal relationship, that one is true because the other is true, and not merely by coincidence. For example, in standard logic “the moon is a satellite of earth” implies “5 is prime”. We remind the reader that the mathematical sections, highlighted with a green bar on the side, can be skipped without loss of conceptual understanding in case one is not interested in all the details.

As a starting point, we need to define what science is: the systematic study of the physical world through observation and experimentation. We therefore introduce the principle of scientific objectivity that will guide us throughout this work. This states that science is universal, non-contradictory and evidence based.

**Principle of scientific objectivity.** Science is universal, non-contradictory and evidence based.

Consider assertions like “*jazz is marvelous*” or “*green and red go well together*”. These are not objective: there is no agreed upon definition or procedure for what constitutes marvelous music or good color combination. Because of their nature, they can’t be the subject of scientific inquiry. This does not mean that marvelous music or good color combinations do not exist or are not worth studying.<sup>2</sup> What the principle tells us is simply that if we choose to do science, we are limiting ourselves to those assertions that are either true or false (i.e. non-contradictory) for everybody (i.e. universal): assertions that have a single truth value. We call these assertions statements: they are the basic building blocks of a scientific description as only these can be studied scientifically.

Logical consistency, though, is not just a property of individual statements. Consider the following two sentences:

“*the next sentence is true*”

“*the previous sentence is false*”

Each assertion, by itself, would be fine but their combination makes it impossible to assign truth values to both in a way that is logically consistent. For this reason we group statements into logical contexts, sets of statements for which it is possible to assign truth values to all in a way that is logically consistent.

**Definition 1.1.** The **Boolean domain** is the set  $\mathbb{B} = \{\text{FALSE}, \text{TRUE}\}$  of all possible truth values.

<sup>1</sup>This is the main reason we cannot simply use the tools of mathematical logic.

<sup>2</sup>In fact, one can argue that most of the things that make life worth living (e.g. love, friendship, arts, purpose and so on) defy objective characterization and, therefore, that science gives us certain truth about trifling matters.

**Axiom 1.2.** A *statement*  $s$  is an assertion that is either true or false. A *logical context*  $\mathcal{S}$  is a collection of statements with well defined logical relationships. Formally, a logical context  $\mathcal{S}$  is a collection of elements called statements upon which is defined a function  $\text{truth} : \mathcal{S} \rightarrow \mathbb{B}$ .

The idea of statements has their origin in the philosophical tradition of classical logic, “*Socrates is a man*” being a classic example. Any language can be used to form them, formal or natural, as indeed any language is used in practice. This means we are not going to care what particular syntax (i.e. symbols and grammar rules) is used.<sup>3</sup> In fact, even a grammatically incorrect statement is fine as long as the intent is clear. On the other hand, we are going to care about the semantics of the statements (i.e. their content and meaning). Therefore we will consider “*Socrates is a man*” and “*Socrate e’ un uomo*” to be the same statement because they provide the same assertion but in different languages.

Moreover, when we say “*Socrates is a man*” it has to be clear who is Socrates and what a man is. If it weren’t, we would have no idea what to experimentally test and how. This is also important because the mere content of a set of statements puts constraints on what can be found to be true or false. Consider the statement “*that cat is a swan*”. There is nothing to experimentally test here: based on the definitions of cat and swan we know the statement can never be true, no matter what particular cat we are considering. The statement provides no new information. Consider, instead, the statements “*that animal is a mammal*” and “*that animal is a bird*”. Based on the content, each of the statements can be found true or false separately, but they can’t be found true together simply by how mammals and birds are defined.

We want each logical context to keep track not only of the truth value of each statement, but also of which truth combinations are possible merely because of the content. Given a logical context  $\mathcal{S}$  we will call an assignment for  $\mathcal{S}$  a map  $a : \mathcal{S} \rightarrow \mathbb{B}$  that for each statement gives a truth value. For example, consider the following table:

“ <i>that animal is a cat</i> ”	“ <i>that animal is a mammal</i> ”	“ <i>that animal is a bird</i> ”	...
T	T	T	...
T	T	F	...
F	F	T	...
F	T	F	...

Each line represents an assignment for all statements, each represented by a column. The set of all assignments is the set of all functions from  $\mathcal{S}$  to  $\mathbb{B}$ , which in set theory notation is  $\mathbb{B}^{\mathcal{S}}$ , and corresponds to all possible permutations. Some assignments, like the one in the first line, are not consistent with the content of the statements. We will call possible the ones that are allowed and impossible the ones that aren’t. Naturally, the truth must be one of the possible

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<sup>3</sup>In general, statements in this context are not necessarily well formed formulas, predicates or similar concepts in the context of mathematical or propositional logic. Scientific investigation in the broad sense of learning from experimentation predates math and formal languages: information about agriculture, astronomy, metallurgy, botany and the like were collected and used even before the written word. Moreover, cognitive scientists have shown that children start using deliberate experimentation at a very young age to understand the world around them, even before their speech is fully developed. Ultimately, that knowledge is encoded in the language of electrical and biochemical signals. Formal languages are indeed extremely helpful in that they allow us to be more precise and to better keep track of possible inconsistencies, but ultimately one always needs natural language to give meaning and context to the mathematical symbols.

assignments. As the context itself needs to tell us which assignments are possible, we can imagine it comes equipped with the set  $\mathcal{A}_S \subseteq \mathbb{B}^S$  of all possible assignments for that context.<sup>4</sup>

Some statements may be allowed to either be true or false while others may only be allowed one option. We will call tautology a statement that can only be true, like “*that cat is an animal*”. We will call contradiction a statement that can only be false, like “*that cat is a swan*”. We will call a contingent statement one that can be either true or false as its truth is contingent on the assignment, like “*that animal is a cat*”.

Note that the semantics, the meaning of the statements, plays an important role in defining the possible assignments but, in general, does not define the truth values.<sup>5</sup> For example, even if the meaning of “*the next race is going to be won by Secretariat*” is clear, and so is its logical relationship to “*the next race is going to be lost by Secretariat*”, we may be none the wiser about its truthfulness.

**Definition 1.3.** An **assignment** for a logical context  $\mathcal{S}$  is a map  $a : \mathcal{S} \rightarrow \mathbb{B}$  that assigns a truth value to each statement. Formally, an assignment is simply a map  $a \in \mathbb{B}^S$ . An assignment for a set of statements  $S \subseteq \mathcal{S}$  is a map  $a : S \rightarrow \mathbb{B}$  while an assignment for a statement  $s \in \mathcal{S}$  is a truth value  $t \in \mathbb{B}$ .

**Axiom 1.4.** A **possible assignment** for a logical context  $\mathcal{S}$  is a map  $a : \mathcal{S} \rightarrow \mathbb{B}$  that assigns a truth value to each statement in a way consistent with the content of the statements. Formally, each logical context comes equipped with a set  $\mathcal{A}_S \subseteq \mathbb{B}^S$  such that  $\text{truth} \in \mathcal{A}_S$ . A map  $a : \mathcal{S} \rightarrow \mathbb{B}$  is a possible assignment for  $\mathcal{S}$  if  $a \in \mathcal{A}_S$ .

**Definition 1.5.** Let  $S \subseteq \mathcal{S}$  be a set of statements. Then  $a : S \rightarrow \mathbb{B}$  is a possible assignment for  $S$  if there exists  $\bar{a} \in \mathcal{A}_S$  such that  $\bar{a}(s) = a(s)$  for all  $s \in S$ . Let  $s \in \mathcal{S}$  be a statement. Then  $t \in \mathbb{B}$  is a possible assignment for  $s$  if there exists  $\bar{a} \in \mathcal{A}_S$  such that  $\bar{a}(s) = t$ .

**Definition 1.6.** A **tautology**  $\top$  is a statement that must be true simply because of its content. That is,  $a(\top) = \text{TRUE}$  for all possible assignments  $a \in \mathcal{A}_S$ .

**Definition 1.7.** A **contradiction**  $\perp$  is a statement that must be false simply because of its content. That is,  $a(\perp) = \text{FALSE}$  for all possible assignments  $a \in \mathcal{A}_S$ .

**Definition 1.8.** A statement is **contingent** if it is neither a contradiction nor a tautology.

Next we want to keep track of statements whose truth depends on the truth of other statements. Consider “*that animal is a cat*” and “*that animal is not a cat*”: if the first one is true then the second is false and vice-versa. In this sense, the second statement depends on the first. Therefore, in general, a statement depends on other statements if its truth is determined by the truth values of the other statements in every possible assignment.

Since statements are intangible, there are no limits to the number of arguments one statement may depend on. For example, consider the statement “*the mass of the electron is  $511 \pm 0.5$  keV*” and the set of all the statements of the form “*the mass of the electron is exactly  $x$  keV*” with  $510.5 < x < 511.5$ . If any of the latter is true then the original statement is true as well.

<sup>4</sup>In the same way that we do not try to capture how statements are constructed, we are not going to capture how logical consistency is established. We just assume a mechanism is available and therefore one can check whether an assignment is possible or not.

<sup>5</sup>In other formalisms the semantics is said to define the truth values, not in ours.

Given that  $x$  is a real number, that is uncountably many statements so the original statement can be seen as a function of uncountably many statements. Therefore we will assume we can always create a statement that arbitrarily depends on an arbitrary set of statements.

**Definition 1.9.** Let  $\bar{s} \in \mathcal{S}$  be a statement and  $S \subseteq \mathcal{S}$  be a set of statements. Then  $\bar{s}$  **depends on**  $S$  (or it is a function of  $S$ ) if we can find an  $f_{\mathbb{B}} : \mathbb{B}^S \rightarrow \mathbb{B}$  such that

$$a(\bar{s}) = f_{\mathbb{B}}(\{a(s)\}_{s \in S})$$

for every possible assignment  $a \in \mathcal{A}_{\mathcal{S}}$ . We say  $\bar{s}$  depends on  $S$  **through**  $f_{\mathbb{B}}$ .

**Axiom 1.10.** We can always construct a statement whose truth value arbitrarily depends on an arbitrary set of statements. Formally, let  $S \subseteq \mathcal{S}$  be a set of statements and  $f_{\mathbb{B}} : \mathbb{B}^S \rightarrow \mathbb{B}$  an arbitrary function from an assignment of  $S$  to a truth value. Then we can always find a statement  $\bar{s} \in \mathcal{S}$  that depends on  $S$  through  $f_{\mathbb{B}}$ .

**Corollary 1.11.** Functions on truth values induce functions on statements. Formally, let  $I$  be an index set and  $f_{\mathbb{B}} : \mathbb{B}^I \rightarrow \mathbb{B}$  be a function. There exists a function  $f : \mathcal{S}^I \rightarrow \mathcal{S}$  such that

$$a(f(\{s_i\}_{i \in I})) = f_{\mathbb{B}}(\{a(s_i)\}_{i \in I})$$

for every indexed set  $\{s_i\}_{i \in I} \subseteq \mathcal{S}$  and possible assignment  $a \in \mathcal{A}_{\mathcal{S}}$ .

*Proof.* Given  $I$  and  $f_{\mathbb{B}} : \mathbb{B}^I \rightarrow \mathbb{B}$  we can construct  $f : \mathcal{S}^I \rightarrow \mathcal{S}$  as follows. Given an indexed set  $\{s_i\}_{i \in I} \in \mathcal{S}^I$ , let  $S \subseteq \mathcal{S}$  be the set of elements in the indexed set and define  $\bar{f}_{\mathbb{B}} : \mathbb{B}^S \rightarrow \mathbb{B}$  such that  $\bar{f}_{\mathbb{B}}(\{a(s)\}_{s \in S}) = f_{\mathbb{B}}(\{a(s_i)\}_{i \in I})$ . Then by axiom 1.10 we can find a statement  $\bar{s}$  that depends on  $S$  through  $\bar{f}_{\mathbb{B}}$  and we can set  $f(\{s_i\}_{i \in I}) = \bar{s}$ . We have  $a(f(\{s_i\}_{i \in I})) = f_{\mathbb{B}}(\{a(s_i)\}_{i \in I})$  for all indexed sets and for all possible assignments.  $\square$

To better characterize truth functions, we borrow ideas and definitions from Boolean algebra which is the branch of algebra that operates on truth values. Boolean algebra is fundamental in logic and computer science, since every digital circuit ultimately is implemented on two-state systems (e.g. high/low voltage, up/down magnetization). The most fundamental elements in that algebra are the following three simple operations: negation (i.e. logical NOT), conjunction (i.e. logical AND) and disjunction (i.e. logical OR).

Suppose  $s_1 = \text{“the sauce is sweet”}$  and  $s_2 = \text{“the sauce is sour”}$ . We can apply the three operations to make this table:

Operator	Gate	Symbol	Example
Negation	NOT	$\neg s_1$	“the sauce is not sweet”
Conjunction	AND	$s_1 \wedge s_2$	“the sauce is sweet and sour”
Disjunction	OR	$s_1 \vee s_2$	“the sauce is at least sweet or sour”

Table 1.1: Boolean operations on statements.

Most languages, natural or symbolic, typically already provide similar operations, as the examples show. Technically, though, we should consider the ones defined here as meta-operations that are defined outside the language of the statements. For example, “ $x$  is the

position of a ball”  $\wedge$  “ $\frac{d^2x}{dt^2} = -g$ ” stitches together an English statement with a calculus statement into a new statement that is neither. This kind of mix should be allowed as it does happen in practice.

**Definition 1.12.** The *negation or logical NOT* is the function  $\neg : \mathbb{B} \rightarrow \mathbb{B}$  that takes a truth value and returns its opposite. That is:  $\neg \text{TRUE} = \text{FALSE}$  and  $\neg \text{FALSE} = \text{TRUE}$ . We also call negation  $\neg : \mathcal{S} \rightarrow \mathcal{S}$  the related function on statements.

**Definition 1.13.** The *conjunction or logical AND* is the function  $\wedge : \mathbb{B} \times \mathbb{B} \rightarrow \mathbb{B}$  that returns TRUE only if all the arguments are TRUE. That is:  $\text{TRUE} \wedge \text{TRUE} = \text{TRUE}$  and  $\text{TRUE} \wedge \text{FALSE} = \text{FALSE} \wedge \text{TRUE} = \text{FALSE} \wedge \text{FALSE} = \text{FALSE}$ . We also call conjunction  $\wedge : \mathcal{S} \times \mathcal{S} \rightarrow \mathcal{S}$  the related function on statements.

**Definition 1.14.** The *disjunction or logical OR* is the function  $\vee : \mathbb{B} \times \mathbb{B} \rightarrow \mathbb{B}$  that returns FALSE only if all the arguments are FALSE. That is:  $\text{FALSE} \vee \text{FALSE} = \text{FALSE}$  and  $\text{TRUE} \vee \text{FALSE} = \text{FALSE} \vee \text{TRUE} = \text{TRUE} \vee \text{TRUE} = \text{TRUE}$ . We also call disjunction  $\vee : \mathcal{S} \times \mathcal{S} \rightarrow \mathcal{S}$  the related function on statements.

**Proposition 1.15.** A logical context  $\mathcal{S}$  is closed under negation, arbitrary conjunction and arbitrary disjunction.

*Proof.* Negation, arbitrary conjunction and arbitrary disjunction are particular truth functions. Their output always exists by axiom (1.10).  $\square$

Now we have all the elements to define when two statements have the same logical content. Consider the two statements “that animal is a bird” and “that animal has feathers”: since all birds and only birds have feathers they give us the same information. Consider “the mass of the electron is  $511 \pm 0.5 \text{ keV}$ ” and “the mass of the electron is  $0.511 \pm 0.0005 \text{ MeV}$ ”: they represent the same measurement but in different units. So, how can we express the fact that two statements  $s_1$  and  $s_2$  give us the same information? The idea is that they can never be assigned opposite truth values. If we assigned true to the first, then the second must be true as well. If we assigned false to the first, then the second must be false.<sup>6</sup>

**Definition 1.16.** Two statements  $s_1$  and  $s_2$  are *equivalent*  $s_1 \equiv s_2$  if they must be equally true or false simply because of their content. Formally,  $s_1 \equiv s_2$  if and only if  $a(s_1) = a(s_2)$  for all possible assignments  $a \in \mathcal{A}_{\mathcal{S}}$ .

Again, we want to stress that this notion of equivalence is not based on the truth value (i.e. whether the statements happen to be both true or false) or on whether they are the same statement (i.e. whether they assert the same thing): it is based on the possible assignment (i.e. whether there exists a possible assignment in which the two statements have a different truth value) and therefore on the content of the statements. We sum up the difference in the following table.

<sup>6</sup>This technique allows us to do something analogous to model theory. Two statements are equivalent if their truth is equal for all consistent truth assignments, which in our framework play the part of the models of model theory. But in our context the assignments are only hypothetical: there isn’t a model in which “this is a cat” and another in which “this is a dog”. There is only one truth value, the one we find experimentally.

Relationship	Symbol	First statement	Second statement	Also known as
Statement equality	$s_1 = s_2$	“Swans are birds”	“I cigni sono uccelli”	Semantic equivalence
Statement equivalence	$s_1 \equiv s_2$	“Swans are birds”	“Swans have feathers”	Logical equivalence
Truth equality	$\text{truth}(s_1) = \text{truth}(s_2)$	“Swans are birds”	“The earth is round”	Material equivalence

Table 1.2: Different types of statement relationships.

Note that the relationships in the table are ordered by strength: if two statements are equal, they are also equivalent; if two statements are equivalent, they have equal truth. The reverse is not true in general: two statements with equal truth may not be equivalent; two equivalent statements may not be equal.

Intuitively, statement equivalence answers the question: do these two statements carry the same information? Is experimentally testing the first the same as experimentally testing the second? If that’s the case, they are essentially equivalent to us. So much so, that from now on we will implicitly assume two different statements to be inequivalent.<sup>7</sup>

There are a number of useful properties that statement equivalence satisfies.

**Corollary 1.17.** *All tautologies are equivalent. All contradictions are equivalent.*

*Proof.* Let  $\top_1, \top_2 \in \mathcal{S}$  be two tautologies. Then for every possible assignment  $a \in \mathcal{A}_{\mathcal{S}}$  we have  $a(\top_1) = \text{TRUE} = a(\top_2)$  and therefore  $\top_1 \equiv \top_2$  by definition.

Let  $\perp_1, \perp_2 \in \mathcal{S}$  be two contradictions. Then for every possible assignment  $a \in \mathcal{A}_{\mathcal{S}}$  we have  $a(\perp_1) = \text{FALSE} = a(\perp_2)$  and therefore  $\perp_1 \equiv \perp_2$  by definition.  $\square$

**Corollary 1.18.** *Two statements  $s_1$  and  $s_2$  are equivalent if and only if  $(s_1 \wedge s_2) \vee (\neg s_1 \wedge \neg s_2) \equiv \top$ .*

*Proof.* Let  $a \in \mathcal{A}_{\mathcal{S}}$  and  $s = (s_1 \wedge s_2) \vee (\neg s_1 \wedge \neg s_2)$ . We have  $a(s) = (a(s_1) \wedge a(s_2)) \vee (\neg a(s_1) \wedge \neg a(s_2))$ . We have the following truth table

$s_1$	$s_2$	$s$
T	T	T
T	F	F
F	T	F
F	F	T

Note that the assignments for which  $a(s_1) = a(s_2)$  are exactly the assignments for which  $a(s) = \text{TRUE}$ . Therefore  $s_1 \equiv s_2$  if and only if  $s$  is a tautology.  $\square$

**Corollary 1.19.** *Statement equivalence satisfies the following properties:*

<sup>7</sup>Technically, when we’ll say that  $s$  is a statement we actually mean  $s$  is an equivalence class of statements. We are not going to be explicit about the distinction, though, as we feel it simply distracts without adding greater clarity. We’ll let the context determine what is meant.

- *reflexivity*:  $s \equiv s$
- *symmetry*: if  $s_1 \equiv s_2$  then  $s_2 \equiv s_1$
- *transitivity*: if  $s_1 \equiv s_2$  and  $s_2 \equiv s_3$  then  $s_1 \equiv s_3$

and is therefore an **equivalence relationship**.

*Proof.* Statement equivalence is defined in terms of truth equality within all possible assignments and will inherit reflexivity, symmetry and transitivity from it.  $\square$

**Corollary 1.20.** *A logical context  $\mathcal{S}$  satisfies the following properties:*

- *associativity*:  $a \vee (b \vee c) \equiv (a \vee b) \vee c$ ,  $a \wedge (b \wedge c) \equiv (a \wedge b) \wedge c$
- *commutativity*:  $a \vee b \equiv b \vee a$ ,  $a \wedge b \equiv b \wedge a$
- *absorption*:  $a \vee (a \wedge b) \equiv a$ ,  $a \wedge (a \vee b) \equiv a$
- *identity*:  $a \vee \perp \equiv a$ ,  $a \wedge \top \equiv a$
- *distributivity*:  $a \vee (b \wedge c) \equiv (a \vee b) \wedge (a \vee c)$ ,  $a \wedge (b \vee c) \equiv (a \wedge b) \vee (a \wedge c)$
- *complements*:  $a \vee \neg a \equiv \top$ ,  $a \wedge \neg a \equiv \perp$
- *De Morgan*:  $\neg a \vee \neg b \equiv \neg(a \wedge b)$ ,  $\neg a \wedge \neg b \equiv \neg(a \vee b)$

Therefore  $\mathcal{S}$  is a **Boolean algebra** by definition.

*Proof.* The left and right expressions for each equivalence correspond to the same truth function applied to the same statements. Therefore, the left side is true if and only if the right side is true and they are therefore equivalent by definition.  $\square$

These operations and properties define the **algebra of statements**. While we started from a slightly different premise, the relationships we found are the logical identities of classical logic. These are exactly what we need to make sure the truth values of all our statements are consistent.

Equivalence is not the only semantic relationship that we want to capture. Consider the contents of the following:

- $s_1 = \text{“that animal is a cat”}$
- $s_2 = \text{“that animal is a mammal”}$
- $s_3 = \text{“that animal is a dog”}$
- $s_4 = \text{“that animal is black”}$

The second will be true whenever the first is true. In this case we say the first statement is narrower than the second ( $s_1 \preceq s_2$ ) because “that animal is a cat” is more specific than “that animal is a mammal”. The third will be false whenever the first is true. In this case we say that they are incompatible ( $s_1 \not\sim s_3$ ) because “that animal is a dog” and “that animal is a cat” can never be true at the same time. The fourth will be true or false regardless of whether the first is true. In this case we say that they are independent ( $s_1 \perp\!\!\!\perp s_4$ ) because knowing whether “that animal is a cat” tells us nothing about whether “that animal is black”. As for equivalence, we can define these relationships upon the previous definitions.

**Definition 1.21.** *Given two statements  $s_1$  and  $s_2$ , we say that:*



- $s_1$  **is narrower than**  $s_2$  (noted  $s_1 \leq s_2$ ) if  $s_2$  is true whenever  $s_1$  is true simply because of their content. That is, for all  $a \in \mathcal{A}_{\mathcal{S}}$  if  $a(s_1) = \text{TRUE}$  then  $a(s_2) = \text{TRUE}$ .
- $s_1$  **is broader than**  $s_2$  (noted  $s_1 \geq s_2$ ) if  $s_2 \leq s_1$ .
- $s_1$  **is compatible to**  $s_2$  (noted  $s_1 \approx s_2$ ) if their content allows them to be true at the same time. That is, there exists  $a \in \mathcal{A}_{\mathcal{S}}$  such that  $a(s_1) = a(s_2) = \text{TRUE}$ .

The negation of these properties will be noted by  $\not\leq$ ,  $\not\geq$ ,  $\not\approx$  respectively.

**Definition 1.22.** The elements of a set of statements  $S \subseteq \mathcal{S}$  are said to be **independent** (noted  $s_1 \perp s_2$  for a set of two) if the assignment of any subset of statements does not depend on the assignment of the others. That is, a set of statements  $S \subseteq \mathcal{S}$  is independent if given a family  $\{t_s\}_{s \in S}$  such that each  $t_s \in \mathbb{B}$  is a possible assignment for the respective  $s$  we can always find  $a \in \mathcal{A}_{\mathcal{S}}$  such that  $a(s) = t_s$  for all  $s \in S$ .

**Proposition 1.23.** The above operations obey the following relationships:

- (i)  $s_1 \leq s_2$  if and only if  $s_1 \wedge \neg s_2 \equiv \perp$
- (ii)  $s_1 \leq s_2$  if and only if  $s_1 \wedge s_2 \equiv s_1$
- (iii)  $s_1 \leq s_2$  if and only if  $s_1 \vee s_2 \equiv s_2$
- (iv)  $s_1 \approx s_2$  if and only if  $s_1 \wedge s_2 \not\equiv \perp$
- (v)  $s_1 \not\approx s_2$  if and only if  $s_1 \wedge \neg s_2 \equiv s_1$
- (vi)  $s_1 \leq s_1 \vee s_2$
- (vii)  $s_1 \wedge s_2 \leq s_1$
- (viii)  $s_1 \leq s_2$  if and only if  $\neg s_1 \vee s_2 \equiv \top$

*Proof.* For (i), consider the following truth table

$s_1$	$s_2$	$s_1 \wedge \neg s_2$
T	T	F
T	F	T
F	T	F
F	F	F

The assignment for which  $a(s_1) = \text{TRUE}$  and  $a(s_2) = \text{FALSE}$  is exactly the assignment for which  $a(s_1 \wedge \neg s_2) = \text{TRUE}$ . Therefore  $s_1 \leq s_2$  if and only if  $s_1 \wedge \neg s_2$  is a contradiction.

For (ii), consider  $s_1 \wedge s_2 \equiv s_1 \wedge s_2 \vee \perp$ . Since  $s_1 \leq s_2$ ,  $s_1 \wedge \neg s_2 \equiv \perp$ . We have  $s_1 \wedge s_2 \vee \perp \equiv (s_1 \wedge s_2) \vee (s_1 \wedge \neg s_2) \equiv s_1 \wedge (s_2 \vee \neg s_2) \equiv s_1 \wedge \top \equiv s_1$ . Therefore  $s_1 \wedge s_2 \equiv s_1$ . The same logic can be applied in reverse.

For (iii), consider  $s_1 \vee s_2 \equiv s_1 \vee s_2 \wedge \top \equiv (s_1 \vee s_2) \wedge (\neg s_2 \vee s_2) \equiv (s_1 \wedge \neg s_2) \vee s_2$ . Since  $s_1 \leq s_2$ ,  $s_1 \wedge \neg s_2 \equiv \perp$ . We have  $(s_1 \wedge \neg s_2) \vee s_2 \equiv \perp \vee s_2 \equiv s_2$ . Therefore  $s_1 \vee s_2 \equiv s_2$ . The same logic can be applied in reverse.

For (iv), consider the following truth table

$s_1$	$s_2$	$s_1 \wedge s_2$
T	T	T
T	F	F
F	T	F
F	F	F

The assignment for which  $a(s_1) = a(s_2) = \text{TRUE}$  is exactly the assignment for which  $a(s_1 \wedge s_2) = \text{TRUE}$ . Therefore  $s_1 \approx s_2$  if and only if  $s_1 \wedge s_2$  is not a contradiction.

For (v), consider  $s_1 \wedge \neg s_2 \equiv s_1 \wedge \neg s_2 \vee \perp$ . Since  $s_1 \not\approx s_2$ ,  $s_1 \wedge s_2 \equiv \perp$ . We have  $s_1 \wedge \neg s_2 \vee \perp \equiv (s_1 \wedge \neg s_2) \vee (s_1 \wedge s_2) \equiv s_1 \wedge (\neg s_2 \vee s_2) \equiv s_1 \wedge \top \equiv s_1$ . Therefore  $s_1 \wedge \neg s_2 \equiv s_1$ . The same logic can be applied in reverse.

For (vi), we have  $s_1 \wedge \neg(s_1 \vee s_2) \equiv s_1 \wedge \neg s_1 \wedge \neg s_2 \equiv \perp \wedge \neg s_2 \equiv \perp$ . Therefore  $s_1 \leq s_1 \vee s_2$ .

For (vii), we have  $s_1 \wedge s_2 \wedge \neg s_1 \equiv s_1 \wedge \neg s_1 \wedge s_2 \equiv \perp \wedge s_2 \equiv \perp$ . Therefore  $s_1 \wedge s_2 \leq s_1$ .

For (viii), suppose  $s_1 \leq s_2$ . We have  $\neg s_1 \vee s_2 \equiv \neg s_1 \vee (s_1 \vee s_2) \equiv (\neg s_1 \vee s_1) \vee s_2 \equiv \top \vee s_2 \equiv \top$ . Conversely, suppose  $\neg s_1 \vee s_2 \equiv \top$ . We have  $s_1 \vee s_2 \equiv s_1 \vee s_2 \vee \top \equiv s_1 \vee s_2 \vee (\neg s_1 \vee s_2) \equiv (s_1 \vee \neg s_1) \vee s_2 \equiv \top \vee s_2 \equiv s_2$  and therefore  $s_1 \leq s_2$ .  $\square$

**Proposition 1.24.** *Statement narrowness satisfies the following properties:*

- *reflexivity:*  $s \leq s$
- *antisymmetry:* if  $s_1 \leq s_2$  and  $s_2 \leq s_1$  then  $s_1 \equiv s_2$
- *transitivity:* if  $s_1 \leq s_2$  and  $s_2 \leq s_3$  then  $s_1 \leq s_3$

and is therefore a **partial order**.

*Proof.* For reflexivity,  $s \wedge \neg s \equiv \perp$  and therefore  $s \leq s$  by 1.23.

For antisymmetry,  $s_1 \wedge s_2 \equiv s_1$  since  $s_1 \leq s_2$  and  $s_1 \wedge s_2 \equiv s_2$  since  $s_2 \leq s_1$ . Therefore  $s_1 \equiv s_2$ . Conversely, suppose  $s_1 \equiv s_2$ . Then  $s_1 \wedge s_2 \equiv s_1 \equiv s_2$ . Therefore  $s_1 \leq s_2$  and  $s_1 \geq s_2$ .

For transitivity, we have  $s_1 \equiv s_1 \wedge s_2 \equiv s_1 \wedge s_2 \wedge s_3 \equiv s_1 \wedge s_3$  and therefore  $s_1 \leq s_3$ .  $\square$

**Proposition 1.25.** *Every subset  $S \subseteq \mathcal{S}$  of statements has a supremum. That is, there exists an element  $\bar{s} \in \mathcal{S}$  such that  $s \leq \bar{s}$  for all  $s \in S$ . This, by definition, means the  $\mathcal{S}$  is a **complete** Boolean algebra and, as a consequence, that the distributivity and De Morgan laws in 1.20 hold in the infinite case.*

*Proof.* Let  $S \subseteq \mathcal{S}$  be an arbitrary set of statements. Consider  $\bar{s} = \bigvee_{e \in S} e$ . This statement exists by 1.10. Let  $s \in S$ . Using the properties in 1.20 we have  $s \vee \bar{s} \equiv s \vee \left( \bigvee_{e \in S} e \right) \equiv s \vee s \vee \left( \bigvee_{e \in (S \setminus \{s\})} e \right) \equiv s \vee \left( \bigvee_{e \in (S \setminus \{s\})} e \right) \equiv \bigvee_{e \in S} e = \bar{s}$ . By 1.23 we have  $s \leq \bar{s}$  for any  $s \in S$ . Therefore any  $S \subseteq \mathcal{S}$  admits a supremum  $\bar{s}$ .

A complete Boolean algebra is one such that every subset admits a supremum, therefore the algebra of statements is complete by definition. For a complete Boolean algebra infinite distributivity and De Morgan laws hold, therefore they will hold in the algebra of statements as well.  $\square$

It should be noted that statement narrowness captures more than just the idea of one statement being more specific than another. Consider “*this harp seal is white*” and “*this harp seal is less than one year old*”. Since harp seals have white fur only for their first month,

the first one can never be true while the second is not. Therefore “*this harp seal is white*”  $\leq$  “*this harp seal is less than one year old*”. By the same token, we also have “*I lighted the fuse*”  $\leq$  “*the bomb will go off*”. That is, narrowness can also capture causal relationships, which is essential if we want to develop a basic theory of scientific investigation.<sup>8</sup> Intuitively, a statement is narrower than another if it provides at least as much or more information when true. If we experimentally verified the narrower statement, then we already know that the broader one is also verified.

It should also be noted that independence is not transitive and pair-wise independence is not sufficient. Consider the following statements for an ideal gas:

1. “*the pressure is  $101 \pm 1$  kPa*”
2. “*the volume is  $1 \pm 0.1$  m<sup>3</sup>*”
3. “*the temperature is  $293 \pm 1$  Kelvin*”

Since the three quantities are linked by the equation of state  $PV = nRT$ , any two statements are independent but the three together aren’t. This notion of independence is similar, and in fact related, to statistical independence and linear independence.

We finish this section by showing how every logical dependence among statements can be naturally expressed in terms of negation, conjunction and disjunction. Consider the statement  $s =$  “*the sauce is sweet and sour or it is neither*”. This depends on the two statements  $s_1 =$  “*the sauce is sweet*” and  $s_2 =$  “*the sauce is sour*” defined before: if we know whether  $s_1$  and  $s_2$  are true, we can tell whether  $s$  is true as well. The idea is that we can express the dependence as all possible assignments for  $\{s_1, s_2\}$  that make the result true. For example,  $s$  will be true if the sauce is sweet and sour or if it is not sweet and not sour. That is:  $(s_1 \wedge s_2) \vee (\neg s_1 \wedge \neg s_2)$ . Similarly, the statement “*the sauce is not sweet-and-sour*” can be expressed as  $(s_1 \wedge \neg s_2) \vee (\neg s_1 \wedge s_2) \vee (\neg s_1 \wedge \neg s_2)$  since it is going to be true in all cases except the one where the sauce is sweet and sour.

Each of the cases is the conjunction of all independent statements where each one appears only once, negated or not. We call these expressions minterms. A function can always be expressed as the disjunction of all the minterms for which the function is true. This is called its disjunctive normal form because it is a canonical way to express the function in terms of disjunctions.

**Definition 1.26.** Let  $S \subseteq \mathcal{S}$  be a set of statements. A *minterm* of  $S$  is a conjunction where each element appears once and only once, either negated or not. That is, it is a statement  $m \in \mathcal{S}$  that can be written as  $m \equiv \bigwedge_{s \in S} (-)^{a(s)} s$  where  $a : S \rightarrow \mathbb{B}$ ,  $\neg^{\text{TRUE}} s = s$  and  $\neg^{\text{FALSE}} s = \neg s$ . In this notation, in a given  $a_0 \in \mathbb{B}^S$  we have  $a_0(m) = \text{TRUE}$  if and only if  $a_0(s) = a(s)$  for all  $s \in S$ .

**Proposition 1.27.** Let  $\bar{s} \in \mathcal{S}$  be a statement that depends on a set of statements  $S \subseteq \mathcal{S}$  through  $f_{\mathbb{B}} : \mathbb{B}^S \rightarrow \mathbb{B}$ . Then we can express  $\bar{s}$  in its *disjunctive normal form* as

<sup>8</sup>We considered using the term implication directly, but it seems that it leads to confusion. Implication in classical logic is something different: it is simply another truth function. Moreover, saying that a contradiction is narrower than all other statements sounds better than saying that a contradiction implies all other statements.

a disjunction of minterms of  $S$ , that is  $\bar{s} \equiv \bigvee_{a \in A} \left( \bigwedge_{s \in S} (\neg)^{a(s)} s \right)$  where  $A \subseteq \mathbb{B}^S$  is a set of assignments for  $S$ . In this notation, in a given  $a_0 \in \mathbb{B}^S$  we have  $a_0(\bar{s}) = \text{TRUE}$  if and only if there is an  $a \in A$  such that  $a_0(s) = a(s)$  for all  $s \in S$ .

*Proof.* We first show that this can be done for a function  $f_{\mathbb{B}}$  that returns TRUE for only one assignment. Let  $a : S \rightarrow \mathbb{B}$  be an assignment for  $S$  and suppose  $f_{\mathbb{B}} : \mathbb{B}^S \rightarrow \mathbb{B}$  is such that  $f_{\mathbb{B}}(\bar{a}) = \text{TRUE}$  if and only if  $\bar{a} = a$ . Now consider the minterm  $m_a = \bigwedge_{s \in S} (\neg)^{a(s)} s$  and an assignment  $\bar{a} : S \rightarrow \mathbb{B}$  for the whole context. We have that  $\bar{a}(m_a) = \text{TRUE}$  if and only if  $\bar{a}(s) = a(s)$  for all  $s \in S$ . Then  $\bar{a}(\bar{s}) = \bar{a}(m_a)$  for all possible assignments  $\bar{a} \in \mathcal{A}_S$  and therefore  $\bar{s} \equiv \bigwedge_{s \in S} (\neg)^{a(s)} s$ .

Now we generalize the result for arbitrary functions. Let  $f_{\mathbb{B}} : \mathbb{B}^S \rightarrow \mathbb{B}$  be a generic function. Let  $A = \{a \in \mathbb{B}^S \mid f_{\mathbb{B}}(a) = \text{TRUE}\}$ . Let  $m_a = \bigwedge_{s \in S} (\neg)^{a(s)} s$  be the minterm associated with an assignment  $a \in A$ . Consider  $\bar{m} = \bigvee_{a \in A} m_a$  and an assignment  $\bar{a} : S \rightarrow \mathbb{B}$  for the whole context. We have that  $\bar{a}(\bar{m}) = \text{TRUE}$  if and only if  $\bar{a}(s) = a(s)$  for some  $a \in A$  and for all  $s \in S$ . Then  $\bar{a}(\bar{s}) = \bar{a}(\bar{m})$  for all possible assignments  $\bar{a} \in \mathcal{A}_S$  and therefore  $\bar{s} \equiv \bigvee_{a \in A} \left( \bigwedge_{s \in S} (\neg)^{a(s)} s \right)$  □

With these tools in place we are in a position to formulate models that are universal and non-contradictory. These models will be a collection of statements with a well defined content and well defined possible cases. Each statement's truth value will be discovered experimentally.

## 1.2 Verifiable statements and experimental domains

We now focus on those statements that are verifiable: we have a way to experimentally confirm that the statement is true. The main result of this section is that not all functions of verifiable statements are verifiable statements. For example, since a test has to finish in a finite amount of time we are not going to be able to verify a statement that is the conjunction (i.e. logical AND) of infinitely many statements. We are also going to group verifiable statements into experimental domains which represent all the experimental evidence about a scientific subject that can be acquired in an indefinite amount of time.

The previous section took care of universality and non-contradiction, but the principle of scientific objectivity requires science to be evidence based. Consider the statements “23 is a prime number”, “it is immoral to kill a person exclusively for monetary gain” or “God is eternal”. They deal with abstract concepts that cannot be defined operationally and therefore cannot be experimentally verified conclusively. Again, this does not mean these concepts are of less significance, just that they cannot be the subject of scientific inquiry.<sup>9</sup>

Limiting the scope of our discussion to objects and properties that are well defined physically is also not enough. For example, “the electron is green” or “1 meter is equal to 5 Kelvin” are still not suitable scientific statements as the relationships established are not physically meaningful. Even when the relationship is meaningful, we may still not be able to validate it

<sup>9</sup>In fact, one may be more interested in them precisely because of their abstract, and therefore less transient, nature.

experimentally. For example, “*there is no extra-terrestrial life*” or “*the mass of the electron is exactly  $9.109 \times 10^{-31}$  kg*” are not statements that can be verified in practice. In the first case, we would need to check every corner of the universe and find none, with the closest galaxy like ours, Andromeda, being 2.5 million light-years away; in the second case, we will always have an uncertainty associated with the measurement, however small.

So we have to narrow the scope to those and only those statements that can be verified experimentally. That is, we have to provide an experimental test: a repeatable experimental procedure (i.e. evidence based) that anyone (i.e. universal) can in principle execute and obtain consistent results (i.e. non-contradictory). This is both the power and the limit of scientific inquiry: it gives us a way to construct a coherent description of the physical world but it is limited to those aspects that can be reliably studied experimentally.

Note that tautology and contradiction are trivially verifiable since we know a priori that they are true and false respectively. Also note that if we have two statements that are equivalent, having a test that verifies one means we have a test that verifies the other as well. For example, since “*that animal is a bird*” is equivalent to “*that animal has feathers*”, checking whether the animal has feathers is equivalent to checking whether the animal is a bird. The subtlety here is that the evidence may be indirect and it is only the relationship between statements (i.e. the theoretical model) that guarantees the validity of the verification. This should not worry us, though, because in this strict sense most experimental data is indirect. It comes from a chain of inductions (e.g. the pulse of light is produced, bounces off a moving target and changes frequency due to the Doppler effect, the light signal is transduced to an electronic signal which finally is displayed on the device) and therefore needs a theoretical framework to be properly understood.

**Axiom 1.28.** *A verifiable statement is a statement that, if true, can be shown to be so experimentally. Formally, each logical context  $\mathcal{S}$  contains a set of statements  $\mathcal{S}_v \subseteq \mathcal{S}$  whose elements are said to be verifiable. Moreover, we have the following properties:*

- every tautology  $\top \in \mathcal{S}$  is verifiable
- every contradiction  $\perp \in \mathcal{S}$  is verifiable
- a statement equivalent to a verifiable statement is verifiable

*Justification.* To give a better justification for this and later axioms, we introduce the following pseudo-mathematical concepts. To each logical context  $\mathcal{S}$  we associate a set  $\mathcal{E}$ . We call each element  $e \in \mathcal{E}$  an **experimental test** which corresponds to a repeatable procedure (i.e. it can be restarted and stopped at any time) that anybody can execute and will always terminate successfully, terminate unsuccessfully or never terminate. The output of the test will depend on the truth values of the statements; therefore we can assume we have a function  $\text{result} : \mathcal{E} \times \mathcal{A}_{\mathcal{S}} \rightarrow \{\text{SUCCESS}, \text{FAILURE}, \text{UNDEFINED}\}$ . The idea is that if a statement  $s$  is verifiable we can find an experimental test  $e$  that always succeeds if the statement is true, and the statement is true if the experiment always succeeds. That is, for all  $a \in \mathcal{A}_{\mathcal{S}}$ ,  $\text{result}(e, a) = \text{SUCCESS}$  if and only if  $a(s) = \text{TRUE}$ .

Tautologies and contradictions are considered verifiable though they don’t really need to be checked experimentally. They correspond to trivial tests that always terminate successfully or unsuccessfully respectively. As for equivalence and verifiability, suppose

we have two equivalent statement and an experimental test for the first one. Since the statements are equivalent, the test will succeed if and only if the second statement is true, so it constitutes a test for the second statement as well.

Precisely defining experimental tests as procedures will present the same type of problems in defining statements or logical consistency, therefore we leave them as primary concepts. But as primary concepts they only complicate the formal framework without adding insights, therefore we use them only as part of the justifications for the axioms.  $\square$

Experimental tests are the second and last building block of our general mathematical theory of experimental science. As with statements, any language (e.g. natural, formal, engineering drawings, computer programs, ...) can in principle be used to describe the procedure, which can be arbitrarily complicated. It may require building detectors, gathering large amounts of data and performing complicated computations. We are not going to care how these procedures are described, just that it is done in a way that allows us to execute the test.<sup>10</sup>

As an example, consider a procedure along the lines of:

1. find a swan
2. if it's black terminate successfully
3. go to step 1

If a black swan exists, at some point we'll find it and the test will be successful. If a black swan does not exist, then the procedure will never terminate and the result is undefined. This is something anybody can do and will eventually always provide the same result: it is an experimental test. It also terminates successfully if and only if a black swan exists, so the statement "*black swans exist*" is verifiable.

Note that, in principle, science can also study statements that can be refuted experimentally. But the negation of those is a statement that can be verified experimentally. Therefore we lose nothing by only focusing on verification.<sup>11</sup>

In the previous section we saw that we can combine statements into new statements. How about verifiable statements? Can we always combine verifiable statements into other verifiable statements? Since all truth functions can be constructed from the three basic Boolean operations, the question becomes: can we construct experimental tests for the negation, conjunction and disjunction of verifiable statements?

The first important result is that the negation of an experimental test, an experimental test that is successful when the first is not successful, does not necessarily exist. Consider our black swan example, an experimental test for the negation would be a procedure that terminates successfully if black swans do not exist. But the given procedure never finishes in that case, so it is not just a matter of switching success with failure. Because of non-termination, not-successful does not necessarily mean failure.<sup>12</sup> Moreover, it is a result of computability theory that some problems are undecidable: they do not allow the construction of an algorithm that

<sup>10</sup>Trying to formalize a universal language for experimental tests is not only impractical but also conceptually problematic. To know what we can test experimentally is to know what is physically possible, which is equivalent to knowing the laws of physics, which is what we are trying to construct a framework for.

<sup>11</sup>Mathematically, the spaces of verifiable statements and refutable statements are dual to each other.

<sup>12</sup>In this case, the old adage "absence of evidence is not evidence of absence" applies.

always terminates with a correct yes-or-no answer. So we know that in some cases this is not actually possible.

In the same vein we are able to confirm experimentally that “*the mass of this particle is not zero*” but not that “*the mass of this particle is exactly zero*” since we always have uncertainty in our measurements of mass. Even if we could continue shrinking the uncertainty arbitrarily, we would ideally need infinite time to shrink it to zero. What this means is that not all answers to the same question can be equally verified. Is the mass of the photon exactly zero? We can either give a precise “no” or an imprecise “it’s within this range.” Is there extra-terrestrial life? We can either give a precise “yes” or an imprecise “we haven’t found it so far.”<sup>13</sup>

*Remark.* The **negation or logical NOT** of a verifiable statement is not necessarily a verifiable statement.

While this is true in general, we can still test the negation of many verifiable statements. Consider the statement “*this swan is black*”. It allows the following experimental test:

1. look at the swan
2. if it’s black terminate successfully
3. terminate unsuccessfully

Note that, since the test always terminates, we can switch failure to success and vice-versa. In this case we can test the negation and we say that the statement is decidable: we can decide experimentally whether it is true or false. It is precisely when and only when the test is guaranteed to terminate, that we can test the negation.

**Definition 1.29.** A **falsifiable statement** is a statement that, if false, can be shown to be so experimentally. Formally, a statement  $s$  is falsifiable if its negation  $\neg s \in \mathcal{S}_v$  is a verifiable statement.

**Definition 1.30.** A **decidable statement** is a statement that can be shown to be either true or false experimentally. Formally, a statement  $s$  is decidable if  $s \in \mathcal{S}_v$  and  $\neg s \in \mathcal{S}_v$ . We denote  $\mathcal{S}_d \subseteq \mathcal{S}_v$  the set of all decidable statements.

*Justification.* Note that the informal definition is slightly different from the formal one. In the first one we have a single statement associated with a single experimental test that always terminates, either successfully or unsuccessfully depending on the truth of the statement. In the second we have two statements, one the negation of the other, and two experimental tests, each of them only guaranteed to terminate successfully if the respective statement is true. We can give a pseudo-proof, though, to show that these are equivalent.

Let  $s \in \mathcal{S}$  be a decidable statement and  $e \in \mathcal{E}$  an experimental test that verifies whether the statement is true or false. Consider the procedure  $e_-(e)$  defined as follows:

1. run test  $e$
2. if  $e$  is unsuccessful terminate successfully

<sup>13</sup>Note that we are on purpose avoiding induction. It does not play any role in our general mathematical theory of experimental science since the decision of when and how to apply induction violates the principle of scientific objectivity.

3. if  $e$  is successful terminate unsuccessfully.

Since  $e$  is repeatable and can be executed by anybody,  $e_-(e)$  is also repeatable and can be executed by anybody. Since  $s$  is decidable,  $e$  always terminates and therefore  $e_-(e)$  always terminates. Therefore  $e_-(e)$  is an experimental test such that  $\text{truth}(s) = \text{FALSE}$  if and only if  $\text{result}(e_-(e)) = \text{SUCCESS}$ . Which means  $\text{truth}(\neg s) = \text{TRUE}$  if and only if  $\text{result}(e_-(e)) = \text{SUCCESS}$  and  $\neg s$  is verifiable.

Let  $s \in \mathcal{S}$  be a verifiable statement such that  $\neg s$  is also verifiable. Let  $e, e_- \in \mathcal{E}$  be their respective experimental tests. We have to be careful as  $e$  and  $e_-$  may not terminate. Consider the procedure  $\hat{e}$  defined as follows:

1. initialize  $n$  to 1
2. for each  $i = 1..n$ 
  - a) run the test  $e$  for  $n$  seconds
  - b) if  $e$  is successful, return successfully
  - c) run the test  $e_-$  for  $n$  seconds
  - d) if  $e_-$  is successful, return unsuccessfully
3. increment  $n$  and go to step 2

The procedure is repeatable and can be executed by anybody. Both  $e$  and  $e_-$  are eventually run an arbitrarily long amount of time. If  $s$  is true,  $e$  will eventually terminate successfully and  $\hat{e}$  will do so as well. If  $s$  is false,  $e_-$  will eventually terminate successfully making  $\hat{e}$  terminate unsuccessfully. Therefore  $s$  is decidable.  $\square$

**Corollary 1.31.** *Tautologies and contradictions are decidable statements.*

*Proof.* Let  $\top \in \mathcal{S}$  be a tautology and  $\perp \in \mathcal{S}$  be a contradiction. By 1.28 they are verifiable statements. We also have  $\top \equiv \neg \perp$  therefore they are decidable by definition.  $\square$

We introduce decidable statements here because their definition and related properties clarify what happens during negation, but they do not play a major role in our framework. They represent a special case which will we turn to time and time again over the course of this work.

Combining verifiable statements with conjunction (i.e. the logical AND) is more straightforward. If we are able to verify that “that animal is a swan” and that “that animal is black”, we can verify that “that animal is a black swan” by verifying both. If the tests for both are successful, then the test for the conjunction is successful. That is, if we have two or more verifiable statements, we can always construct an experimental test for the logical AND by running all tests one at a time and check if they are successful. Yet, the number of tests needs to be finite or we would never terminate, so we are limited to the conjunction of a finite number of verifiable statements.

**Axiom 1.32.** *The conjunction of a finite collection of verifiable statements is a verifiable statement. Formally, let  $\{s_i\}_{i=1}^n \subseteq \mathcal{S}_v$  be a finite collection of verifiable statements. Then the conjunction  $\bigwedge_{i=1}^n s_i \in \mathcal{S}_v$  is a verifiable statement.*



*Justification.* Mathematically, we are simply assuming that the finite conjunction exists, so in principle there is nothing to prove. However, we want to show that the axiom is well justified in practice.

Let  $\{s_i\}_{i=1}^n \subseteq \mathcal{S}_v$  be a finite collection of verifiable statements. Then we can find a corresponding set of experimental tests  $\{e_i\}_{i=1}^n \subseteq \mathcal{E}$  that terminate successfully if and only if the statements are true. Let  $\bigwedge_{i=1}^n e_i$  be the experimental procedure defined as follows:

1. for each  $i = 1..n$  run the test  $e_i$
2. if all tests terminate successfully then terminate successfully.

The experimental procedure so defined is repeatable, can be executed by anybody and terminates successfully if and only if all  $e_i$  terminate successfully. This means it terminates successfully if and only if all  $\{s_i\}_{i=1}^n$  are true, which is when  $\bigwedge_{i=1}^n s_i$  is true. This means the experimental procedure defined above is a suitable test for the conjunction so the conjunction is verifiable.

Note that this cannot be generalized to infinite collections as the procedure would not be guaranteed to terminate.  $\square$

Combining verifiable statements with disjunction (i.e. the logical OR) is also straightforward. To verify that “*the swan is black or white*” we can first test that “*the swan is black*”. If that is verified that’s enough: the swan is black or white. If not, we test that “*the swan is white*”. That is, if we have two or more verifiable statements we can always construct an experimental test for the logical OR by running all tests and stopping at the first one that is successful. Because we stop at the first success, the number of tests can be countably infinite. As long as one test succeeds, which will always be the case when the overall test succeeds, it does not matter how many elements we are not going to verify later. But it cannot be more than countably infinite since the only way we have to find if one experimental test in the set is successful is testing them all one by one. Therefore we are limited to the disjunction of a countable number of verifiable statements.

**Axiom 1.33.** *The disjunction of a countable collection of verifiable statements is a verifiable statement. Formally, let  $\{s_i\}_{i=1}^{\infty} \subseteq \mathcal{S}_v$  be a countable collection of verifiable statements. Then the disjunction  $\bigvee_{i=1}^{\infty} s_i \in \mathcal{S}_v$  is a verifiable statement.*

*Justification.* Mathematically, we are simply assuming that the countable disjunction exists, so in principle there is nothing to prove. However, we want to show that the axiom is well justified in practice.

In this case, we have to be careful to handle tests that may not terminate. Let  $\{s_i\}_{i=1}^{\infty} \subseteq \mathcal{S}_v$  be a countable collection of verifiable statements. Then we can find a corresponding set of experimental tests  $\{e_i\}_{i=1}^{\infty} \subseteq \mathcal{E}$  that terminate successfully if and only if the statements are true. Let  $\bigvee_{i=1}^{\infty} e_i$  be the experimental procedure defined as follows:

1. initialize  $n$  to 1
2. for each  $i = 1..n$

- a) run the test  $e_i$  for  $n$  seconds
  - b) if  $e_i$  terminates successfully then terminate successfully
3. increment  $n$  and go to step 2

The procedure will eventually run all tests for an arbitrarily long amount of time. Suppose there exists an  $i \geq 1$  such that  $e_i$  will terminate successfully. Then the above procedure will eventually run that test for a time long enough and terminate successfully. This means the procedure will terminate if and only if at least one of  $\{s_i\}_{i=1}^{\infty}$  is true, which is when  $\bigvee_{i=1}^{\infty} s_i$  is true. This means the experimental procedure defined above is a suitable test for the disjunction so the disjunction is verifiable.

Note that this cannot be generalized to more than countable infinity as the procedure would not be guaranteed to eventually run all tests.  $\square$

**Proposition 1.34.** *The conjunction and disjunction of a finite collection of decidable statements are decidable. Formally, let  $\{s_i\}_{i=1}^n \subseteq \mathcal{S}_d$  be a finite collection of decidable statements. Then the conjunction  $\bigwedge_{i=1}^n s_i \in \mathcal{S}_d$  and the disjunction  $\bigvee_{i=1}^n s_i \in \mathcal{S}_d$  are decidable statements.*

*Proof.* Let  $\{s_i\}_{i=1}^n \subseteq \mathcal{S}_d$  be a finite collection of decidable statements. Then  $\{s_i\}_{i=1}^n \subseteq \mathcal{S}_v$  and  $\{\neg s_i\}_{i=1}^n \subseteq \mathcal{S}_v$  are verifiable. Consider  $\bigwedge_{i=1}^n s_i$ : this is the finite conjunction of verifiable statements and is therefore a verifiable statement by 1.32. Consider its negation  $\neg \bigwedge_{i=1}^n s_i \equiv \bigvee_{i=1}^n \neg s_i$ : this is the finite disjunction of verifiable statements and is therefore a verifiable statement by 1.33. The finite conjunction of decidable statements is decidable by definition.

Similarly, consider  $\bigvee_{i=1}^n s_i$ : this is the finite disjunction of verifiable statements and is therefore a verifiable statement by 1.33. Consider its negation  $\neg \bigvee_{i=1}^n s_i \equiv \bigwedge_{i=1}^n \neg s_i$ : this is the finite conjunction of verifiable statements and is therefore a verifiable statement by 1.32. The finite disjunction of decidable statements is decidable by definition.

Note that this cannot be generalized to infinite collection as it would require closure under infinite conjunction. Also note that this result is consistent with the experimental tests given in 1.32 and 1.33.  $\square$

Taken as a whole, finite conjunction and countable disjunction define the **algebra of verifiable statements**. It is limited compared to the algebra of statements and it tells us that, in practice, we are not going to be able in general to construct an experimental test whose success is an arbitrary function of the success of other tests.

Operator	Gate	Statement	Verifiable Statement	Decidable Statement
Negation	NOT	allowed	disallowed	allowed
Conjunction	AND	arbitrary	finite	finite
Disjunction	OR	arbitrary	countable	finite

Table 1.3: Comparing algebras of statements.

Before we continue, it is interesting and useful to stop and understand the interplay between scientific and mathematical constructs.<sup>14</sup> Technically, 1.2, 1.4, 1.10, 1.28, 1.32 and 1.33 are the axioms of our mathematical formalism for statements. Note that the actual content of the statements, the methodology for which an assignment is deemed possible and the procedures for experimental verification are not formally defined: the math simply uses symbols to label and identify them. The only assumptions are that statements exist (organized in logical contexts with possible assignments, one of which is the true one), that some of them are verifiable and that they admit the associated algebra. The mathematical formalism does not know what the symbols actually represent: they may as well be pieces of cardboard painted black or white. The math can only derive consequence given the premise, but it does not know whether the premise makes actual sense. In other words, the way that we are making the framework precise is not by making everything precise: it is by omitting the details that are not amenable to a precise specification.

We should stress this for a couple of reasons. First, the part that is not formalized is *the most important part*. Discovering new science is exactly finding new things to study (i.e. new statements), new connections between known objects (i.e. new logical relationships) or devising new measurement techniques (i.e. new experimental tests). The content of the statements, their semantic relationships and the procedure of the experimental tests *is* the actual science. Everything that follows is, in a sense, the trivial bit and that is why it can be done generally. Which leads to the second reason: understanding whether statements and verifiable statements *actually* follow the algebras we defined is crucial. The math just takes it at face value, it does not prove it. The justifications for our axioms, then, are the most critical part of this work and they are not mathematical proofs. If we botch them, we'll have a nice, consistent, rich but meaningless mathematical framework. Lastly, it has to be clear that something gets lost in the formalization. The mathematical framework cannot carry all the physics content: we removed the most important part! Different systems may have the same mathematical description, so the scientific content can never be entirely reconstructed from the math. That is why we always have to carefully bring it along.

Now that we have characterized verifiable statements we want to understand how to characterize groups of them. Consider the verifiable statements

*“that animal is a duck”*  
*“that animal is a swan”*  
*“that animal is white”*  
*“that animal is black”*  
*“that animal is a black swan”*  
*“that animal is a white duck”*  
*“that animal is a duck or a swan”*

Since some depend on others, we do not need to actually run all the tests. Once we have tested the first four we have gathered enough information for the others. We call this set a

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<sup>14</sup>It took us many many confusing years to fully understand where the scientific argument ends and the mathematical argument begins, what makes sense to assume physically and what makes sense to prove rigorously. Part of the confusion is that this line is not objective but it is based on what is considered “precise” by a mathematician, which has evolved considerably through the centuries. The rule we follow is: in the mathematical formalism the only objects that can be left unspecified are the elements of a set.

basis.<sup>15</sup>

**Definition 1.35.** *Given a set  $\mathcal{D}$  of verifiable statements,  $\mathcal{B} \subseteq \mathcal{D}$  is a **basis** if the truth values of  $\mathcal{B}$  are enough to deduce the truth values of the set. Formally, all elements of  $\mathcal{D}$  can be generated from  $\mathcal{B}$  using finite conjunction and countable disjunction.*

Note that once we have tested the basis, we have tested any other verifiable statement that can be constructed from it. In the example before, once we tested the first four we have implicitly tested “*that animal is a black duck*”. It is also true that contradictions and tautologies don’t really need to be tested. We already know that “*that animal is a duck and a swan*” is false. The idea, then, is to group verifiable statements into experimental domains that can be seen as all the experimental information one can gather for a particular subject. These will include the tautology, the contradiction and any other verifiable statement that can be constructed from a basis. The basis, though, has to be countable so that, by running one test at a time, we can hope to eventually reach any element.

**Definition 1.36.** *An **experimental domain**  $\mathcal{D}$  represents all the experimental evidence that can be acquired about a scientific subject in an indefinite amount of time. Formally, it is a set of statements, closed under finite conjunction and countable disjunction, that includes precisely the tautology, the contradiction, and a set of verifiable statements that can be generated from a countable basis.*

*Justification.* As usual, let’s make sure the formal definition is consistent with the informal one. The set consists only of verifiable statements. Verifiable statements that can be constructed from other verifiable statements represent experimental evidence about the same subject, therefore it is appropriate that the experimental domain is closed under finite conjunction and countable disjunction. Given that each test terminates successfully in a finite amount of time, we can only test a countable set in an indefinite amount of time. Therefore, if the experimental domain didn’t allow for a countable basis, we would always have verifiable statements we would never be able to test.  $\square$

We can think of an experimental domain as the enumeration of all possible verifiable answers to a scientific question. For example, the domain related to the question “what is that animal?” would include “*it is a mammal*”, “*it is a dog*”, “*it is an animal with feathers*” and so on. If two statements are possible answers to that question, then their conjunction and disjunction will also be possible answers. For example: “*it is a dog or a cat*” or “*it is a mammal and it lays eggs*”.

While each statement only needs finite time to be verified, we allow indefinite time for the domain because we want to capture those questions that can be answered only approximately. The idea is that, given more time, we can always get a better answer so, in principle, we have an infinite sequence of tests to perform and continue indefinitely.

The basis not only serves as a way to constrain the size of the experimental domain, but most of the time it will also serve to define the experimental domain itself. We will typically start by characterizing a set of verifiable statements (e.g. a set of characteristics of animals

<sup>15</sup>The term basis is used in general to define a set of objects from which, through a series of operations, one can construct the full space. It is the same for a vector space: from a basis one can construct any other vector through linear combination. What changes is what objects are combined and what operations are used.

and how to identify them) and then consider the domain of all the verifiable statements that can be constructed from them (e.g. the set of all animals and groups of animals we can identify).

### 1.3 Theoretical domains and possibilities

The basis for an experimental domain allows us to create a procedure that will eventually test any verifiable statement. But to fully characterize a domain we want to find those statements, like “*that animal is a cat*” or “*the mass of the photon is exactly 0 eV*”, that, if true, determine the truth value of all verifiable statements in the domain. The main result of this section is that these statements, which we call possibilities for the domain, are not necessarily verifiable themselves. We will therefore need to introduce theoretical domains which consist of those statements we can use to give predictions for an experimental domain. We will also be able to conclude that the set of possibilities for an arbitrary experimental domain has at most the cardinality of the continuum, thus putting a hard constraint on what type of mathematical objects are useful in science.

Suppose  $\mathcal{D}_X$  is the domain of animal species identification. It will contain verifiable statements such as “*that animal has feathers*”, “*that animal has claws*”, “*that animal has paws*”. Some statements are broader and some are narrower. But some statements, like “*that animal is a mute swan (Cygnus olor)*” or “*that animal is a mallard duck (Anas platyrhynchos)*”, are special because if we verify those then we are able to know which other statements are true or false. Once we verify those we are essentially done. These are what we call the possibilities of the domain and enumerating them means characterizing the experimental domain.

Unfortunately, not all possibilities are verifiable statements. Consider the statements  $s_1 =$  “*there is extra-terrestrial life*” and  $s_2 =$  “*there is no extra-terrestrial life*”. We can create an experimental test for the first (i.e. find extra-terrestrial life somewhere) but not for the second (it would require us to check every place in the universe which is something we cannot do). So, for this question, the experimental domain  $\mathcal{D}_X = \{s_1, \top, \perp\}$  is composed of the first statement, the tautology and the contradiction. But  $s_2$  is conceptually still one of the possibilities: if true we have a complete answer for the domain.

What happens is that while the negation of a verifiable statement is not always a verifiable statement, it is still a statement that can be used to characterize the outcome of some experimental test. While we cannot verify non-termination of an experimental test, we can still predict it. To be able to find all possibilities, then, we have to create the set of statements that can be used to make predictions which include negations. We call this set the theoretical domain and theoretical statements its elements.

**Definition 1.37.** *The **theoretical domain**  $\bar{\mathcal{D}}$  of an experimental domain  $\mathcal{D}$  is the set of statements that we can use to state predictions, which is constructed from  $\mathcal{D}$  by allowing negation. We call **theoretical statement** a statement that is part of a theoretical domain. More formally,  $\bar{\mathcal{D}}$  is the set of all statements generated from  $\mathcal{D}$  using negation, finite conjunction and countable disjunction.*

Because of its construction, the theoretical domain will also include all the limits of all the sequences of verifiable statements. Consider the experimental domain for the mass of the photon. It will contain verifiable statements such as

$s_1 = \text{“the mass of the photon is smaller than } 10^{-1} \text{ eV”}$   
 $s_2 = \text{“the mass of the photon is smaller than } 10^{-2} \text{ eV”}$   
 $s_3 = \text{“the mass of the photon is smaller than } 10^{-3} \text{ eV”}$   
 ...

It will not contain the statement  $s = \text{“the mass of the photon is exactly } 0 \text{ eV”}$ , though, as we cannot measure a continuous quantity with infinite precision.

Note  $s$  can be seen as the limit of the sequence of ever increasing precision, but can also be seen as the conjunction for all those statements  $s = \bigwedge_{i=1}^{\infty} s_i$ . In fact, the mass of the photon is exactly 0 if and only if all the finite precision measurements will contain 0 in the range. It makes sense, then, that it is not part of the experimental domain because only finite conjunctions of verifiable statements are verifiable. But we expect  $s$  to be a possibility for the mass of the photon. Why should it be in the theoretical domain?

Because of the De Morgan properties in 1.20, we can express conjunctions in terms of negation and disjunction. So we have  $s = \bigwedge_{i=1}^{\infty} s_i = \neg \bigvee_{i=1}^{\infty} \neg s_i$ . Therefore by allowing negation we are also allowing countable conjunction and therefore we are including all the limits of sequences of verifiable statements.

**Proposition 1.38.** *All theoretical domains are closed under countable conjunction.*

*Proof.* Any countable conjunction  $s = \bigwedge_{i=1}^{\infty} s_i$  is equivalent to the negation of disjunction of the negation:  $s = \neg \bigvee_{i=1}^{\infty} \neg s_i$ . As the theoretical domain is closed under negation and countable disjunction, so it is closed under countable conjunction.  $\square$

**Definition 1.39.** *A theoretical statement  $s \in \bar{\mathcal{D}}$  is **approximately verifiable** if it is the limit of some sequence of verifiable statements. Formally,  $s \in \bar{\mathcal{D}}$  is approximately verifiable if there exists a sequence  $\{s_i\}_{i=1}^{\infty} \in \mathcal{D}$  such that  $s = \bigwedge_{i=1}^{\infty} s_i$ .*

Note that we are closed under countable operations and not arbitrary (e.g. uncountable). Therefore there could be statements that can be constructed from verifiable statements that are not even theoretical statements. One such statement, for example, could be constructed given a set  $U$  of possible mass values for a particle that is uncountable, has an uncountable complement, and where the elements are picked arbitrarily and not according to a simple rule.<sup>16</sup> The statement “the mass of the particle expressed in eV is in the set  $U$ ” can only be tested by checking each value individually. But since the set is uncountable and a procedure can only be made of countable steps, it will be impossible to construct a test for such a statement.

A theoretical statement, then, is one for which we can at least conceive an experimental test. This may not always terminate if the statement is true or it may not always terminate if the statement is false, but at least we have one. The statements that depend on the experimental domain but are not part of the theoretical domain do not even hypothetically allow for a procedure, regardless of the fact that it can terminate, and therefore we do not consider them part of our scientific discourse, even theoretically.

<sup>16</sup>Mathematically, we are looking for a set of real numbers that is not a Borel set.

In general, given a theoretical statement  $\bar{s}$ , we would like to characterize what experimental test can be associated to it. Ideally, we want the experimental test for that statement that terminates, successfully or unsuccessfully, in the most cases. Consider all the verifiable statements that are narrower than  $\bar{s}$ . If we take their disjunction we get the broadest verifiable statement that is still narrower than  $\bar{s}$ . We call this the verifiable part of  $\bar{s}$ , noted  $\text{ver}(\bar{s})$ . Testing  $\text{ver}(\bar{s})$  means running the test that is guaranteed to terminate successfully in the broadest situations in which  $\bar{s}$  is true. In fact, if  $\bar{s}$  is itself verifiable then  $\text{ver}(\bar{s})$  will be exactly  $\bar{s}$ .

Conversely, consider all the verifiable statements that are incompatible with  $\bar{s}$ . If we take their disjunction we get the broadest verifiable statement that is still incompatible with  $\bar{s}$ . We call this the falsifiable part of  $\bar{s}$ , noted  $\text{fal}(\bar{s})$ . Testing  $\text{fal}(\bar{s})$  means running the test that is guaranteed to terminate successfully in the broadest situations in which  $\bar{s}$  is false. In fact, if  $\bar{s}$  is itself falsifiable then  $\text{fal}(\bar{s})$  will be exactly  $\neg\bar{s}$ .

To each theoretical statement, then, we associate the experimental test constructed by returning successfully if the test for the verifiable part succeeds and returning unsuccessfully if the test for the falsifiable part succeeds. We will not be able to terminate if either of those doesn't terminate, which will correspond to the statement  $\neg\text{ver}(\bar{s}) \wedge \neg\text{fal}(\bar{s})$  being true. We call this the undecidable part of  $\bar{s}$ , noted  $\text{und}(\bar{s})$ .

In light of this, consider  $s = \text{"the mass of the photon is rational as expressed in eV"}$ . It is the disjunction of all possibilities with rational numbers, which is countable, and therefore is a theoretical statement. Since we can only experimentally verify finite precision intervals, each verifiable statement will include infinitely many rational (and irrational) numbers. Therefore no verifiable statement is narrower than  $s$  and therefore  $\text{ver}(s) \equiv \perp$ . But for the same reason no verifiable statement is incompatible with  $s$  and therefore  $\text{fal}(s) \equiv \perp$ . Which means  $\text{und}(s) \equiv \top$ . This means that the experimental test for  $s$  will never terminate either successfully or unsuccessfully. We call this type of statements undecidable as we will never be able to experimentally test anything about them.

**Definition 1.40.** Let  $\bar{s} \in \bar{\mathcal{D}}$  be a theoretical statement. We call the **verifiable part**  $\text{ver}(\bar{s}) = \bigvee_{s \in \mathcal{D} \mid s \leq \bar{s}} s$  the broadest verifiable statement that is narrower than  $\bar{s}$ . We call the **falsifiable part**  $\text{fal}(\bar{s}) = \bigvee_{s \in \mathcal{D} \mid s \not\leq \bar{s}} s$  the broadest verifiable statement that is incompatible with  $\bar{s}$ . We call the **undecidable part**  $\text{und}(\bar{s}) = \neg\text{ver}(\bar{s}) \wedge \neg\text{fal}(\bar{s})$  the broadest statement incompatible with both the verifiable and the falsifiable part.

**Corollary 1.41.** The verifiable, falsifiable and undecidable part partition the tautology. That is, for every  $\bar{s} \in \bar{\mathcal{D}}$  we have  $\top \equiv \text{ver}(\bar{s}) \vee \text{und}(\bar{s}) \vee \text{fal}(\bar{s})$  while  $\text{ver}(\bar{s}) \not\leq \text{und}(\bar{s})$ ,  $\text{ver}(\bar{s}) \not\leq \text{fal}(\bar{s})$  and  $\text{und}(\bar{s}) \not\leq \text{fal}(\bar{s})$ .

*Proof.* We have  $\text{und}(\bar{s}) = \neg\text{ver}(\bar{s}) \wedge \neg\text{fal}(\bar{s}) \equiv \neg(\text{ver}(\bar{s}) \vee \text{fal}(\bar{s}))$ . Therefore  $\top \equiv \text{und}(\bar{s}) \vee \neg\text{und}(\bar{s}) \equiv \text{und}(\bar{s}) \vee \text{ver}(\bar{s}) \vee \text{fal}(\bar{s})$ .

Since  $\text{ver}(\bar{s}) \leq \bar{s}$  and  $\text{fal}(\bar{s}) \not\leq \bar{s}$  we have  $\text{ver}(\bar{s}) \not\leq \text{fal}(\bar{s})$ . Since  $\text{und}(\bar{s}) \equiv \neg\text{ver}(\bar{s}) \wedge \neg\text{fal}(\bar{s}) \leq \neg\text{ver}(\bar{s})$  and therefore  $\text{und}(\bar{s}) \not\leq \text{ver}(\bar{s})$ . Similarly, we have  $\text{und}(\bar{s}) \leq \neg\text{fal}(\bar{s})$  and therefore  $\text{und}(\bar{s}) \not\leq \text{fal}(\bar{s})$ .  $\square$

**Corollary 1.42.** *A theoretical statement  $\bar{s} \in \bar{\mathcal{D}}$  is verifiable if and only if  $\bar{s} \equiv \text{ver}(\bar{s})$ . It is falsifiable if and only if  $\neg\bar{s} \equiv \text{fal}(\bar{s})$ . It is decidable if and only if  $\text{und}(\bar{s}) \equiv \perp$ .*

*Proof.* Let  $\bar{s}$  be a verifiable statement. Then  $\bar{s}$  itself is the broadest verifiable statement compatible with itself and therefore  $\bar{s} \equiv \text{ver}(\bar{s})$ . Conversely, let  $\bar{s} \equiv \text{ver}(\bar{s})$ , then  $\bar{s}$  is equivalent to a verifiable statement and is therefore verifiable.

Let  $\bar{s}$  be a falsifiable statement. Then  $\neg\bar{s}$  itself is the broadest verifiable statement incompatible with  $\bar{s}$  and therefore  $\neg\bar{s} \equiv \text{fal}(\bar{s})$ . Conversely, let  $\neg\bar{s} \equiv \text{fal}(\bar{s})$ , then  $\neg\bar{s}$  is equivalent to a verifiable statement and  $\bar{s}$  is therefore falsifiable.

Let  $\bar{s}$  be a decidable statement. Then  $\text{und}(\bar{s}) = \neg\text{ver}(\bar{s}) \wedge \neg\text{fal}(\bar{s}) \equiv \neg\bar{s} \wedge \neg\neg\bar{s} \equiv \neg\bar{s} \wedge \bar{s} \equiv \perp$ . Conversely, let  $\text{und}(\bar{s}) \equiv \perp$ . Then  $\text{ver}(\bar{s})$  and  $\text{fal}(\bar{s})$  are two incompatible statements whose disjunction is a tautology. This means  $\text{ver}(\bar{s}) \equiv \neg\text{fal}(\bar{s})$ . Since  $\neg\text{fal}(\bar{s}) \equiv \text{ver}(\bar{s}) \leq \bar{s} \leq \neg\text{fal}(\bar{s}) \equiv \text{ver}(\bar{s})$  then  $\bar{s} \equiv \text{ver}(\bar{s})$  and  $\neg\bar{s} \equiv \text{fal}(\bar{s})$ . Therefore  $\bar{s}$  is decidable.  $\square$

**Corollary 1.43.** *For every theoretical statement  $\bar{s}$ , the undecidable part  $\text{und}(\bar{s})$  is a falsifiable statement.*

*Proof.* Since  $\text{und}(\bar{s}) \equiv \neg(\text{ver}(\bar{s}) \vee \text{fal}(\bar{s}))$  then it is the negation of a verifiable statement and is therefore falsifiable.  $\square$

**Definition 1.44.** *A theoretical statement  $\bar{s} \in \bar{\mathcal{D}}$  is **undecidable** if  $\text{und}(\bar{s}) \equiv \top$ .*

The theoretical domain, then, contains all sort of edge cases. Similar to undecidable statements, we could have statements that can just never be verified experimentally (i.e.  $\text{ver}(\bar{s}) \equiv \perp$ ) or just never falsified (i.e.  $\text{fal}(\bar{s}) \equiv \perp$ ). The possible presence of these types of statements means we have to be cautious in giving physical significance to all theoretical statements, as the only thing we may be able to say about some is that nothing can be said about them.<sup>17</sup>

In particular, we want to be able to understand when two statements represent two distinct situations that we can tell apart experimentally. If the two statements are verifiable (e.g. “the mass is between 1 and 2 Kg” and “the mass is between 2 and 3 Kg”), incompatibility will be enough to tell us that the two cases are distinct (only one can happen at a time) since verifying one will be enough to exclude the other. If the statements are not both verifiable (e.g. “the mass is between 1 and 2 Kg” and “the mass is exactly 2 Kg”) being incompatible is not enough: their undecidable parts may be compatible in which case we cannot verify one and exclude the other at the same time. In that case, we would not be able to distinguish the two cases experimentally.

For two statements  $\bar{s}_1$  and  $\bar{s}_2$  to be experimentally distinguishable, then, we need to have a third statement  $\bar{s}$  whose verifiable part is broader than one (e.g.  $\text{ver}(\bar{s}) \geq \bar{s}_1$ ) and whose falsifiable part is broader than the other (e.g.  $\text{fal}(\bar{s}) \geq \bar{s}_2$ ). This way if  $\bar{s}$  is found to be true experimentally, we know that  $\bar{s}_1$  may be true and  $\bar{s}_2$  must be false; if  $\bar{s}$  is found to be false experimentally, we know that  $\bar{s}_1$  must be false and  $\bar{s}_2$  may be true; if the test for  $\bar{s}$  does not terminate, then both statements must be false so we are in neither of those cases.

<sup>17</sup>It will be a recurrent theme of this work to make a precise distinction between mathematical objects that represent physical entities (e.g. verifiable statements), those that represent idealizations of physical entities (e.g. theoretical statements) and those that do not have scientific standing (e.g. statements that are neither verifiable nor theoretical).



**Definition 1.45.** Let  $\bar{s}_1, \bar{s}_2 \in \bar{\mathcal{D}}$  be two theoretical statements. We say they are *experimentally distinguishable* if we can find a theoretical statement  $\bar{s} \in \bar{\mathcal{D}}$  such that  $\bar{s}_1 \leq \text{ver}(\bar{s})$  and  $\bar{s}_2 \leq \text{fal}(\bar{s})$ .

**Proposition 1.46.** Two theoretical statements  $\bar{s}_1, \bar{s}_2 \in \bar{\mathcal{D}}$  are experimentally distinguishable if and only if we can find two verifiable statements  $s_1, s_2 \in \mathcal{D}$  such that  $s_1 \not\leq s_2$ ,  $\bar{s}_1 \leq s_1$  and  $\bar{s}_2 \leq s_2$ .

*Proof.* Let  $\bar{s}_1, \bar{s}_2 \in \bar{\mathcal{D}}$  be experimentally distinguishable. Then we can find  $\bar{s}$  such that  $\bar{s}_1 \leq \text{ver}(\bar{s})$  and  $\bar{s}_2 \leq \text{fal}(\bar{s})$ . Moreover,  $\text{ver}(\bar{s}) \not\leq \text{fal}(\bar{s})$ . Therefore  $\text{ver}(\bar{s})$  and  $\text{fal}(\bar{s})$  are verifiable statements that satisfy the condition in the proposition.

Conversely, let  $s_1, s_2 \in \mathcal{D}$  such that  $s_1 \not\leq s_2$ ,  $\bar{s}_1 \leq s_1$  and  $\bar{s}_2 \leq s_2$ . We have  $\bar{s}_1 \leq s_1 \equiv \text{ver}(s_1)$ . We also have  $\bar{s}_2 \leq s_2 \leq \text{fal}(s_1)$  because  $\text{fal}(s_1)$  by definition is broader than all verifiable statements incompatible with  $s_1$ . Therefore  $\bar{s}_1, \bar{s}_2 \in \bar{\mathcal{D}}$  are experimentally distinguishable.  $\square$

To sum up, verifiable statements are the only ones that, perhaps under some simplifying assumption, we can think of as tangible scientific objects (e.g. the idea that we can measure mass with finite precision). From these we construct theoretical statements that represent our idealizations (e.g. the infinitely precise value for the mass of a particle or the idea that said value is a rational number expressed in a particular unit). And then there are statements that are not even physically meaningful as they have no well defined experimental consequences.

We have seen that the theoretical domain may contain more statements, but we want to make it clear that it never contains more information. That is, if we knew which verifiable statements were true and which weren't, we would automatically know which theoretical statements would be true or not. So it is not adding extra cases. It is essentially completing the list of answers by adding a “no” if only “yes” can be experimentally verified and vice-versa. To see that this is the case, we can show that a basis for an experimental domain  $\mathcal{D}$  is also a basis for its theoretical domain  $\bar{\mathcal{D}}$ . That is, all verifiable and theoretical statements can be expressed as functions of the same basis. The difference is that a theoretical statement can be a function of the negation of the element of a basis.

**Proposition 1.47.** The truth values of the statements of a basis  $\mathcal{B}$  for an experimental domain  $\mathcal{D}$  are enough to determine the truth values for all statements in the associated theoretical domain  $\bar{\mathcal{D}}$ . More formally, all statements in the theoretical domain  $\bar{\mathcal{D}}$  can be generated by negation, countable conjunction and countable disjunction from a basis  $\mathcal{B}$  of  $\mathcal{D}$ .

*Proof.* By definition of basis, any verifiable statement within the experimental domain  $\mathcal{D}$  can be generated from  $\mathcal{B}$  using only finite conjunction and countable disjunction. The tautology may be generated through negation and disjunction from any verifiable statement therefore  $\mathcal{B}$  generates  $\mathcal{D}$  which in turn generates  $\bar{\mathcal{D}}$  by definition. This means that  $\mathcal{B}$ , through negation, countable conjunction and countable disjunction, generates all of  $\bar{\mathcal{D}}$ .  $\square$

Having defined what a theoretical domain is, we can finally define what the possibilities of a domain are: those statements that if known to be true determine the truth value of all other statements.

**Definition 1.48.** A *possibility* for an experimental domain  $\mathcal{D}$  is a statement  $x \in \bar{\mathcal{D}}$  that, when true, determines the truth value for all statements in the theoretical domain. Formally,  $x \neq \perp$  and for each  $s \in \bar{\mathcal{D}}$ , either  $x \leq s$  or  $x \not\leq s$ . The **full possibilities**, or simply the **possibilities**,  $X$  for  $\mathcal{D}$  are the collection of all possibilities.

A possibility represents a complete answer for a scientific question. Only one of them can be true and one of them must be true since the theoretical domain contains all negations. But how can we construct them? Suppose  $\mathcal{D}$  is the experimental domain for animal species identification. There will be a set of possible truth assignments for it. Suppose  $\mathcal{B} \subseteq \mathcal{D}$  is a basis of statements, like “that animal has feathers”, “that animal has claws”, “that animal has paws” and so on, that allow us to fully identify the animal species. Then each possible assignment for the basis will correspond to one and only one assignment for the domain. Consider a minterm, a conjunction where each statement appears once either negated or not. For example, “that animal has feathers”  $\wedge$  “that animal has claws”  $\wedge$   $\neg$  “that animal has paws”  $\wedge$  ... . If that statement is true, it will determine the truth value of all the basis, it will select one possible truth assignment for the whole domain. Then a possibility for the domain is simply a minterm of a basis that corresponds to a possible assignment for the domain.

**Proposition 1.49.** Let  $\mathcal{D}$  be an experimental domain. A possibility for  $\mathcal{D}$  is any minterm of a basis that is not a contradiction.

*Proof.* Let  $\mathcal{B} \subseteq \mathcal{D}$  be a basis for  $\mathcal{D}$ . Let  $x$  be a minterm of  $\mathcal{B}$ . Any theoretical statement  $s \in \bar{\mathcal{D}}$  can be expressed as the disjunction of minterms of  $\mathcal{B}$  by 1.27 and by 1.47.  $x$  is either within the minterms needed to express  $s$ , or not. If it is,  $x \wedge s \equiv x$  and therefore  $x \leq s$ . If it's not,  $x \wedge \neg s \equiv x$  and therefore  $x \not\leq s$ . Therefore a minterm is either narrower or incompatible with all theoretical statements and, if it is not a contradiction, it is a possibility by definition.

Conversely, suppose  $x \in \bar{\mathcal{D}}$  is a possibility. As it is a theoretical statement, it can be expressed as a disjunction of minterms of a basis  $\mathcal{B}$ . Suppose it is the disjunction of more than one minterm. Then each minterm would be narrower than  $x$ , which cannot be.  $x$  must be expressed by a single minterm. Therefore any possibility is a minterm.  $\square$

**Proposition 1.50** (No other possibilities). All statements that determine and only determine the truth value of all statements in a theoretical domain  $\bar{\mathcal{D}}$  are possibilities of  $\mathcal{D}$ . Formally, there is no statement  $s \in \mathcal{S}$  that has all the properties of a possibility except that  $s \notin \bar{\mathcal{D}}$ .

*Proof.* Let  $x \in \mathcal{S}$  be a statement that determines and only determines all truth values of all statements in a theoretical domain  $\bar{\mathcal{D}}$ . This is equivalent to determining the truth values and only the truth values of all elements of a basis  $\mathcal{B} \subseteq \mathcal{D}$ . As we can find a countable basis, the statement  $x$  is equivalent to the countable conjunction of statements of  $\mathcal{B}$  or their negation. Therefore  $x \in \bar{\mathcal{D}}$  as it is generated by the statements of the basis by negation and countable conjunction. But a statement in  $\bar{\mathcal{D}}$  that determines all truth values of the statements in  $\bar{\mathcal{D}}$  is a possibility by definition. Therefore  $x$  is a possibility.  $\square$

There is one possibility that is often forgotten and sometimes needs special handling. Suppose one is trying to identify an illness by going through a series of known markers. It

may happen that no match for the disease is found because we are dealing with a new kind of illness. In the same way, we may fail to identify a plant or animal from the standard taxonomy as we may have found a new species. In other words, it may be possible that none of our tests succeed and none of the verifiable statements is verified. We call this possibility the residual because it's what remains after we went through all the cases we already know.

Note, though, that the residual possibility does not exist for all domains. Suppose we have a basket of fruit and we want to count how many whole apples there are. There can only be a finite number of them, and we can successfully identify all finite numbers: there is no "something else" to be found in this case.

**Definition 1.51.** *The **residual possibility**  $\hat{x}$  for an experimental domain  $\mathcal{D}$  is, if it exists, the possibility that predicts that no test will be successful. Formally, let  $\mathcal{B} \subseteq \mathcal{D}$  be a basis then  $\hat{x} = \bigwedge_{e \in \mathcal{B}} \neg e$  if it is not a contradiction. An experimental domain is **complete** if it doesn't admit a residual possibility.*

**Definition 1.52.** *The **established possibilities**  $\dot{X} = X \setminus \{\hat{x}\}$  for an experimental domain is the set of all possibilities excluding the residual possibility.*

As we refine our understanding and techniques for a domain of knowledge, we may find that the residual possibility actually corresponds to multiple cases that weren't previously cataloged. So, intuitively, it is better thought of as a bucket that contains all that is yet to be experimentally discovered within the particular domain of knowledge. Because of its special nature, the residual possibility sometimes behaves differently than the other possibilities. Therefore we will find that some theorems are more elegantly expressed in terms of the established possibilities and some in terms of the full possibilities.

To sum up the mathematical structure of the domain, consider the following table:

$\overbrace{\hspace{14em}}^{\mathcal{D}}$													
$\overbrace{\hspace{6em}}^{\mathcal{B}}$								$\overbrace{\hspace{6em}}^X$					
$b_1$	$b_2$	...	$s_1$	$s_2$	...	$\bar{s}_1$	$\bar{s}_2$	...	$x_1$	$x_2$	$x_3$	$x_4$	...
T	F	...	T	F	...	F	F	...	T	F	F	F	...
T	T	...	T	F	...	F	T	...	F	T	F	F	...
F	F	...	T	T	...	F	F	...	F	F	T	F	...
T	F	...	F	F	...	T	T	...	F	F	F	T	...

On the left we find the basis elements  $b \in \mathcal{B}$ . From these, through finite conjunction and countable disjunction we construct all verifiable statements  $s \in \mathcal{D}$ . From these, through negation, countable conjunction and countable disjunction, we construct all theoretical statements  $\bar{s} \in \bar{\mathcal{D}}$ . Within these we find the possibilities  $x \in X$ . Each row corresponds to a possible truth assignment in the domain. Each possibility is true in one and only one possible assignment. The mathematical structure, then, is simply there to keep track of the logical relationships between all the statements, which assignments are possible and which statements are verifiable.

With our definitions in mind, we can answer the following fundamental question: what is the maximum number of possibilities that an experimental domain can have? In other words: what is the maximum number of cases among which we can distinguish experimentally? As we saw before, a possibility is a statement that defines the truth values of a basis. Since a basis is countable, we can uniquely identify a possibility by a countable sequence of TRUE or FALSE. Note that a real number expressed in a binary basis (e.g. 0.10110001...) will also be uniquely identified by such a sequence: the cardinality of the possibilities is at most that of the continuum.

**Theorem 1.53.** *The possibilities  $X$  for an experimental domain  $\mathcal{D}$  have at most the cardinality of the continuum.*

*Proof.* Let  $\mathcal{B} = \{e_i\}_{i=1}^{\infty} \subseteq \mathcal{D}$  be a countable basis. Let  $2^{\mathbb{N}}$  denote the set of infinite binary sequences. We define the function  $F: X \rightarrow \mathbb{B}^{\mathbb{N}}$  such that  $F(x) = \{F(x)_i\}_{i=1}^{\infty}$  is given by:

$$F(x)_i = \begin{cases} \text{TRUE} & x \approx e_i \\ \text{FALSE} & x \not\approx e_i \end{cases}$$

For each  $x \in X$  we have  $x = \bigwedge_{i=1}^{\infty} \neg^{F(x)_i} e_i$ . Suppose  $x_1 \neq x_2$ , then  $F(x_1)_i \neq F(x_2)_i$  for some  $i$ , therefore  $F$  is injective. We then have  $|X| \leq |2^{\mathbb{N}}| = |\mathbb{R}|$ .  $X$  has at most the cardinality of the continuum.  $\square$

This means we have an upper bound on how many cases can be distinguished experimentally: only up to the continuum. We are not going to be able to tell apart experimentally more possibilities than those. This result gives us a basic requirement for any mathematical object we want to use in a scientific theory: if the cardinality is greater than the continuum, it cannot have a well defined experimental meaning. For example, while the set of all continuous functions between real numbers has the cardinality of the continuum, the set of all functions (including discontinuous ones) has greater cardinality. We can already conclude that the first set may be useful to represent physical objects and the second may not.

As we are looking into the cardinality of the possibilities of a domain, it should not be surprising that the only way we can have infinitely many possibilities is if we are given infinitely many verifiable statements. As each verifiable statement can distinguish between two cases (i.e. true or false), we need infinitely many of these distinctions to reach infinitely many cases.

**Proposition 1.54.** *Let  $\mathcal{D}_X$  be an experimental domain. The following are equivalent:*

1. *the set of possibilities is finite*
2. *the experimental domain contains finitely many verifiable statements*
3. *there exists a finite basis*

*Proof.* To prove 2 from 1, let  $\mathcal{D}_X$  be an experimental domain and  $X$  its possibilities. Suppose  $X$  is finite. Since any statement in  $\mathcal{D}_X$  can be generated from  $X$  using finite conjunction,  $\mathcal{D}_X$  must be finite as well.

To prove 3 from 2, let  $\mathcal{D}_X$  contain finitely many verifiable statements. Then a basis will consist of finitely many statements as it is a subset of the domain.

To prove 1 from 3, suppose  $\mathcal{B}$  is a finite basis for the domain. Since the possibilities are minterms of the basis, we have  $|X| \leq |2^{|\mathcal{B}|}|$ . Since the basis is finite,  $X$  is finite as well.  $\square$

We have now presented the fundamental objects of our general mathematical theory of experimental science. In our framework, a “scientific theory” or a “scientific model” *is* an experimental domain: a set of statements, what they mean and how to verify them experimentally.

The starting point is often a basis: a set of verifiable statements which defines all the domain knowledge that we can gather experimentally. The content of the statements determines what combinations can be true at the same time, which defines the possibilities for our domain. Everything in the domain is grounded within the verifiable statements: there is nothing else in it. This also maps well to the practice of most scientific fields, where one defines states and other physical objects based on what can be measured.

As we’ll see later, some verifiable statements may be idealizations. For example, we may assume that a quantity can be measured with arbitrary level of precision, which we know not to be strictly true. We may assume a volume of gas to have a well defined temperature, which we know not to be true if it is not at equilibrium. This type of simplification makes a domain applicable only within the realm of validity of those idealizations, but does not change the formal structure we have identified here. In fact, the focus of much of this work will be deriving the details of different experimental domains under different physical assumptions.

The main point of our framework is that this conceptual structure is inescapable once we set the principle of scientific objectivity. We will always need a set of statements and a way to test them and, if we are given those, we have all we need. These elements are necessary and sufficient to be able to do science. And by being clear about which statements can be considered physically meaningful, and which are an idealization, we can then be more precise on the physical status of each component of a particular scientific theory.

## 1.4 Topological spaces

Now that we have defined what experimental domains are, we want to explore the link between them and some fundamental mathematical structures. The main result of this section is that an experimental domain provides a natural topology for its possibilities. Each verifiable statement can be seen as the disjunction of a set of possibilities. Performing finite conjunction and countable disjunction of verifiable statements means performing finite union and countable intersection on those sets of possibilities.

Topological spaces were developed in the first half of the 1900s as a generalization of metric spaces. The idea is to define a notion of closeness without having to define an actual distance.<sup>18</sup> Other branches of math (e.g. metric spaces, differential geometry, Lie algebras) now see their foundation on topological spaces, which therefore play a very important role in mathematics as a whole. In our case, this notion of closeness will map to how hard it is to

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<sup>18</sup>In science and engineering, one talks about topology also when discussing the structure of a molecule, an electronic circuit or a computer network. These types of structures (i.e. nodes connected by vertices) are studied by graph theory and should not be confused with point-set topology.

tell possibilities apart. That is, possibilities that are topologically closer are more difficult to distinguish experimentally.

Let's first review what a topology is. The general idea is that we have a set  $X$  of elements, which we call points, and a collection of subsets of  $X$  such that it is closed under finite intersection and arbitrary union, contains the empty set and contains the whole set  $X$ . For example, suppose  $X = \{1, 2, 3\}$  then  $\{\{\}, \{1\}, \{2\}, \{1, 2, 3\}\}$  is not a topology while  $\{\{\}, \{1\}, \{2\}, \{1, 2\}, \{1, 2, 3\}\}$  is. The first one is missing the union of  $\{1\}$  and  $\{2\}$ .

**Definition 1.55.** Let  $X$  be a set. A **topology** on  $X$  is a collection  $\mathbb{T}_X$  of subsets of  $X$  closed under finite intersection and arbitrary union such that it contains  $X$  and  $\emptyset$ . A **topological space** is a tuple  $(X, \mathbb{T}_X)$  of a set and a topology defined on it.

Mathematicians designed this abstract mathematical structure because it is a useful and general tool to study the notion of continuity. It also happens that all the mathematical structures used in science are topological spaces. Why is that? What is it that topological spaces capture?

Let's go back to our verifiable statements and possibilities. For example, consider  $s_1 =$  "the mass of the photon is less than  $10^{-10}$  eV". This can be expressed as  $s_1 = \bigvee_{0 \leq x < 10^{-10}}$  "the mass of the photon is precisely  $x$  eV": the precise value must be in the given range of possibilities. Consider  $s_2 =$  "the mass of the photon is greater than  $10^{-20}$  eV" =  $\bigvee_{x > 10^{-20}}$  "the mass of the photon is precisely  $x$  eV". The conjunction is the intersection of the possible values:  $s_1 \wedge s_2 =$  "the mass of the photon is between  $10^{-20}$  and  $10^{-10}$  eV" =  $\bigvee_{10^{-20} < x < 10^{-10}}$  "the mass of the photon is precisely  $x$  eV". The disjunction is the union of the possible values:  $s_1 \vee s_2 =$  "the mass of the photon can be anything" =  $\bigvee_{x \geq 0}$  "the mass of the photon is precisely  $x$  eV".

This is something that works in general. In Proposition 1.27 we saw that, if a statement is a function of other statements, it can be expressed as the disjunction of minterms of the arguments. A verifiable statement is a function of basis, so it can be expressed as the disjunction of minterms of a basis. But we have also seen that the minterms of a basis are the possibilities, so each verifiable statement can be expressed as the disjunction of possibilities.

Therefore each statement in the experimental domain defines a set of possibilities, which we call a verifiable set. Since tautology and contradiction are in the domain, the empty set and the full set of possibilities are verifiable sets. Since we can take finite conjunction and countable disjunction of verifiable statements, we can take finite intersection and countable union of verifiable sets. The collection of all verifiable sets forms a topology on the set of possibilities.

**Definition 1.56.** Let  $\mathcal{D}$  be an experimental domain and  $X$  its possibilities. We define the map  $U : \mathcal{D} \rightarrow 2^X$  that for each statement  $s \in \mathcal{D}$  returns the set of possibilities compatible with it. That is:  $U(s) \equiv \{x \in X \mid x \approx s\}$ . We call  $U(s)$  the **verifiable set** of possibilities associated with  $s$ .

**Proposition 1.57.** A statement  $s \in \mathcal{D}$  is equivalent to the disjunction of the possibilities

in its verifiable set  $U(\mathfrak{s})$ . That is,  $\mathfrak{s} \equiv \bigvee_{x \in U(\mathfrak{s})} x$ .

*Proof.* First we show each statement is the disjunction of some set of possibilities. Let  $\mathcal{D}$  be an experimental domain,  $\mathfrak{s} \in \mathcal{D}$  a verifiable statement and  $\mathcal{B} \subseteq \mathcal{D}$  a basis. Since  $\mathfrak{s}$  is a function of the basis, it can be expressed as a disjunction of minterms of  $\mathcal{B}$ . The minterms of  $\mathcal{B}$  that are contradictions can be ignored since  $\mathfrak{s} \vee \perp \equiv \mathfrak{s}$ . But the minterms of  $\mathcal{B}$  that are not contradictions are possibilities of  $\mathcal{D}$  so  $\mathfrak{s} = \bigvee_{x \in U} x$  for some  $U \subseteq X$ .

Now we show it is the disjunction of its verifiable set. Let  $x \in X$  be a possibility and consider  $x \wedge \mathfrak{s}$ . If  $x \in U$  then  $x \wedge \mathfrak{s} = x \wedge \bigvee_{\hat{x} \in U} \hat{x} = \bigvee_{\hat{x} \in U} (x \wedge \hat{x}) \equiv x \neq \perp$ . Therefore  $x \wedge \mathfrak{s}$ . If  $x \notin U$  then  $x \wedge \mathfrak{s} \equiv \perp$ . Therefore  $x \not\wedge \mathfrak{s}$ . This means  $U = U(\mathfrak{s})$  as it contains and only contains all the possibilities compatible with  $\mathfrak{s}$ .  $\square$

**Proposition 1.58.** *Let  $X$  be the set of possibilities for an experimental domain  $\mathcal{D}$ .  $X$  has a natural topology given by the collection of all verifiable sets  $\mathbb{T}_X = U(\mathcal{D})$ .*

*Proof.* The verifiable sets for the tautology and the contradiction correspond to the full set and empty set respectively. Formally,  $U(\top) = \{x \in X \mid x \wedge \top\} = X$  while  $U(\perp) = \{x \in X \mid x \wedge \perp\} = \emptyset$ . Therefore  $X, \emptyset \in U(\mathcal{D})$  since  $\top, \perp \in \mathcal{D}$ .

The finite intersection of verifiable sets corresponds to the verifiable set of the finite conjunction and therefore it is a verifiable set. Formally,  $U(\mathfrak{s}_1 \wedge \mathfrak{s}_2) = \{x \in X \mid x \wedge (\mathfrak{s}_1 \wedge \mathfrak{s}_2)\} = \{x \in X \mid x \wedge \mathfrak{s}_1 \text{ and } x \wedge \mathfrak{s}_2\} = \{x \in X \mid x \wedge \mathfrak{s}_1\} \cap \{x \in X \mid x \wedge \mathfrak{s}_2\} = U(\mathfrak{s}_1) \cap U(\mathfrak{s}_2)$ .

The countable union of verifiable sets corresponds to the verifiable set of the countable disjunction and therefore it is a verifiable set. Formally,  $U(\mathfrak{s}_1 \vee \mathfrak{s}_2) = \{x \in X \mid x \wedge (\mathfrak{s}_1 \vee \mathfrak{s}_2)\} = \{x \in X \mid x \wedge \mathfrak{s}_1 \text{ or } x \wedge \mathfrak{s}_2\} = \{x \in X \mid x \wedge \mathfrak{s}_1\} \cup \{x \in X \mid x \wedge \mathfrak{s}_2\} = U(\mathfrak{s}_1) \cup U(\mathfrak{s}_2)$ . This generalizes to countable disjunctions. Arbitrary disjunctions can be re-expressed as countable disjunctions, since any verifiable statement can always be expressed in terms of a countable basis.

The collection  $\mathbb{T}_X = U(\mathcal{D})$  is therefore a topology by definition since it satisfies all its properties.  $\square$

Mainly for historical reasons, the sets in a topology are called open sets. The complements of open sets are called closed sets. In metric spaces, such as the Euclidean space with the standard topology, these will map to the standard notion of open and closed intervals. But, in general, they do not and this may lead to confusion. For example, if we take the integers with their standard topology, any subset is both open and closed.

Given that we are only interested in the natural topologies of possibilities, we are going to refer to the sets in our topology as verifiable sets and we will occasionally call refutable sets their complements. For example, when counting apples a subset of the integers is both verifiable and refutable: we can test whether the apple count is within or outside that set of possible numbers. While this terminology does not follow math convention, we find it more intuitive and meaningful in the context of this work.

We can also re-express the semantic relationships between statements in terms of set operations on the verifiable sets. For example, “that animal is a cat” is narrower than “that animal is a mammal” because the set of possibilities for which the first is true is a subset of the possibilities for which the second is true. Conversely, “that animal is a cat” and “that animal is a dog” are incompatible because the set of possibilities in which both are true is

empty.

In the following table we summarize how statement operations and relationships are expressed in terms of operations and relationships between sets of possibilities.

	Statement relationship		Set relationship
$s_1 \wedge s_2$	(Conjunction)	$U(s_1) \cap U(s_2)$	(Intersection)
$s_1 \vee s_2$	(Disjunction)	$U(s_1) \cup U(s_2)$	(Union)
$\neg s$	(Negation)	$U(s)^C$	(Complement)
$\text{ver}(s)$	(Verifiable part)	$\text{int}(U(s))$	(Interior)
$\text{fal}(s)$	(Falsifiable part)	$\text{ext}(U(s))$	(Exterior)
$\text{und}(s)$	(Undecidable part)	$\partial U(s)$	(Boundary)
$s_1 \equiv s_2$	(Equivalence)	$U(s_1) = U(s_2)$	(Equality)
$s_1 \preceq s_2$	(Narrower than)	$U(s_1) \subseteq U(s_2)$	(Subset)
$s_1 \succeq s_2$	(Broader than)	$U(s_1) \supseteq U(s_2)$	(Superset)
$s_1 \approx s_2$	(Compatibility)	$U(s_1) \cap U(s_2) \neq \emptyset$	(Intersection not empty)

Table 1.4: Correspondence between statement operators and set operators.

Let's review the definition of basis and sub-basis for a topology: a collection of sets from which we can generate the whole topology through finite intersection and countable union (for a sub-basis) or just through countable union (for a basis). Bases are important since they are often used in proofs and calculations. Moreover, many properties of topologies can be shown to be equivalent to properties of one of their bases. Countability, and in particular second-countability, is one such property which characterizes the number of verifiable sets in the topology.

**Definition 1.59.** A collection  $\mathcal{B} \subseteq \mathbb{T}_X$  of verifiable sets of  $X$  is a **sub-basis** if every verifiable set in  $X$  is the union of finite intersections of elements of  $\mathcal{B}$ . It is a **basis** if every verifiable set in  $X$  is the union of elements of  $\mathcal{B}$ .

**Definition 1.60.** A topology for  $X$  is **second-countable** if it admits a countable basis.

There is a link between the basis of an experimental domain and a sub-basis of a topology. If every statement in the experimental domain can be constructed from a basis through finite conjunction and countable disjunction, then each corresponding verifiable set can be generated through intersection and union of the verifiable set corresponding to the basis. Therefore the verifiable set corresponding to the basis of the experimental domain forms a sub-basis in the topology. Since experimental domains must have a countable basis to make sure we can test any verifiable statement given enough time, the topologies we'll be interested in must be second-countable.

**Proposition 1.61.** Let  $X$  be the set of possibilities of an experimental domain  $\mathcal{D}$ . Let  $\mathcal{B} \subseteq \mathcal{D}$  be a basis for the domain, then the collection of verifiable sets  $U(\mathcal{B}) \cup \{X\}$  forms a sub-basis for the natural topology of  $X$ .

*Proof.* Since every verifiable statement of a domain can be generated by finite conjunction and countable disjunction from a basis  $\mathcal{B} \subseteq \mathcal{D}$ , its corresponding verifiable set



can be generated by finite intersection and countable union from the verifiable sets  $U(\mathcal{B})$  corresponding to the basis. Note that the tautology, though, is not necessarily the union of  $U(\mathcal{B})$ : if the domain is not complete, the residual possibility is not contained in any verifiable sets. Therefore  $U(\mathcal{B}) \cup \{X\}$  can generate all verifiable sets, including the one for the tautology, and is a sub-basis.  $\square$

**Proposition 1.62.** *The natural topology for the possibilities of an experimental domain is second-countable.*

*Proof.* Since each experimental domain admits a countable basis, its verifiable sets form a countable sub-basis for the natural topology. We can close the sub-basis over finite intersection, forming a countable basis for the topology. The natural topology is therefore second-countable as it admits a countable basis.  $\square$

Another important property to classify topological spaces is the degree of separation of their elements: how well one can use verifiable sets to tell points and sets apart. A Kolmogorov, or  $T_0$ , space is one in which for every pair of points there is always a verifiable set that contains one but not the other. This property is significant because it allows all points to be distinguished through verifiable sets. A Fréchet, or  $T_1$ , space is one in which for every pair of points we can find two verifiable sets each containing only one. In a Hausdorff, or  $T_2$ , space the two verifiable sets containing the points are disjoint. This implies the uniqueness of limits of sequences of points.

**Definition 1.63.** *A topology for  $X$  is **Kolmogorov** (or  $T_0$ ) if for every two elements  $x_1, x_2 \in X$  there exists a verifiable set  $U \in \mathcal{T}_X$  containing one element but not the other. That is: either  $x_1 \in U$  while  $x_2 \notin U$  or  $x_1 \notin U$  while  $x_2 \in U$ .*

**Definition 1.64.** *A topology for  $X$  is **Fréchet** (or  $T_1$ ) if for every two elements  $x_1, x_2 \in X$  there exist two, not necessarily disjoint, verifiable sets  $U_1, U_2 \in \mathcal{T}_X$  each containing only one element. That is:  $x_1 \in U_1$  and  $x_2 \in U_2$ .*

**Definition 1.65.** *A topology for  $X$  is **Hausdorff** (or  $T_2$ ) if for every two elements  $x_1, x_2 \in X$  there exist two disjoint verifiable sets  $U_1, U_2 \in \mathcal{T}_X$  each containing one element. That is:  $U_1 \cap U_2 = \emptyset$ ,  $x_1 \in U_1$  and  $x_2 \in U_2$ .*

*Remark.* Note that  $T_2$  implies  $T_1$  which in turn implies  $T_0$ .

How do these properties relate to experimental domains? Consider two possibilities for a domain, for example “*that is a cat*” and “*that is a swan*”. We can always find a verifiable statement, such as “*that animal has feathers*”, that we can use to distinguish one possibility from the other. This means that, given two different possibilities, we can always find a verifiable set that contains one and not the other: the natural topology for any set of possibilities is always  $T_0$ .

Now suppose two possibilities are approximately verifiable as we defined in Definition 1.39. For example, “*the mass of the photon is exactly 0 eV*” or “*the mass of the photon is exactly  $10^{-20}$  eV*”. We can find two verifiable statements “*the mass of the photon is less than  $10^{-25}$  eV*” and “*the mass of the photon is more than  $10^{-25}$  eV*” each compatible with only one possibility. This means that, given two approximately verifiable possibilities, we can find

two verifiable sets each containing only one possibility: if all possibilities are approximately verifiable then the natural topology is  $\mathsf{T}_1$ .

Now suppose two possibilities are experimentally distinguishable as we defined in Definition 1.45. Then, by 1.46, we can find two disjoint approximations. In the example before, the two verifiable statements were in fact incompatible. This means that, given two approximately verifiable possibilities, we can find two disjoint verifiable sets each containing only one possibility: if all possibilities experimentally distinguishable then the natural topology is  $\mathsf{T}_2$ .

**Proposition 1.66.** *The natural topology of a set of possibilities is Kolmogorov (or  $\mathsf{T}_0$ ).*

*Proof.* Let  $X$  be the set of possibilities for an experimental domain  $\mathcal{D}$ . Let  $x_1, x_2 \in X$  be two distinct possibilities. Each of them can be expressed as a minterm of a basis  $\mathcal{B} \subseteq \mathcal{D}$ . Since the two possibilities are distinct, there must exist a verifiable statement  $e \in \mathcal{B}$  that appears negated in one conjunction but not the other. That is,  $e$  is compatible with only one possibility. Since the verifiable set associated with a verifiable statement contains only the possibilities compatible with said statement, the verifiable set of  $e$  either contains  $x_1$  or  $x_2$  but not both. The topology is therefore Kolmogorov (or  $\mathsf{T}_0$ ).  $\square$

**Proposition 1.67.** *The natural topology of a set of possibilities is Fréchet (or  $\mathsf{T}_1$ ) if and only if all possibilities are approximately verifiable.*

*Proof.* Suppose all possibilities in  $X$  for an experimental domain  $\mathcal{D}_X$  are approximately verifiable. Let  $x_1, x_2 \in X$  be two possibilities, then we can find two sequences of verifiable statements  $\{\mathbf{s}_i^1\}_{i=1}^\infty, \{\mathbf{s}_j^2\}_{j=1}^\infty \in \mathcal{D}_X$  such that  $x_1 = \bigwedge_{i=1}^\infty \mathbf{s}_i^1$  and  $x_2 = \bigwedge_{j=1}^\infty \mathbf{s}_j^2$ . We can assume the sequences are monotone with respect to narrowness, that is  $\mathbf{s}_{i+1}^1 \leq \mathbf{s}_i^1$ , as we can always create a monotone sequence from one that is not by taking the sequence of finite conjunction, that is  $\hat{\mathbf{s}}_k^1 = \bigwedge_{i=1}^k \mathbf{s}_i^1$ . If  $x_1 \neq x_2$ , then  $x_1 \wedge x_2 \equiv \perp$  since different possibilities are incompatible. Therefore we must have  $x_1 \wedge \mathbf{s}_j^2 \equiv \perp$  and  $\mathbf{s}_i^1 \wedge x_2 \equiv \perp$  from some  $i, j \geq 1$  or the limits would not be incompatible. In terms of verifiable sets we have  $x_2 \notin U(\mathbf{s}_i^1)$  and  $x_1 \notin U(\mathbf{s}_j^2)$ . For any two distinct possibilities we can find two verifiable sets each containing one: the natural topology is  $\mathsf{T}_1$ .

Conversely, suppose the natural topology  $\mathsf{T}_X$  for the possibilities  $X$  for an experimental domain  $\mathcal{D}_X$  is  $\mathsf{T}_1$ . Let  $x \in X$  be a possibility. Consider the collection, not necessarily countable, of all verifiable sets  $\{U_i\}_{i \in I} \subset \mathsf{T}_X$  such that they contain  $x$ . Consider their intersection  $U_x = \bigcap_{i \in I} U_i$ . It will contain  $x$  since all  $U_i$  contain  $x$ . It will not contain anything else: since the topology is  $\mathsf{T}_1$ , for every other possibility  $\hat{x}$  there is always an open set  $U_i$  that does not contain it. Therefore  $U_x = \{x\}$ . Because the natural topology is second countable, we can find a countable basis  $\mathcal{B}$  and rewrite the arbitrary intersection into  $\{x\} = \bigcap_{i=1}^\infty V_i$  a countable intersection of elements  $V_i \in \mathcal{B}$  of the basis. Let  $\{\mathbf{s}_i\}_{i=1}^\infty$  be the sequence of verifiable statements such that  $U(\mathbf{s}_i) = V_i$  for every  $i$ . Then  $x = \bigwedge_{i=1}^\infty \mathbf{s}_i$  which means  $x$  is approximately verifiable.  $\square$

**Proposition 1.68.** *The natural topology of a set of possibilities is Hausdorff (or  $\mathbb{T}_2$ ) if and only if all possibilities are pairwise experimentally distinguishable.*

*Proof.* Suppose all possibilities in  $X$  for an experimental domain  $\mathcal{D}_X$  are pairwise experimentally distinguishable. Then, by 1.46, given two possibilities  $x_1, x_2 \in X$  we can find two verifiable statements  $s_1, s_2 \in \mathcal{D}_X$  such that  $s_1 \not\approx s_2$ ,  $x_1 \preceq s_1$  and  $x_2 \preceq s_2$ . In terms of verifiable sets we have  $U(s_1) \cap U(s_2) = \emptyset$ ,  $x_1 \in U(s_1)$  and  $x_2 \in U(s_2)$ . The topology is  $\mathbb{T}_2$ .

Conversely, suppose the natural topology  $\mathbb{T}_X$  for the possibilities  $X$  for an experimental domain  $\mathcal{D}_X$  is  $\mathbb{T}_1$ . Given two possibilities  $x_1, x_2 \in X$  we can find two verifiable sets  $U_1, U_2 \in \mathbb{T}_X$  such that  $U_1 \cap U_2 = \emptyset$ ,  $x_1 \in U_1$  and  $x_2 \in U_2$ . Since  $U_1, U_2 \in \mathbb{T}_X$ , we can find two corresponding verifiable statements  $s_1, s_2 \in \mathcal{D}_X$  such that  $U(s_1) = U_1$  and  $U(s_2) = U_2$ . We have  $s_1 \not\approx s_2$ ,  $x_1 \preceq s_1$  and  $x_2 \preceq s_2$  and by 1.46 the possibilities are pairwise experimentally distinguishable.  $\square$

## 1.5 Sigma-algebras

In the same way that experimental domains find a natural mathematical representation as topological spaces, theoretical domains find a natural mathematical representation in  $\sigma$ -algebras. The main result of this section is that a theoretical domain provides a natural  $\sigma$ -algebra on its possibilities.

Like topologies,  $\sigma$ -algebras are fundamental in mathematics as they allow us to construct measures (i.e. assigning sizes to sets), limits for sequences of sets and probability spaces. It is again fitting that theoretical domains are associated to such a fundamental mathematical structure.

Let's first review what a  $\sigma$ -algebra is. The general idea is that we have a set  $X$  of elements which we call points, and we have a collection of subsets of  $X$  such that it is closed under complement and countable union, contains the empty set and contains the whole set  $X$ . For example, suppose  $X = \{1, 2, 3\}$  then  $\{\{\}, \{1\}, \{1, 2, 3\}\}$  is not a  $\sigma$ -algebra while  $\{\{\}, \{1\}, \{2, 3\}, \{1, 2, 3\}\}$  is. The first one is missing the complement of  $\{1\}$ .

**Definition 1.69.** *Let  $X$  be a set. A  $\sigma$ -algebra on  $X$  is a collection  $\Sigma_X$  of subsets of  $X$  closed under complement and countable union such that it contains  $X$ .*

Note that  $\sigma$ -algebras are also closed under countable intersections, since these can be expressed in terms of complements and countable unions.

In the previous section we saw how each verifiable statement can be expressed as the conjunction of a set of possibilities, how the operations on statements can be expressed as operations on the verifiable sets and how all the verifiable sets form a topology. The same is true for theoretical statements, with the only difference being that we will end up with a collection of sets that is closed under complement and countable union since the theoretical domain is closed under negation and countable disjunction.

**Definition 1.70.** *Let  $\bar{\mathcal{D}}$  be a theoretical domain and  $X$  its possibilities. We define the map  $A : \bar{\mathcal{D}} \rightarrow 2^X$  that for each theoretical statement  $s \in \bar{\mathcal{D}}$  returns the set of possibilities compatible with it. That is,  $A(s) \equiv \{x \in X \mid x \approx s\}$ . We call  $A(s)$  the **theoretical set** of*

*possibilities associated with  $s$*

**Proposition 1.71.** *Let  $X$  be the set of possibilities for a theoretical domain  $\bar{\mathcal{D}}$ .  $X$  has a natural  $\sigma$ -algebra given by the collection of all theoretical sets  $\Sigma_X = A(\bar{\mathcal{D}})$ .*

*Proof.* The theoretical sets for the tautology and the contradiction correspond to the full set and empty set respectively. Formally,  $A(\top) = \{x \in X \mid x \approx \top\} = X$  while  $A(\perp) = \{x \in X \mid x \approx \perp\} = \emptyset$ . Therefore  $X, \emptyset \in A(\bar{\mathcal{D}})$  since  $\top, \perp \in \bar{\mathcal{D}}$ .

The complement of a theoretical set corresponds to the theoretical set of the negation and therefore it is a theoretical set. Formally,  $A(s)^C = \{x \in X \mid x \not\approx s\} = \{x \in X \mid x \approx \neg s\} = A(\neg s)$ .

The countable union of verifiable sets corresponds to the verifiable set of the countable disjunction and therefore it is a theoretical set. Formally,  $A(s_1 \vee s_2) = \{x \in X \mid x \approx s_1 \vee s_2\} = \{x \in X \mid x \approx s_1 \text{ or } x \approx s_2\} = \{x \in X \mid x \approx s_1\} \cup \{x \in X \mid x \approx s_2\} = A(s_1) \cup A(s_2)$ . This generalizes to countable disjunctions.

The collection  $\Sigma_X = A(\bar{\mathcal{D}})$  is therefore a  $\sigma$ -algebra by definition since it satisfies all its properties.  $\square$

There is also a special link between topologies and  $\sigma$ -algebras. As one may want to construct measures and probability spaces on topological spaces, there is a standard way to construct a  $\sigma$ -algebra from a topology. This object, called Borel algebra, is the smallest  $\sigma$ -algebra that contains all verifiable sets defined by the topology. The  $\sigma$ -algebra defined by a theoretical domain is none other than the Borel algebra of the topology defined by the corresponding experimental domain.

**Definition 1.72.** *Let  $(X, \top)$  be a topological space. Its **Borel algebra** is the collection  $\Sigma_X$  of subsets of  $X$  generated by countable union, countable intersection and complement from the verifiable sets.*

**Proposition 1.73.** *The natural  $\sigma$ -algebra for a set of possibilities is the Borel algebra of its natural topology.*

*Proof.* Since the theoretical domain can be generated by a basis of the experimental domain, the natural  $\sigma$ -algebra can be generated by a sub-basis of the natural topology. This means that it is also generated by countable union, countable intersection and negation from the verifiable sets of the natural topology.  $\square$

This fundamental link between experimental domains and topology on one side and theoretical domains and  $\sigma$ -algebra on the other is important for multiple reasons.

From a practical standpoint, it guarantees that these mathematical tools can always be used in science. Since experimental and theoretical domains are general constructs, any branch of scientific investigation can use techniques and results from topology and  $\sigma$ -algebras for calculations or for characterizing the domain at hand.

From a conceptual standpoint it provides a Rosetta stone, i.e a way to translate, between the mathematical concepts and the scientific ones. It gives a precise scientific meaning to the mathematical tools and everything built on top of them. Every single step in a calculation, every single argument in a proof can be given a clear, and possibly insightful, physical meaning.

It grounds the abstract mathematical language in more concrete scientific objects. This in turn helps clarify the science described by common mathematical tools, unearthing possible hidden assumptions or simplifications about the physical systems being studied.

This connection explains why these mathematical tools have found such successful application in the physical sciences.

## 1.6 Decidable domains

We conclude this chapter by analyzing decidable domains, those for which we can experimentally test both the truth and the falsehood of all statements. For example, the domain for animal identification and the domain for the amount of money in my pocket can be considered decidable as we can typically tell experimentally whether “*this animal has whiskers*” or not, or whether “*there is more than one dollar and fifty cents in my pocket*” or not.

Decidable domains have special characteristics and are easier to study. Since we can verify the negation, any theoretical statement is also verifiable. And since all theoretical statements are verifiable, so are the possibilities. That is, we can verify that “*this animal is a cat*” and that “*there are two dollars and thirty cents in my pocket*”. As all statements can be expressed as a disjunction of possibilities, the possibilities themselves form a countable basis. For example, “*there is more than one dollars and fifty cents in my pocket*” can be expressed as the disjunction of the appropriate statements of the form “*there are  $x$  dollars and  $y$  cents in my pocket*”.

**Definition 1.74.** *An experimental domain  $\mathcal{D}_X$  is **decidable** if all statements in the domain are decidable. Formally, for every  $s \in \mathcal{D}_X$  we have  $\neg s \in \mathcal{D}_X$ .*

**Proposition 1.75.** *Let  $\mathcal{D}_X$  be an experimental domain. The following are equivalent:*

1. *the experimental domain is decidable*
2. *the experimental domain and its theoretical domain coincide*
3. *all possibilities are verifiable*
4. *the possibilities form a countable basis.*

*Proof.* To prove 2 from 1, suppose  $\mathcal{D}_X$  is a decidable experimental domain. As  $\mathcal{D}_X$  is decidable, it is already closed under negation and therefore all statements in its theoretical domain  $\bar{\mathcal{D}}_X$  are already in  $\mathcal{D}_X$ .

To prove 3 from 2, suppose  $\mathcal{D}_X$  coincides with its theoretical domain  $\bar{\mathcal{D}}_X$ . As each possibility is a theoretical statement, it is also a verifiable statement.

To prove 4 from 3, suppose the possibilities are verifiable. Note that the possibilities can generate all other statements through disjunction. To show  $X$  is countable, consider a countable basis  $\mathcal{B} \subseteq \mathcal{D}_X$ . Because the possibilities are verifiable statements, they can be generated from  $\mathcal{B}$  by finite conjunction and countable disjunction. Moreover, since the possibilities are the narrowest statements that are not contradictions, they can be generated from  $\mathcal{B}$  using finite conjunction only. Since  $\mathcal{B}$  is countable and  $X$  is generated by  $\mathcal{B}$  through finite conjunction,  $X$  can be at most countable. Therefore  $X$  is a countable basis.

To prove 1 from 4, suppose the possibilities  $X$  form a countable basis. Then each possibility is verifiable and so is their countable union. The negation of a verifiable statement can be expressed as the countable union of possibilities, and is therefore verifiable. All statements in the experimental domain are decidable and therefore the domain is decidable.  $\square$

As the possibilities for a decidable domain must form a countable basis, their cardinality can't be greater than countable. That is: only domains that are non-decidable can have possibilities with cardinality of the continuum. In this sense they are more constrained and simpler to study.

Mathematically, the natural topology corresponds to the discrete topology: the one for which any subsets of the possibilities is a verifiable set. That is, the topology is simply the set of all possible sets of possibilities. The cardinality of the possibility is therefore enough to determine the topology of the space, which means that one number is enough to characterize the space.

**Definition 1.76.** A topology  $\top_X$  on a set  $X$  is called **discrete** if it contains every subset of  $X$ .

**Theorem 1.77** (Decidability is discreteness). *The natural topology of the possibilities  $X$  for a domain  $\mathcal{D}_X$  is discrete if and only if the domain is decidable.*

*Proof.* Suppose  $\mathcal{D}_X$  is decidable. Let  $U \subseteq X$  be a subset of possibilities. The statement  $s = \bigvee_{x \in U} x$  is generated from  $X$  through countable disjunction. Since  $\mathcal{D}_X$  is decidable,  $X$  is a countable basis and  $s$  is verifiable. Therefore  $U$  is a verifiable set and it is contained in the natural topology. The natural topology of  $X$  is discrete by definition.

Now suppose  $\mathcal{D}_X$  is such that the natural topology for its possibilities  $X$  is discrete. Let  $s = \bigvee_{x \in U} x$  be a statement. Since the topology is discrete,  $U$  is part of the topology and  $s$  is verifiable. Consider its negation  $\neg s = \bigvee_{x \in U^C} x$ . Since the topology is discrete,  $U^C$  is also part of the topology and  $\neg s$  is verifiable. This means  $s$  is decidable. Since every statement in  $\mathcal{D}_X$  is decidable, the domain is decidable.  $\square$

Note, though, that discrete does not imply finite or vice-versa. The domain for extra-terrestrial life is finite but is not decidable as we cannot verify that “*there is no extra-terrestrial life*”. The domain for the amount of money in my pocket, instead, is decidable but not necessarily finite as I could potentially have an arbitrarily large amount.

## 1.7 Summary

In this first chapter we have laid down the foundations for our general mathematical theory of experimental science. We have seen how it is grounded in the logic of verifiable statements, which is more limited than the logic of pure statements as it has to deal with the practical constraints introduced by the termination of the tests.

We saw that we can group verifiable statements into experimental domains which must have a countable basis to allow us to test any statement within an indefinite amount of time. We saw how to construct theoretical domains to find all the theoretical statements that we

can use as predictions. And we saw how the possibilities are those statements that, if true, give a complete prediction for all statements in the domain.

We have seen that, because of the disjunctive normal form, each verifiable and theoretical statement is equivalent to a set of possibilities and how logic operations and relationships become set operations and relationships. As such, the experimental and theoretical domains respectively provide a natural topology and  $\sigma$ -algebra for the possibilities.

What we have ended up with is a conceptual framework that captures the necessary elements of scientific practice and codifies them into a symbolic representation with a well defined meaning. There is no guesswork as to what the points of our spaces are: they are the possibilities, statements that provide a complete prediction for the domain. We do not have to provide an “interpretation” as to what the sets of a topology represent: they correspond to verifiable statements. All the objects have a clear definition and meaning from the start, we know which ones are necessary and to what extent they are physical or idealized. This will provide a much more solid foundation to the rest of the work, which will ultimately allow us to understand much better the fundamental physical theories and the connections between them and to other areas of scientific thought.

## 1.8 Reference sheet

	Name	Meaning
$\mathbb{B}$	Boolean domain	the set of possible truth values i.e. $\mathbb{B} = \{\text{TRUE}, \text{FALSE}\}$
$\mathcal{S}$	logical context	a set of statements with well defined logical relationships
$s \in \mathcal{S}$	statement	an assertion with a well defined truth value
$\text{truth} : \mathcal{S} \rightarrow \mathbb{B}$	the truth function	returns whether a statement is true or not
$\mathcal{A}_{\mathcal{S}} \subseteq \mathbb{B}^{\mathcal{S}}$	possible assignments	the logically consistent truth value combinations that can be assigned to the statements
$\top$	tautology	a statement that can only be true (i.e. it is true in all possible assignments)
$\perp$	contradiction	a statement that can never be true (i.e. it is false in all possible assignments)
	contingent statement	a statement that can be either true or false depending on the possible assignment
$\neg s$	negation (logical NOT)	the statement whose truth value is always opposite
$s_1 \wedge s_2$	conjunction (logical AND)	the statement that is true only if all statements are true
$s_1 \vee s_2$	disjunction (logical OR)	the statement that is true if any of the statements is true
$s_1 \equiv s_2$	equivalence	whether each statement is a logical consequence of the other (i.e. they must have the same value in every possible assignment)
$s_1 \preceq s_2$	narrower than	whether the first statement is more specific than the second (i.e. in every possible assignment, if the first is true than the second must be also true)
$s_1 \succeq s_2$	broader than	whether the second statement is narrower than the first
$s_1 \hat{\wedge} s_2$	compatibility	whether both statement can be true at the same time (i.e. there is a possible assignment in which they are both true)
$s_1 \perp\!\!\!\perp s_2$	independence	whether both statement can be true at the same time (i.e. there is a possible assignment for each combination of their possible truths)
	minterm	a conjunction where each statement appears once, either negated or not
$s \in \mathcal{S}_v$	verifiable statement	a statement that can be validated experimentally
$\mathcal{D}$	experimental domain	a set of verifiable statement that can be tested in an indefinite amount of time (i.e. a set of statements closed under finite conjunction and countable disjunction, that precisely contains the tautology, the contradiction and a set of verifiable statements generated by a countable basis)
$\mathcal{B} \in \mathcal{D}$	basis	a set of verifiable statements from which all others can be constructed



	Name	Meaning
$\mathcal{D}$	theoretical domain	the set of all predictions for an experimental domain
	approximately verifiable	when a statement is not verifiable but is the limit of a sequence of statements that are
$X$	possibilities of a domain	those predictions that sets the value of all verifiable statements of a domain
$\dot{x}$	established possibility	a possibility for which at least a verifiable statements is true (i.e. it can be established experimentally)
$\hat{x}$	residual possibility	if it exists, the possibility for which all verifiable statements are false (i.e. the remaining case that cannot be established experimentally)



## Chapter 2

# Domain combination and relationships

We continue our investigation of the fundamental mathematical structures for experimental science by studying what happens when we have more than one experimental domain. We will define **experimental relationships** between experimental domains, which capture either causal or inference relationships between them. We will see that these correspond to continuous functions in the natural topology.

We will take two or more domains and merge all the experimental information that can be gathered through them into a **combined domain**. We will study how the set of possibilities of the combined domain depends not only on the original domains, but also on the relationships between them. These will also determine the natural topology that can vary from the product topology all the way to the disjoint union topology.

We will also show that experimental relationships, under suitable conditions, can themselves be verified experimentally by constructing the **relationship domain** for which its possibilities correspond to the possible relationships.

### 2.1 Dependence and equivalence between domains

The first thing we want to be able to characterize, when dealing with more than one domain, is when there exists a relationship between them. For example, consider the domains for the temperature and height of a mercury column or the domains for the temperature and density of water. How do we express, in this framework, the fact that these domains are connected?

We have two ways to define these relationships between domains. The first is in terms of inference: any measurement on the height of a mercury column is an indirect measurement on its temperature; any experimental test on the density of water is an indirect experimental test on its temperature. The second is in terms of causes: the height of the mercury column depends on its temperature; the density of water is a function of its temperature. The main result of this section is to show that these definitions are equivalent and that the dependent domain can be seen as a sub-domain of the other.

Suppose  $\mathcal{D}_X$  represents the domain for the temperature of a mercury column while  $\mathcal{D}_Y$  represents the domain for its height. Since we know that an increase in temperature makes the metal expand, we can infer the temperature of the mercury column by looking at its height. For example, if we verify that *“the height of the mercury column is between 24 and*

25 millimeters” we will be able to infer that “the temperature is between 24 and 25 Celsius”. That is, given a verifiable statement  $s_Y$  we have another verifiable statement  $s_X$  that is going to be true if and only if the first one is, that is  $s_Y \equiv s_X$ .

Note that the inference is between verifiable statements and not intervals. For example, the verifiable statement “the water density is between 999.8 and 999.9 kg/m<sup>3</sup>” will correspond to “the water temperature is between 0 and 0.52 Celsius”  $\vee$  “the water temperature is between 7.6 and 9.12 Celsius” as water is most dense at 4 Celsius. The disjunction of verifiable statements is still a verifiable statement so we are still inferring one verifiable statement from the other. For each verifiable statement in  $\mathcal{D}_Y$  we can find a verifiable statement in  $\mathcal{D}_X$  that is verified if and only if the first is. That is: an inference relationship is a map from  $\mathcal{D}_Y$  to  $\mathcal{D}_X$  that preserves equivalence.

**Definition 2.1.** An ***inference relationship*** between two experimental domains establishes that testing a verifiable statement in one means testing a verifiable statement in the other. More formally, it is a map  $r : \mathcal{D}_Y \rightarrow \mathcal{D}_X$  between two experimental domains  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  such that  $r(s_Y) \equiv s_Y$ . In other words: it is an equivalence-preserving map between experimental domains.

An inference relationship is essentially an injection that preserves equivalence instead of identity. In terms of equality, the two statements “the height of the mercury column is between 24 and 25 millimeters” and “the temperature is between 24 and 25 Celsius” are different, but they are the same in terms of equivalence. In this sense, the dependent domain is already contained within the other domain. This means we can define domain inclusion and equivalence based on inference relationships.

**Definition 2.2.** An experimental domain  $\mathcal{D}_Y$  is ***dependent*** on another experimental domain  $\mathcal{D}_X$ , noted  $\mathcal{D}_Y \subseteq \mathcal{D}_X$ , if there exists an inference relationship  $r : \mathcal{D}_Y \rightarrow \mathcal{D}_X$ .

**Corollary 2.3.** Let  $\mathcal{D}_X$  be an experimental domain. Let  $\mathcal{D}_Y$  be a subset of statements of  $\mathcal{D}_X$  that form an experimental domain (i.e. contains contradiction, tautology and is closed under finite conjunction and countable disjunction). Then  $\mathcal{D}_Y \subseteq \mathcal{D}_X$ .

*Proof.* Let  $\iota : \mathcal{D}_Y \rightarrow \mathcal{D}_X$  be the inclusion map. This is an inference relationship since  $\iota(s_Y) = s_Y \equiv s_Y$  therefore  $\mathcal{D}_Y$  depends on  $\mathcal{D}_X$ .  $\square$

**Definition 2.4.** Two experimental domains  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  are ***equivalent***  $\mathcal{D}_X \equiv \mathcal{D}_Y$  if  $\mathcal{D}_X$  depends on  $\mathcal{D}_Y$  and vice-versa.

**Corollary 2.5.** Domain equivalence satisfies the following properties:

- reflexivity:  $\mathcal{D} \equiv \mathcal{D}$
- symmetry: if  $\mathcal{D}_X \equiv \mathcal{D}_Y$  then  $\mathcal{D}_Y \equiv \mathcal{D}_X$
- transitivity: if  $\mathcal{D}_X \equiv \mathcal{D}_Y$  and  $\mathcal{D}_Y \equiv \mathcal{D}_Z$  then  $\mathcal{D}_X \equiv \mathcal{D}_Z$

and is therefore an ***equivalence relationship***.

*Proof.* For reflexivity,  $\mathcal{D}$  is a subset of  $\mathcal{D}$  that is an experimental domain, therefore  $\mathcal{D} \subseteq \mathcal{D}$  by 2.3. Equivalence follows by symmetry.

For symmetry, suppose  $\mathcal{D}_X \equiv \mathcal{D}_Y$ , then  $\mathcal{D}_Y \subseteq \mathcal{D}_X$  and  $\mathcal{D}_X \subseteq \mathcal{D}_Y$  and therefore  $\mathcal{D}_Y \equiv \mathcal{D}_X$ .

For transitivity, suppose  $\mathcal{D}_X \equiv \mathcal{D}_Y$  and  $\mathcal{D}_Y \equiv \mathcal{D}_Z$ . Then we have the following inference relationships:  $r_{XY} : \mathcal{D}_X \rightarrow \mathcal{D}_Y$ ,  $r_{YX} : \mathcal{D}_Y \rightarrow \mathcal{D}_X$ ,  $r_{YZ} : \mathcal{D}_Y \rightarrow \mathcal{D}_Z$ ,  $r_{ZY} : \mathcal{D}_Z \rightarrow \mathcal{D}_Y$ . We can define the function compositions  $r_{XZ} = r_{YZ} \circ r_{XY}$  and  $r_{ZX} = r_{YX} \circ r_{ZY}$ . These are inference relationships since  $\mathfrak{s}_X \equiv r_{XY}(\mathfrak{s}_X) \equiv r_{YZ}(r_{XY}(\mathfrak{s}_X))$  and  $\mathfrak{s}_Z \equiv r_{ZY}(\mathfrak{s}_Z) \equiv r_{YX}(r_{ZY}(\mathfrak{s}_Z))$ . Therefore  $\mathcal{D}_X \equiv \mathcal{D}_Z$ .  $\square$

It should be evident that we cannot impose inference relationships between any two domains: it's something that the domains allow or not. The domains for the temperature of two different mercury columns are in general not related: testing the value of one does not tell us anything about the other. The topologies of the two domains, however, are going to be the same because we'll have the same possible values and the same way to experimentally test them. Equivalence between experimental domains is a much stronger relationship than equivalence of the natural topology. It carries enough of the semantic to be able to tell what spaces are truly scientifically equivalent.

Let's continue with our example. We can re-express a relationship between domains in terms of causal relationship between the two domains. If  $x$  is the value of temperature of the mercury column (i.e. a possibility for  $\mathcal{D}_X$ ) and  $y$  is the height of the mercury column (i.e. a possibility for  $\mathcal{D}_Y$ ), then we can write  $y = f(x)$  since the height is determined by the temperature.

Note that the direction of the causal relationship is the opposite of the inference.  $X$  causes  $Y$  and from  $\mathcal{D}_Y$  we can infer  $\mathcal{D}_X$ . Chains of events are in terms of possibilities and start with the cause and end with the effect. Chains of inferences are in terms of verifiable statements and start with the result and end with the origin.

The other directions do not work in general. Even if we know the final possibility, we may not be able to reconstruct the initial possibility: if the water density is exactly  $999.9 \text{ kg/m}^3$ , the temperature could be either  $0.52$  or  $7.6$  Celsius because density peaks at  $4$  Celsius. For the same reason, a measurement of the cause is not always equivalent to a measurement of the effect: verifying that “*the water temperature is between 0 and 0.52 Celsius*” will mean that “*the water density is between 999.8 and 999.9 kg/m<sup>3</sup>*” but not the other way around. Because of the peak in density, the statement about the temperature tells us more (i.e. it is narrower) than the statement about the density and therefore they are not equivalent. That is: we can learn more about the temperature by measuring it directly than indirectly through the density.

Another important consideration is that, in order to be consistent, the function  $y = f(x)$  has to be continuous. The general idea is the following: if we say that we can only measure both the temperature and height of a mercury column with finite precision, we have to make sure that we can use the causal relationship for inference. Therefore a finite precision measurement of height will correspond to a finite precision measurement of temperature. This means a small change in height has to correspond to a small change in temperature: the function is continuous.

More precisely, consider the verifiable statement  $\mathfrak{s}_Y =$  “*the height of the mercury column is between 24 and 25 millimeters*”. The height of the mercury column  $y$  is within the verifiable set  $U(\mathfrak{s}_Y) = (24, 25)$  millimeters. We can then infer that the temperature must be in the

reverse image of the possible heights  $f^{-1}(U_Y(s_Y)) = (24, 25)$  Celsius. But this means that, indirectly, we have experimentally verified that  $x$  is in  $f^{-1}(U_Y(s_Y))$ . And if  $\mathcal{D}_X$  is really the domain of the verifiable statements for the temperature, then it must contain one that matches “the temperature of the mercury column is between 24 and 25 Celsius”. In other words,  $f^{-1}(U_Y(s_Y))$  must be a set in the topology of  $X$  and the function is continuous.<sup>1</sup>

**Definition 2.6.** Let  $(X, \mathbb{T}_X)$  and  $(Y, \mathbb{T}_Y)$  be two topological spaces. A **continuous function** is a map  $f : X \rightarrow Y$  such that given any verifiable set  $U_Y \in \mathbb{T}_Y$  its reverse image  $f^{-1}(U_Y) \in \mathbb{T}_X$  is a verifiable set. A **homeomorphism** is a continuous bijective map such that its inverse is also continuous.

**Definition 2.7.** A **causal relationship** between two experimental domains establishes that determining which possibility is true in the first domain also determines which possibility is true in the second. More formally, it is a continuous function  $f : X \rightarrow Y$  between the possibilities of two experimental domains  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  such that  $x \leq f(x)$ .

*Justification.* Formally, we simply define causal relationships to be continuous but we still need a physical justification. An experimental test for the verifiable set  $U_Y$  will indirectly test that the true possibility of  $Y$  was in the set  $f^{-1}(U_Y)$ . This means that  $f^{-1}(U_Y)$  can be associated with an experimental test and is therefore a verifiable set. If we want to be consistent, then, we need to make sure  $f^{-1}(U_Y)$  is in the natural topology for  $\mathcal{D}_X$ .  $\square$

**Corollary 2.8.** A causal relationship between two domains is unique if it exists.

*Proof.* Suppose  $f_1 : X \rightarrow Y$  and  $f_2 : X \rightarrow Y$  are two causal relationships. Let  $x \in X$ . We have  $x \leq f_1(x)$  and  $x \leq f_2(x)$ . This means  $f_1(x) \approx f_2(x)$ . But these are two possibilities of the same domain, so they are either incompatible or are the same possibilities. Therefore  $f_1(x) \equiv f_2(x)$  for all  $x \in X$ . The causal relationships are the same.  $\square$

**Theorem 2.9** (Experimental Relationship Theorem). *Inference and causal relationships are equivalent. More formally, let  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  be two experimental domains. An inference relationship  $r : \mathcal{D}_Y \rightarrow \mathcal{D}_X$  exists between them if and only if a causal relationship  $f : X \rightarrow Y$  also exists.*

*Proof.* First we show that a causal relationship exists between the independent and the dependent domain. Suppose  $\mathcal{D}_Y$  depends on  $\mathcal{D}_X$ . Given that for each statement in  $\mathcal{D}_Y$  there exists an equivalent statement in  $\mathcal{D}_X$ ,  $\mathcal{D}_Y$  is effectively a subset of  $\mathcal{D}_X$ . Moreover, since the theoretical domains for both experimental domains are generated by completing under negation, the theoretical domain  $\bar{\mathcal{D}}_Y$  will effectively be a subset of  $\bar{\mathcal{D}}_X$ . This means that a possibility  $x \in \bar{\mathcal{D}}_X$ , if true, will determine all the truth values of all statements in  $\bar{\mathcal{D}}_Y$ , including its possibilities. Because one possibility of  $Y$  must be true and because all possibilities are incompatible with each other, there must be one and only one possibility

<sup>1</sup>In topology, continuity is defined in terms of the sets in the topology and not in terms of small changes as in analysis. When using the standard topology on real numbers, the two coincide but not in general.

$y \in \bar{\mathcal{D}}_Y$  compatible with  $x$ . Therefore we can define  $f : X \rightarrow Y$  the function that given a possibility  $x \in X$  returns the only possibility  $y = f(x) \succ x$  that is compatible with it.

We still need to show that  $f$  is continuous. Consider a verifiable statement  $s_Y \in \mathcal{D}_Y$ . Let  $U_Y(s_Y) \in \mathcal{T}_Y$  be its verifiable set. Since  $\mathcal{D}_Y$  depends on  $\mathcal{D}_X$ , we can find  $s_X \in \mathcal{D}_X$  such that  $s_X \equiv s_Y$ . Let  $U_X(s_X) \in \mathcal{T}_X$  be its verifiable set. This is also the set of all possibilities in  $X$  that are compatible with  $s_Y$ , which means  $U_X(s_X)$  contains all the possibilities that are compatible with a possibility in  $U_Y(s_Y)$ . Since  $f$  returns the only possibility in  $Y$  compatible with a possibility in  $X$ ,  $f^{-1}(U_Y(s_Y))$  will return all the possibilities in  $X$  that are compatible with a possibility in  $U_Y(s_Y)$ . That means  $f^{-1}(U_Y(s_Y)) = U_X(s_X)$  and that  $f^{-1}$  maps verifiable sets to verifiable sets. Therefore  $f$  is continuous.

Now we show that a causal relationship implies dependence between domains. Suppose we have a causal relationship  $f : X \rightarrow Y$  between  $\mathcal{D}_X$  and  $\mathcal{D}_Y$ . Let  $y \in Y$  be a possibility for  $\mathcal{D}_Y$ . Consider  $f^{-1}(\{y\})$ : this is the set of all possibilities in  $X$  that are compatible with  $y$  which is, by definition, the theoretical set of  $y$ . Therefore we have  $y \equiv \bigvee_{x \in f^{-1}(\{y\})} x$ . Now consider a verifiable statement  $s_Y \in \mathcal{D}_Y$ . We have  $s_Y \equiv \bigvee_{y \in U_Y(s_Y)} y \equiv \bigvee_{y \in U_Y(s_Y)} \bigvee_{x \in f^{-1}(\{y\})} x \equiv \bigvee_{x \in f^{-1}(U_Y(s_Y))} x$ . Because  $f$  is continuous, the reverse image of a verifiable set is a verifiable set. Therefore there is an  $s_X \in \mathcal{D}_X$  such that  $U_X(s_X) = f^{-1}(U_Y(s_Y))$ . The two verifiable statements  $s_X \equiv \bigvee_{x \in U_X(s_X)} x \equiv s_Y$  are equivalent. For each  $s_Y \in \mathcal{D}_Y$  we can find an equivalent  $s_X \in \mathcal{D}_X$  so  $\mathcal{D}_Y$  depends on  $\mathcal{D}_X$ .  $\square$

**Corollary 2.10.** *Two experimental domains  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  are equivalent if and only if there exists a homeomorphism  $f : X \rightarrow Y$  between the possibilities such that  $x \equiv f(x)$ .*

*Proof.* Let  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  be two equivalent experimental domains. Then we can find the causal relationship  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$ . We have  $x \preceq f(x) \preceq g(f(x))$ . Since  $g(f(x)) \in X$  is a possibility, and since  $x$  is the only possibility compatible with itself, we must have  $x \equiv g(f(x))$ . Therefore  $g$  is the inverse of  $f$  and it is continuous. Therefore  $f$  is a homeomorphism. We also have  $x \preceq f(x) \preceq x$ , therefore  $f(x) \equiv x$ .

Now let  $f : X \rightarrow Y$  be a homeomorphism between the possibilities of  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  such that  $x \equiv f(x)$ . Then  $f$  is a causal relationship and  $\mathcal{D}_Y$  depends on  $\mathcal{D}_X$ . Let  $g$  be the inverse of  $f$ , which is continuous since  $f$  is a homeomorphism. We have  $y \equiv f(g(y)) \equiv g(y)$ . Then  $g$  is also a causal relationship and  $\mathcal{D}_X$  depends on  $\mathcal{D}_Y$ . Therefore  $\mathcal{D}_X \equiv \mathcal{D}_Y$ .  $\square$

Since for each inference relationship we have a causal relationship and vice-versa, we will simply use the term **experimental relationship** to describe the link between the two domains.

We have seen that two experimental domains  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  are equivalent if they consist of equivalent statements: if they allow a one to one correspondence that preserves the equivalence of their statements. This implies, for example, that the possibilities are also equivalent but there is more to it.

Suppose we define some type of operation on one domain. For example, on the experimental domain for the temperature of a mercury column we define an increase by one Celsius; or on the experimental domain for the amount of gasoline in a tank we define the sum of two possible amounts. These will correspond either to operations on the domain (e.g.  $f : \mathcal{D}_X \rightarrow \mathcal{D}_X$ )

or on its possibilities (e.g.  $+: X \times X \rightarrow X$ ). But by doing so we are also defining them on all equivalent domains as well: in the end, they are made of equivalent statements. Therefore we are also defining an increase of the height of the mercury column and the sum of the monetary value of the gasoline.

This means that if we capture some physical feature using some mathematical structure on one domain, then all equivalent domains will inherit the same structure. Moreover, the causal relationship is a function that preserves that structure. If the possibilities of one domain form a vector space, then the possibilities of an equivalent domain form a vector space and the causal relationship is an invertible linear transformation. If the possibilities of one domain form a group, then the possibilities of an equivalent domain form a group and the causal relationship is an isomorphism.<sup>2</sup> As we'll see much later, this is fundamental since deterministic and reversible evolution means equivalence of the domains describing the past, present and future states. Therefore deterministic and reversible motion is not “just” a one to one map.

**Theorem 2.11** (Domain Equivalence is Isomorphism). *Let  $\mathcal{D}_Y \equiv \mathcal{D}_X$  be two equivalent experimental domains. Suppose  $\mathcal{D}_X$  is endowed with some mathematical structure. Then  $\mathcal{D}_Y$  is also endowed with an equivalent structure and the experimental relationship preserves said structure.*

*Proof.* Since an experimental domain is really defined not on the statements themselves but on their equivalence classes, the mathematical structure will also be defined on the equivalence classes. But this means that a structure defined on  $\mathcal{D}_X$  is also defined on  $\mathcal{D}_Y$  since they contain the same equivalence classes. Therefore  $\mathcal{D}_Y$  is also endowed with an equivalent structure.

The experimental relationship can either expressed as a map between possibilities  $f : X \rightarrow Y$  or as a map between verifiable statements  $r : \mathcal{D}_X \rightarrow \mathcal{D}_Y$ . This means that the mathematical structure defined on  $\mathcal{D}_Y$  can be transported to  $\mathcal{D}_X$  using the experimental relationship. But since the mathematical structure defined on  $\mathcal{D}_X$  already contains the mathematical structure defined on  $\mathcal{D}_Y$ , the transported mathematical structure has to be the same. That is, the experimental relationship must preserve the mathematical structure defined on  $\mathcal{D}_X$ .  $\square$

Note that the converse is not true: two domains that are endowed with the same mathematical structure are not necessarily equivalent. Consider two similarly constructed thermometers: their respective experimental domains are not equivalent since knowing something about one tells us nothing about the other. Yet, their natural topologies are equivalent because the way we can measure temperature for both is the same. One way to look at it is that the mathematical structures “forget” the full equivalence between statements and only look at a particular aspect. Topological spaces capture how the possibilities are distinguished in terms of verifiable statements. Therefore, while the domains for temperature of two different thermometers are not equivalent, their natural topologies are equivalent because the way we characterize all possible measurements is the same (i.e. the value is within a finite precision interval). Similarly, the  $\sigma$ -algebra only cares about what statements lead to valid predictions.

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<sup>2</sup>Domain equivalence is an isomorphism in whatever category (e.g. topological space, group, vector space, ...) used to model the experimental domain.



We'll see that, in some cases, Abelian groups will capture how distributions can be composed into other distributions, that non-Abelian groups will capture how transformations can be composed into other transformations, and so on.

## 2.2 Combining domains

In this section we want to understand what happens when we combine statements from different domains. For example, suppose we have the experimental domain for the pressure of an ideal gas and the experimental domain for its temperature. We can mix and match verifiable statements with conjunction and disjunction as in “*the pressure is between 1 and 1.1 KPa*”  $\wedge$  “*the temperature is between 20 and 21 C*” creating a new domain. How can we characterize this combined experimental domain?

The main result of this section is that the possibilities of the combined domain depend on how compatible the verifiable statements of the domains are. In particular, if the verifiable statements are independent across domains (e.g. the horizontal and vertical position of an object), then the possibilities of the combined domain will be the scalar product of those for the individual domains. On the other hand, if the verifiable statements are incompatible across domains (e.g. plant identification and animal identification), then the possibilities for the combined domain will be the disjoint union of the possibilities of the individual domains.

Suppose  $\mathcal{D}_X$  is the experimental domain generated by the two verifiable statements “*the patient is dead*” and “*the patient is alive*” and a second one  $\mathcal{D}_Y$  generated by the two verifiable statements “*the patient is not in a coma*” and “*the patient is in a coma*”. The given verifiable statements also correspond to the possibilities for the respective domains.

We can construct the combined domain  $\mathcal{D}_X \times \mathcal{D}_Y$  by taking all possible disjunctions and conjunctions. What are the possibilities for the new domain? Since by 1.49 the possibilities are minterms, we have the following cases to consider:

- “*the patient is alive*”  $\wedge$  “*the patient is in a coma*”
- “*the patient is alive*”  $\wedge$  “*the patient is not in a coma*”
- “*the patient is dead*”  $\wedge$  “*the patient is in a coma*”
- “*the patient is dead*”  $\wedge$  “*the patient is not in a coma*”

The third one is a contradiction: the patient cannot be dead and in a coma. Therefore the combined domain has only three possibilities. The possibilities of the combined domain are, in general, the subset of all possible combinations (i.e. the scalar product) of the possibilities of the domains we are combining: those that do not lead to contradictions.

**Definition 2.12.** Let  $\{\mathcal{D}_{X_i}\}_{i=1}^{\infty}$  be a countable set of experimental domains. The **combined experimental domain**  $\mathcal{D}_X = \prod_{i=1}^{\infty} \mathcal{D}_{X_i}$  is the experimental domain generated from all statements in  $\{\mathcal{D}_{X_i}\}_{i=1}^{\infty}$  by finite conjunction and countable disjunction.

*Proof.* We need to show that the combined experimental domain is indeed an experimental domain. It will contain the tautology and the contradiction since any of the original experimental domains contains them. It is closed under finite conjunction and countable disjunction by construction. To show that it has a countable basis, for each  $i = 1.. \infty$  let

$\mathcal{B}_i \in \mathcal{D}_{X_i}$  be a countable basis for the respective domain. Consider  $\mathcal{B} = \bigcup_{i=1}^{\infty} \mathcal{B}_i$ . From this set we can generate any  $\mathcal{D}_{X_i}$  and therefore we can also generate all of  $\mathcal{D}_X$ .  $\mathcal{B}$  is a basis and it is countable since it is the union of a countable set of countable elements. Note that it is precisely because the basis needs to remain countable that we cannot extend the operation to an uncountable set of domains.  $\square$

**Proposition 2.13.** *The possibilities for a combined domain are a subset of the scalar product of the possibilities for the individual domains. Formally, let  $\{\mathcal{D}_{X_i}\}_{i=1}^{\infty}$  be a countable set of experimental domains and  $\{X_i\}_{i=1}^{\infty}$  their respective possibilities. Let  $X$  be the set of possibilities for the combined domain  $\mathcal{D}_X = \times_{i=1}^{\infty} \mathcal{D}_{X_i}$ . Then  $X = \{x = \bigwedge_{i=1}^{\infty} x_i \mid \{x_i\}_{i=1}^{\infty} \in \times_{i=1}^{\infty} X_i, x \neq \perp\}$ .*

*Proof.* A possibility  $x$  of the combined domain is a minterm of a basis  $\mathcal{B} \subseteq \mathcal{D}_X$ . Since we can choose  $\mathcal{B} = \bigcup_{i=1}^{\infty} \mathcal{B}_i$  where  $\mathcal{B}_i \subseteq \mathcal{D}_{X_i}$  is a countable basis for each domain,  $x$  is the conjunction  $x \equiv \bigwedge_{i=1}^{\infty} x_i$  of minterms  $x_i$  of  $\mathcal{B}_i$ . Since  $x$  is a possibility, it is not a contradiction and therefore none of the  $x_i$  can be a contradiction. Since each  $x_i$  is a minterm of the respective basis  $\mathcal{B}_i$  that is not a contradiction, it is a possibility by 1.49. Therefore a possibility  $x$  of the combined domain is the conjunction of the possibilities  $x_i$  of the original domains that is not a contradiction.  $\square$

**Proposition 2.14.** *Let  $\{\mathcal{D}_{X_i}\}_{i=1}^{\infty}$  be a countable set of incomplete experimental domains and  $\mathcal{D}_X$  their combined experimental domain. Let  $\{\hat{x}_i\}_{i=1}^{\infty}$  be the residual possibility of each respective domain. Let  $\hat{x} = \bigwedge_{i=1}^{\infty} \hat{x}_i$ . The combined domain  $\mathcal{D}_X$  is incomplete if and only if  $\hat{x} \neq \perp$  in which case  $\hat{x}$  is the residual possibility.*

*Proof.* For each domain, the residual possibility is the conjunction of the negation of its basis. Therefore we have  $\hat{x} \equiv \bigwedge_{e \in \mathcal{B}} \neg e \equiv \bigwedge_{i=1}^{\infty} \bigwedge_{e \in \mathcal{B}_i} \neg e \equiv \bigwedge_{i=1}^{\infty} \hat{x}_i$ . If  $\hat{x} \neq \perp$  then it is the residual possibility.  $\square$

**Corollary 2.15.** *The residual possibility of the combined domain is narrower than the ones of the original domains. If one of the domains is complete then the combined domain is also complete.*

*Proof.* Let  $\hat{x}$  be the residual possibility for the combined domain and  $\hat{x}_j$  the one for one of the original domains. We have  $\hat{x}_j \wedge \hat{x} \equiv \hat{x}_j \wedge \bigwedge_{i=1}^{\infty} \hat{x}_i \equiv \bigwedge_{i=1}^{\infty} \hat{x}_i \equiv \hat{x}$ . Therefore, by 1.23  $\hat{x} \leq \hat{x}_j$ .

Suppose that one of the original domains  $\mathcal{D}_{X_j}$  is complete. Then the conjunction of the negation of its basis  $\hat{x}_j$  is a contradiction. This means the conjunction of the negation of the basis of the combined domain  $\hat{x}$  is also a contradiction and the combined domain is complete.  $\square$

### Independent domains

A special case is when combining two independent domains. For example, the domain for the pressure and the domain for the volume of an ideal gas are independent because a measurement on one tells us nothing about the other. Similarly, the domain for the shape and the domain for the color of an object are independent. In these cases, we can have any combination of possibilities: any pressure with any volume or any color with any shape.

In terms of topology, the possibilities of the combined domain are the Cartesian product of the possibilities of the original domains and their natural topology is the product topology.<sup>3</sup>

**Definition 2.16.** *The experimental domains of a countable set  $\{\mathcal{D}_{X_i}\}_{i=1}^{\infty}$  are **independent** if taking one verifiable statement  $s_i \in \mathcal{D}_{X_i}$  from each domain always gives an independent set of statements.*

**Proposition 2.17.** *Let  $\{\mathcal{D}_{X_i}\}_{i=1}^{\infty}$  be a countable set of independent experimental domains and  $X_i$  their respective possibilities. The set of possibilities  $X$  of the combined experimental domain  $\prod_{i=1}^{\infty} \mathcal{D}_{X_i}$  consists of all the possible conjunctions of the possibilities of each domain.*

*That is:  $X = \left\{ \bigwedge_{i=1}^{\infty} x_i \mid x_i \in X_i \right\}$ . Notationally, we write  $\mathcal{D}_X = \mathcal{D}_{\prod_{i=1}^{\infty} X_i}$ .*

*Proof.* A possibility  $x \equiv \bigwedge_{i=1}^{\infty} x_i$  for the combined domain is the conjunction of possibilities of each individual domain by 2.13. Since the domains are independent and since possibilities are neither tautologies nor contradictions, by 1.22 we have  $\text{poss}(x) = \text{poss}\left(\bigwedge_{i=1}^{\infty} x_i\right) = \bigwedge_{i=1}^{\infty} \text{poss}(x_i) = \bigwedge_{i=1}^{\infty} \{\text{FALSE}, \text{TRUE}\} = \{\text{FALSE}, \text{TRUE}\}$ . That is, each conjunction  $x = \bigwedge_{i=1}^{\infty} x_i$  is not a contradiction and therefore is a possibility.  $\square$

**Definition 2.18.** *Let  $\{(X_i, \mathbb{T}_i)\}_{i=1}^{\infty}$  be a countable set of topological spaces. Let  $X = \prod_{i=1}^{\infty} X_i$  be the Cartesian product of the points. Let  $\mathcal{B}$  be the collection of sets of the form  $\prod_{i=1}^{\infty} U_i$ , with  $U_i \in \mathbb{T}_i$  and  $U_i \neq X_i$  only finitely many times. The topology generated by  $\mathcal{B}$  is called the **product topology**.*

**Proposition 2.19.** *Let  $\{\mathcal{D}_{X_i}\}_{i=1}^{\infty}$  be a countable set of independent experimental domains. The natural topology for the possibilities of the combined experimental domain  $\mathcal{D}_{\prod_{i=1}^{\infty} X_i}$  is the product topology of the natural topology for the possibilities of each domain.*

*Proof.* Let  $U_i : \mathcal{D}_{X_i} \rightarrow \mathbb{T}_{X_i}$  be the map from a verifiable statement of a domain to its verifiable set in the respective topology. Let  $U : \mathcal{D}_X \rightarrow \mathbb{T}_X$  be the same map for the combined domain. Let  $s_i \in \mathcal{D}_{X_i}$  be a verifiable statement from a particular domain and  $U_i(s_i)$  its verifiable set in that domain. Since we also have  $s_i \in \mathcal{D}_X$ , the statement is also

<sup>3</sup>Note that the topology is quite naturally the product topology and not the box topology. The box topology would require countable conjunction and is therefore discarded. The fact that the correct topology is the one most natural to define confirms again the appropriateness of our framework.

associated with the verifiable set  $U(\mathbf{s}_i)$  in the combined domain. Because the domains are independent, every possibility in  $U_i(\mathbf{s}_i)$  is compatible with any possibility  $x_j \in X_j$  for all  $j \neq i$ . This means that  $U(\mathbf{s}_i) = X_1 \times \dots \times X_{i-1} \times U_i(\mathbf{s}_i) \times X_{i+1} \times \dots$ . Given that a verifiable statement in the combined domain can be generated using finite conjunction and countable disjunction from the verifiable statements of the independent domains, the topology of the combined space can be generated by all sets of the form  $\prod_{i=1}^{\infty} U_i$ , with  $U_i \in \mathcal{T}_i$  and  $U_i \neq X_i$  only once. Using finite conjunction, this includes those sets where  $U_i \neq X_i$  finitely many times. The natural topology of the combined domain is the product topology by definition.  $\square$

### Dependent domains

Another special case is combining a domain  $\mathcal{D}_X$  with another  $\mathcal{D}_Y \subseteq \mathcal{D}_X$  that is dependent on it. For example, combining the domain for the temperature of a mercury column with the domain for its height. Since the height can be determined by the temperature, no new possibilities are added. The combined domain is equivalent to the independent domain  $\mathcal{D}_X$  since all the verifiable statements in  $\mathcal{D}_Y$  have equivalents in it.

**Proposition 2.20.** *Let  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  be two experimental domains such that  $\mathcal{D}_Y \subseteq \mathcal{D}_X$  depends on the first. Then  $\mathcal{D}_X \times \mathcal{D}_Y \equiv \mathcal{D}_X$ .*

*Proof.* Since  $\mathcal{D}_Y$  is dependent on  $\mathcal{D}_X$ , any statement in  $\mathcal{D}_Y$  is equivalent to one in  $\mathcal{D}_X$ . Therefore no statement can be generated from them that is not equivalent to one already contained in  $\mathcal{D}_X$ . Therefore  $\mathcal{D}_X \times \mathcal{D}_Y$  is equivalent to  $\mathcal{D}_X$ .  $\square$

**Corollary 2.21.** *Let  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  be two experimental domains such that  $\mathcal{D}_Y \subseteq \mathcal{D}_X$  depends on the first. The possibilities of  $\mathcal{D}_X \times \mathcal{D}_Y$  are the possibilities of  $\mathcal{D}_X$ .*

*Proof.* The possibilities of  $\mathcal{D}_X \times \mathcal{D}_Y$  are the possibilities of  $\mathcal{D}_X$  since they are equivalent domains. To be consistent with 2.13, we additionally show that they are also the subset of the scalar product. Let  $f : X \rightarrow Y$  be the causal relationship between the domains, let  $x$  and  $y$  be two possibilities of the respective domains. We have  $x \wedge y \neq \perp$  if and only if  $y = f(x)$ , so the possibilities of  $\mathcal{D}_X \times \mathcal{D}_Y$  are  $x \wedge f(x)$  for all  $x \in X$ . We also have  $x \wedge f(x) \equiv x$  since  $x \preceq f(x)$ .  $\square$

### Incompatible domains

The last special case we consider is when the domains are incompatible, that is all verifiable statements of one are incompatible with the verifiable statements of the others. This is one case where the residual possibility behaves differently from all the others.<sup>4</sup> Suppose  $\mathcal{D}_X$  is the domain to classify a particular specimen as an animal and  $\mathcal{D}_Y$  is the domain to classify it as a plant. If we take a verifiable statement from the first, such as “*that specimen has fur*”, then it will be incompatible with a verifiable statement from the other, such as “*that specimen has lobed leaves*”. The only way we can combine the possibilities is to take an established possibility of one (e.g. “*this specimen is a cat*”) and combine it with the residual possibility of the other (e.g. “*this specimen is not a plant*”). In other words, the combined possibilities are the union of the possibilities of the two domains (e.g. all possible plants and all possible animals).

<sup>4</sup>In fact, this is what prompted us to introduce the residual possibility.

In terms of the topology, the established possibilities of the combined domain are the disjoint union of the established possibilities of the original domains and their natural topology is the disjoint union topology (or co-product topology).

**Definition 2.22.** *Two experimental domains  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  are **incompatible** if all verifiable statements in one are incompatible with all verifiable statements of the other. Formally,  $s_X \not\# s_Y$  for each pair of verifiable statements  $s_X \in \mathcal{D}_X$  and  $s_Y \in \mathcal{D}_Y$ .*

**Corollary 2.23.** *Let  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  be two incompatible experimental domains. Then they must be incomplete and admit a residual possibility.*

*Proof.* Let  $\mathcal{B}_X$  and  $\mathcal{B}_Y$  be a countable basis for the respective domain. Since we have  $e_X \not\# e_Y$  for all choices of  $e_X \in \mathcal{B}_X$  and  $e_Y \in \mathcal{B}_Y$ , we also must have  $\bigvee_{e_X \in \mathcal{B}_X} e_X \not\# \bigvee_{e_Y \in \mathcal{B}_Y} e_Y$ . Therefore  $\bigvee_{e_X \in \mathcal{B}_X} e_X \neq \top$ . Which means the residual possibility  $\hat{x} = \bigwedge_{e_X \in \mathcal{B}_X} \neg e_X = \neg \bigvee_{e_X \in \mathcal{B}_X} e_X \neq \perp$ . Therefore  $\mathcal{D}_X$  is not complete and, by symmetry, neither is  $\mathcal{D}_Y$ .  $\square$

**Proposition 2.24.** *Let  $\{\mathcal{D}_{X_i}\}_{i=1}^{\infty}$  be a countable set of experimental domains pair-wise incompatible and  $\hat{X}_i$  their respective established possibilities. The set of established possibilities  $\hat{X}$  of the combined experimental domain  $\bigtimes_{i=1}^{\infty} \mathcal{D}_{X_i}$  consists of the disjoint union of the possibilities of each domain. That is:  $\hat{X} = \prod_{i=1}^{\infty} \hat{X}_i = \bigcup_{i=1}^{\infty} \hat{X}_i$  as  $\hat{X}_i \cap \hat{X}_j = \emptyset$  for all  $i, j \geq 1$  and  $i \neq j$ . Notationally, we write  $\mathcal{D}_X = \mathcal{D}_{\prod_{i=1}^{\infty} X_i}$ .*

*Proof.* Consider two incompatible domains  $\mathcal{D}_X$  and  $\mathcal{D}_Y$ . Let  $\hat{x} \in X$  and  $\hat{y} \in Y$  be two established possibilities. Then they both correspond to a minterm of the respective basis where at least one element is taken without negation. This also means that their conjunction will include the conjunction of one element of each of the basis. Since the elements of one basis are incompatible with the elements of the other, we have  $\hat{x} \not\# \hat{y}$ .

Now let  $\hat{x} \in X$  be an established possibility,  $\hat{y} \in Y$  the residual and  $\hat{Y} = Y \setminus \{\hat{y}\}$  the established possibilities. We have  $\hat{x} \equiv \hat{x} \wedge \top \equiv \hat{x} \wedge \bigvee_{y \in Y} y \equiv \hat{x} \wedge \left( \bigvee_{\hat{y} \in \hat{Y}} \hat{y} \vee \hat{y} \right) \equiv \bigvee_{\hat{y} \in \hat{Y}} (\hat{x} \wedge \hat{y}) \vee (\hat{x} \wedge \hat{y}) \equiv \perp \vee (\hat{x} \wedge \hat{y}) \equiv \hat{x} \wedge \hat{y}$ . By symmetry,  $\hat{y} \equiv \hat{x} \wedge \hat{y}$ .

To conclude, let  $\hat{x} \in X$  and  $\hat{y} \in Y$  be the two residual possibilities. The conjunction  $\hat{x} \wedge \hat{y}$  corresponds to a minterm where all the elements of the basis are negated. Therefore  $\hat{x} \wedge \hat{y}$  is the residual possibility of the combined domain, if it is not a contradiction.

Generalizing to a countable set of incompatible domains, the conjunction of all the residual possibilities  $\hat{x} = \bigwedge_{i=1}^{\infty} \hat{x}_i$  is the residual possibility of the combined domain, if it is not a contradiction. The only other conjunctions of the form  $x = \bigwedge_{i=1}^{\infty} x_i$  with  $x_i \in X_i$  for all  $i \geq 1$  that are not contradictions are those where only one element is not a residual possibility. Those correspond to the established possibilities. But each of those conjunctions will be equivalent to the only element that is not a residual possibility. Therefore each established possibility of the combined domain is equivalent to an established possibility of one of the original domains:  $\hat{X} = \bigcup_{i=1}^{\infty} \hat{X}_i$ . Given that the established possibilities of two incompatible

domains are incompatible and therefore different, we have  $\dot{X}_i \cap \dot{X}_j = \emptyset$  for all  $i, j \geq 1$  and  $i \neq j$ . The established possibilities of the combined domains are the disjoint union of the established possibilities of the individual domains.  $\square$

**Definition 2.25.** Let  $\{(X_i, \mathbb{T}_i)\}_{i=1}^{\infty}$  be a countable set of topological spaces. Let  $X = \coprod_{i=1}^{\infty} X_i$  be the disjoint union of the points. The **disjoint union topology**  $\mathbb{T}$  is the topology for which  $U \in \mathbb{T}$  if and only if  $U \cap X_i \in \mathbb{T}_i$  for all  $i \geq 1$ .

**Proposition 2.26.** The disjoint union topology is generated by closing the topologies of the initial spaces under disjoint union.

*Proof.* First we show that all disjoint unions of verifiable sets are part of the disjoint union topology. Let  $\{(X_i, \mathbb{T}_i)\}_{i=1}^{\infty}$  be a countable set of topological spaces and  $(X, \mathbb{T})$  their disjoint union with the disjoint union topology. Any disjoint union of verifiable sets can be put in the form  $U = \coprod_{i=1}^{\infty} U_i$  with  $U_i \in \mathbb{T}_i$  for all  $i \geq 1$ . We have  $U \cap X_i = \coprod_{j=1}^{\infty} U_j \cap X_i = U_i$  for all  $i \geq 1$ . Therefore  $U \in \mathbb{T}$  by definition.

Now we show that any set in the disjoint union topology is the disjoint union of verifiable sets of the individual topologies. Let  $U \in \mathbb{T}$ . Since  $U \subseteq X$  and  $X$  is the disjoint union for all  $X_i$ , we can write  $U = \coprod_{i=1}^{\infty} U_i$ . As before  $U \cap X_i = U_i$  for all  $i \geq 1$  and, since  $U \cap X_i \in \mathbb{T}_i$  for all  $i \geq 1$  by definition of the disjoint union topology,  $U_i \in \mathbb{T}_i$  for all  $i \geq 1$ . Therefore any  $U \in \mathbb{T}$  is the disjoint union of verifiable sets.

This also shows that the disjoint union topology is a topology since it is closed under arbitrary union by definition, it is closed under finite intersection within each topology and it is closed under finite intersection across topologies since their intersection is always the empty set.  $\square$

**Proposition 2.27.** Let  $\{\mathcal{D}_{X_i}\}_{i=1}^{\infty}$  be a countable set of pair-wise incompatible domains. The natural topology for the established possibilities of the combined experimental domain  $\mathcal{D}_{\coprod_{i=1}^{\infty} X_i}$  is the disjoint union topology of the natural topology for the established possibilities of each domain.

*Proof.* Given that the domains are incompatible, they are also incomplete. The only statement in each domain  $\mathcal{D}_{X_i}$  compatible with the respective residual possibility  $\dot{x}_i$  will be the tautology  $\tau$ . This means the natural topology restricted to the established possibilities contains all the verifiable sets associated to the contradictions and to all verifiable statements. This will also be true for the combined domain  $\mathcal{D}_{\coprod_{i=1}^{\infty} X_i}$ , if it is incomplete. If

it is complete, then the established possibilities are the full possibilities and their natural topology coincides. Note that all verifiable statements in the combined domain can be generated from the verifiable statements of the individual domains. Also note that the conjunction between the different domains is a contradiction and therefore does not yield a new statement. Therefore all the statements whose verifiable sets form the topology on the established possibilities on the combined domain can be generated by the disjunction of all the statements whose verifiable sets form the topology on the established possibilities

on the individual domains. Therefore the topology of the combined space is simply closing the individual topologies under the disjoint union. The topology of the combined space is therefore the disjoint union topology by 2.26  $\square$

For topologies, as well as for other mathematical structures, we can choose between two types of products. If we have two one dimensional euclidean spaces we can decide whether to take their product (i.e. the plane) or their co-product (i.e. the disjoint union of the two lines). For experimental domains we do not choose: it is what it is. There is no way to combine the two experimental domains for temperature and height of the same mercury column and get the Cartesian product of their possibilities. Though combining two independent domains mimics the categorical product and combining two incompatible domains mimics the categorical co-product, it is the semantic (and ultimately physical) relationship between them that decides which product we have. This is another case where the mathematical structures “forget” the full equivalence. The topology only captures how the temperature and height can be measured, and not whether they are independent, dependent or incompatible. That information lies outside of the topology and therefore needs to be added by choosing the correct combination.

### 2.3 Experimental domain for experimental relationships

Now that we have seen how to describe relationships between domains, we should ask: are experimental relationships themselves something we can experimentally verify? We may know that there is a relationship between the temperature of a mercury column and its height, but how can we confirm experimentally which one it is?

The main result of this section is to show that, given two related experimental domains, we can always mathematically construct from them another experimental domain for which the possibilities are continuous functions between the possibilities of the original domains. This means that, since we can recursively create relationship domains about relationship domains, the universe of discourse of our mathematical framework is closed. Yet, the availability of the experimental tests (i.e. whether the statements we construct are actually verifiable) is not guaranteed.

First of all we have to clarify within our framework what it means to experimentally verify a relationship. Suppose  $\mathcal{D}_X$  is the domain for the state of a light switch, up or down being the two possibilities, and  $\mathcal{D}_Y$  is the domain for the state of the light, which can be on or off. Suppose we could have three cases: the one where the switch is wired correctly, up corresponding to on, the one where the switch is wired incorrectly, up corresponding to off, and the one where the switch is not actually wired to the light, the two domains are independent. Each of these cases is represented by a different set of logical relationships, a different set of possible assignments.

		X	Y
up	down	on	off
T	F	T	F
F	T	F	T

Table 2.1: Case 1: switch wired correctly to the light

X		Y	
up	down	on	off
T	F	F	T
F	T	T	F

Table 2.2: Case 2: switch wired incorrectly to the light

X		Y	
up	down	on	off
T	F	T	F
T	F	F	T
F	T	T	F
F	T	F	T

Table 2.3: Case 3: switch not wired to the light

Each case, then, is a different model: a different logical context. To experimentally verify which model is the right one means verifying statements of the type “*it is possible for the switch to be up while the light is on*”. This does not correspond to “*the switch is up*”  $\wedge$  “*the light is on*” but to “*the switch is up*”  $\approx$  “*the light is on*”. These are statements about the table and they cannot be columns of the table itself. What we need to create is a metacontext, in which each line corresponds to one choice of model, to one context.

	up $\approx$ on	down $\approx$ on	up $\approx$ off	down $\approx$ off
Case 1	T	F	F	T
Case 2	F	T	T	F
Case 3	T	T	T	T

Table 2.4: Possible assignments for the metacontext

Each line will tell us what statements are compatible and given the truth values of the line we can reconstruct the model. The verifiable statements that distinguish between different models, therefore, live in this metacontext and so will the experimental domain for the relationships.

**Definition 2.28.** Let  $S_1$  and  $S_2$  be two logical contexts. Let  $S_1 \subseteq S_1$  and  $S_2 \subseteq S_2$  be sets of statements. We say  $f : S_1 \rightarrow S_2$  is a **logic homomorphism** if it preserves the logical structure. That is:

- possible assignments on  $S_2$  correspond to possible assignments on  $S_1$ : if  $a_2 \in \mathcal{A}_{S_2}$  then there exists  $a_1 \in \mathcal{A}_{S_1}$  such that  $a_1(s) = a_2(f(s))$  for all  $s \in S_1$
- a verifiable statement of  $S_1$  is mapped to a verifiable statement in  $S_2$

Additionally, if there exists a logic homomorphism  $g : S_2 \rightarrow S_1$  such that  $f \circ g = \text{Id}_{S_1}$  and  $g \circ f = \text{Id}_{S_2}$  then  $f$  is a **logic isomorphism** and  $g$  is the **inverse** of  $f$ . Two logic isomorphisms  $f : S_1 \rightarrow S_2$  and  $g : S_1 \rightarrow S_2$  are equivalent if  $f(s) \equiv g(s)$  for all  $s \in S_1$ .



**Corollary 2.29.** *Let  $h : S_1 \rightarrow S_2$  be a logic homomorphism, then for every  $\hat{s} \in S_1$ ,  $\hat{S} \subseteq S_1$  and  $f_{\mathbb{B}} : \mathbb{B}^{\hat{S}} \rightarrow \mathbb{B}$  such that  $a_1(\hat{s}) = f_{\mathbb{B}}(\{a_1(s)\}_{s \in \hat{S}})$  for all  $a_1 \in \mathcal{A}_{S_1}$ , then  $a_2(h(\hat{s})) = f_{\mathbb{B}}(\{a_2(h(s))\}_{s \in \hat{S}})$  for all  $a_2 \in \mathcal{A}_{S_2}$ . In particular:*

- $h(\neg s) \equiv \neg h(s)$  for all  $s \in S_1$
- $h(\bigwedge_{s \in S} s) \equiv \bigwedge_{s \in S} h(s)$  for all  $S \subseteq S_1$
- $h(\bigvee_{s \in S} s) \equiv \bigvee_{s \in S} h(s)$  for all  $S \subseteq S_1$

*Proof.* If  $a_1(\hat{s}) = f_{\mathbb{B}}(\{a_1(s)\}_{s \in \hat{S}})$  for all  $a_1 \in \mathcal{A}_{S_1}$  then, by the first property of logic homomorphisms, there is no  $a_2 \in \mathcal{A}_{S_2}$  such that  $a_2(h(\hat{s})) \neq f_{\mathbb{B}}(\{a_2(h(s))\}_{s \in \hat{S}})$ . Therefore  $a_2(h(\hat{s})) = f_{\mathbb{B}}(\{a_2(h(s))\}_{s \in \hat{S}})$  for all  $a_2 \in \mathcal{A}_{S_2}$ .

In particular, since the relationship holds for any arbitrary  $f_{\mathbb{B}}$ , it will hold for negation, arbitrary conjunction and arbitrary disjunction.  $\square$

**Proposition 2.30.** *Let  $S_1$  and  $S_2$  be two logical contexts. Let  $S_1 \subseteq \mathcal{S}_1$  and  $S_2 \subseteq \mathcal{S}_2$  be sets of statements and  $f : S_1 \rightarrow S_2$  a logic homomorphism. Let  $\bar{S}_1 \subseteq \mathcal{S}_1$  and  $\bar{S}_2 \subseteq \mathcal{S}_2$  be the closure of  $S_1$  and  $S_2$  respectively under arbitrary conjunction, arbitrary disjunction and negation. Then if there exists a logic homomorphism  $\bar{f} : \bar{S}_1 \rightarrow \bar{S}_2$  such that  $\bar{f}(s_1) \equiv f(s_1)$  for all  $s_1 \in S_1$  it is unique.*

*Proof.* Let  $\bar{s}_1 \in \bar{S}_1$  but  $\bar{s}_1 \notin S_1$ . Then  $\bar{s}_1$  depends on  $S_1$  through some  $g_{\mathbb{B}} : \mathbb{B}^{S_1} \rightarrow \mathbb{B}$ . Let  $\bar{f}_1 : \bar{S}_1 \rightarrow \bar{S}_2$  and  $\bar{f}_2 : \bar{S}_1 \rightarrow \bar{S}_2$  be two logic homomorphisms such that  $\bar{f}_1(s_1) \equiv \bar{f}_2(s_1) \equiv f(s_1)$  for all  $s_1 \in S_1$ . Then by 2.29 both  $\bar{f}_1(\bar{s}_1)$  and  $\bar{f}_2(\bar{s}_1)$  depend on  $S_2$  through  $g_{\mathbb{B}}$ . This means that in every possible assignment  $a \in \mathcal{A}_{S_2}$  we have  $a(\bar{f}_1(\bar{s}_1)) = a(\bar{f}_2(\bar{s}_1))$  and therefore  $\bar{f}_1(\bar{s}_1) \equiv \bar{f}_2(\bar{s}_1)$ . Then  $\bar{f}_1$  and  $\bar{f}_2$  are equivalent.  $\square$

**Definition 2.31.** *Let  $\mathcal{D}_X, \mathcal{D}_Y \subseteq \mathcal{S}$  be two experimental domains. The **relationship meta-context**  $\mathcal{S}_{C(X,Y)}$  between  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  over  $\{\mathcal{S}_i\}_{i \in I}$  is defined by following construction. Let  $I$  be an index set. Let  $\{\mathcal{S}_i\}_{i \in I}$  be an indexed set of logical contexts, let  $\{\mathcal{D}_{X_i}\}_{i \in I}$  and  $\{\mathcal{D}_{Y_i}\}_{i \in I}$  be two indexed sets of experimental domains such that  $\mathcal{D}_{X_i}, \mathcal{D}_{Y_i} \subseteq \mathcal{S}_i$  for all  $i \in I$ . Let  $\{f_i\}_{i \in I}$  be a set of logic isomorphisms such that  $f_i : \mathcal{D}_X \rightarrow \mathcal{D}_{X_i}$ . Let  $\{g_i\}_{i \in I}$  be a set of logic isomorphisms such that  $g_i : \mathcal{D}_Y \rightarrow \mathcal{D}_{Y_i}$ .*

*Let  $S$  be the set of ordered pairs of  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  and “ $s_x \approx s_y$ ” be the notation of an element of  $S$  for some  $s_x \in \mathcal{D}_X$  and  $s_y \in \mathcal{D}_Y$ . For each  $i \in I$ , let  $a_i \in \mathbb{B}^S$  be such that  $a_i(“s_x \approx s_y”) = \text{TRUE}$  if and only if  $f_i(s_x) \approx g_i(s_y)$ . Define  $\mathcal{A}_{\mathcal{S}} = \{a_i \mid \forall i \in I\}$ . Assume  $a_i \neq a_j$  if  $i \neq j$ ; if not, given a subset of  $I$  that leads to the same possible assignment, remove all elements but one. Pick  $t \in I$  and define  $\text{truth} = a_t$ .*

*Given an arbitrary function  $f_{\mathbb{B}} : \mathbb{B}^S \rightarrow \mathbb{B}$ , we can extend the set  $S$  with a new element for which the possible assignments are calculated according to the function. The relationship context  $\mathcal{S}_{C(X,Y)}$  is the closure of  $S$  under all possible functions  $f_{\mathbb{B}} \in \mathbb{B}^S$ .*

*Let  $S_v \subseteq S$  be set of statements “ $s_x \leq s_y$ ” = “ $s_x \not\approx \neg s_y$ ” = “ $\neg s_x \approx \neg s_y$ ” such that  $s_x \in \mathcal{D}_X$  and  $s_y \in \mathcal{D}_Y$  are verifiable. The set of verifiable statements  $\mathcal{S}_v \subseteq \mathcal{S}$  for the relationship context is the set of statements generated by  $S_v$  using finite conjunction and countable disjunctions.*

*Justification.* By constructions, the relationship context satisfies the axioms for a logical context. We have a set of elements and a set of possible assignments of which one is the truth, this satisfies axioms 1.2 and 1.4. Some of the statements are verifiable and satisfy

1.28. Closure for axioms 1.10, 1.32 and 1.33 are satisfied by constructions. We need to clarify why and what cases this construct makes physical sense.

The set  $\{\mathcal{S}_i\}_{i \in I}$  consists of different contexts, each containing a copy of the domains  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  with a different relationship between them. For example, if  $\mathcal{D}_X$  is the domain for a light switch, up or down being the possibilities, and  $\mathcal{D}_Y$  is the domain for the light, being on or off, in one context the two domain may have a causal relationship (i.e. the switch is wired to the light), in another they may have a different causal relationship (i.e. the switch is wired in the wrong way), and in yet another they may be independent (i.e. switch is not wired with the light). The logic isomorphisms  $\{f_i\}_{i \in I}$  and  $\{g_i\}_{i \in I}$  allow us relate the statements that represent the same assertion in the different contexts.

Note that while mathematically we can always construct the relationship metacontext, it may not exist in reality. Specifically, there is nothing that guarantees that statements of the form “ $s_x \leq s_y$ ” are actually verifiable.  $\square$

Now that we have the right context, we have to understand what is the right basis to generate the experimental domain. Suppose we have a way to verify experimentally statements of the type “the temperature of the mercury column is between 24 and 25 Celsius”  $\leq$  “the height of the mercury column is between 24 and 25 millimeters”. That is, we can verify that whenever  $s_X =$  “the temperature of the mercury column is between 24 and 25 Celsius” is verified, then  $s_Y =$  “the height of the mercury column is between 24 and 25 millimeters” is verified. In that case, we can explore the connection between the two domains within different ranges at ever increasing precision. This means we can narrow the range of possible functions in the same way that we can narrow the range of possible values for a quantity. These types of statements, then, form an experimental domain where each possibility corresponds to a possible continuous function between the two initial experimental domains.

**Definition 2.32.** Let  $\mathcal{S}_{C(X,Y)}$  be the relationship metacontext between  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  over  $\{\mathcal{S}_i\}_{i \in I}$ . Let  $\mathcal{D}_{Y_i} \subseteq \mathcal{D}_{X_i}$  for all  $i \in I$ . Let  $\mathcal{B}_X \subseteq \mathcal{D}_X$ ,  $\mathcal{B}_Y \subseteq \mathcal{D}_Y$  be the two countable basis of the respective domains and let  $\mathcal{B} = \{“e_x \leq e_y” \mid e_x \in \mathcal{B}_X, e_y \in \mathcal{B}_Y\}$ . Then the **relationship domain**  $\mathcal{D}_{C(X,Y)}$  is the experimental domain generated by  $\mathcal{B}$ .

*Proof.* The only thing that needs to be checked is that the basis that generates  $\mathcal{D}_{C(X,Y)}$  is countable. Since  $\mathcal{B}_X$  and  $\mathcal{B}_Y$  are countable, the set of statements of the form  $\leq(e_x, e_y)$  with  $e_x \in \mathcal{B}_X$  and  $e_y \in \mathcal{B}_Y$  is also countable.  $\square$

**Proposition 2.33.** The possibilities for a relationship domain  $\mathcal{D}_{C(X,Y)}$  coincide with the possible experimental relationships between  $X$  and  $Y$ . That is, for each possibility  $z$  of  $\mathcal{D}_{C(X,Y)}$  there exists a continuous function  $f_z : X \rightarrow Y$  such that  $z \equiv \bigwedge_{x \in X} “x \leq f_z(x)”$  and an  $i \in I$  such that  $\bar{g}_i(f_z(\bar{f}_i^{-1}(x_i))) \equiv f_c(x_i)$  for all  $x_i \in X_i$  where  $f_c$  is the causal relationship between  $\mathcal{D}_{X_i}$  and  $\mathcal{D}_{Y_i}$ .

*Proof.* First we show that for each possibility  $z$  of the relationship domain there exists a set  $P_z \subseteq X \times Y$  such that  $z \equiv \bigwedge_{(x,y) \in P_z} “x \approx y” \wedge \bigwedge_{(x,y) \notin P_z} “x \not\approx y”$ . Let  $z$  be a possibility of a relationship domain. This will be a minterm of the basis therefore  $z \equiv \text{minterm}(\mathcal{B})$ . Let  $x \in X$  and  $y \in Y$  be two possibilities and consider the statement “ $x \approx y$ ”. If  $z$  is true

in a possible assignment, then the truth value for all statements of the form “ $e_x \leq e_y$ ” where  $(e_x, e_y) \in \mathcal{B}_X \times \mathcal{B}_Y$  will be set. But  $x \not\approx y$  if and only if there exists at least one pair  $(e_x, e_y) \in \mathcal{B}_X \times \mathcal{B}_Y$  such that  $x \leq e_x \leq e_y$  and  $y \not\approx e_y$ . Therefore if  $z$  is true in an assignment it will tell us whether  $x \not\approx y$  is true or not, so we either have  $z \leq “x \approx y”$  or  $z \leq \neg “x \approx y”$ . Let  $P_z = \{(x, y) \in X \times Y \mid z \leq “x \approx y”\}$  and  $\hat{z} = \bigwedge_{(x,y) \in P_z} “x \approx y” \wedge \bigwedge_{(x,y) \notin P_z} \neg “x \approx y”$ . Then  $z \leq \hat{z}$ .

Conversely, if we suppose  $\hat{z}$  to be true in an assignment, then we will know the truth assignment for all statements of the form “ $x \approx y$ ” where  $(x, y) \in X \times Y$ . Let  $(e_x, e_y) \in \mathcal{B}_X \times \mathcal{B}_Y$  and consider the statement “ $e_x \leq e_y$ ”. We have  $e_x \leq e_y$  if and only if there is no pair  $(x, y)$  such that  $x \leq e_x$ ,  $y \not\approx e_y$  and  $x \approx y$ . Therefore if  $\hat{z}$  is true in an assignment it will tell us whether  $e_x \leq e_y$  is true or not, so we either have  $\hat{z} \leq “e_x \leq e_y”$  or  $\hat{z} \leq \neg “e_x \leq e_y”$ . As  $z$  is a minterm of statements of the form “ $e_x \leq e_y$ ”, we’ll either have  $\hat{z} \leq z$  or  $\hat{z} \not\leq z$ . Since  $z \leq \hat{z}$ ,  $\hat{z} \approx z$  and therefore  $\hat{z} \leq z$ . Since we also have  $z \leq \hat{z}$ , then we have  $z \equiv \hat{z}$ .

Now we want to show that for each possibility  $z$  there is a function  $f_z : X \rightarrow Y$  such that  $z \equiv \bigwedge_{x \in X} “x \leq f_z(x)”$ . We note that statements of the form “ $s_x \approx s_y$ ” are precisely the statements used to generate the relationship metacontext. Statements of the form “ $x \approx y$ ”, where  $x \in X$  and  $y \in Y$ , are enough to determine the truth value of statements of the form “ $s_x \approx s_y$ ”. Therefore for each possibility  $z$  there is one and only one possible truth assignment in which  $z$  is true. That is, each  $x$  correspond to particular logical context  $\mathcal{S}_i$ . Since in that context  $\mathcal{D}_{Y_i} \subseteq \mathcal{D}_{X_i}$  there will be a causal relationship  $f_i : X_i \rightarrow Y_i$  such that  $x_i \leq f_i(x_i)$  which means  $x_i \approx y_i$  if and only if  $y_i = f_i(x_i)$ . Since causal relationships are unique and the possible assignments are distinct, to each  $z$  will correspond a unique  $f_z : X \rightarrow Y$  such that  $\bar{g}_i \circ f_z \circ \bar{f}_i^{-1} = f_i$  and  $z \equiv \bigwedge_{x \in X} “x \leq f_z(x)”$ . Moreover  $f_z$  is continuous since  $\bar{f}_i$  and  $\bar{g}_i$  are logical isomorphisms.  $\square$

Note that the definition for the metacontext simply declares some statements to be verifiable: it does not guarantee that this is actually possible. So when can we do it? Or better, what do we need in practice to be able to build the necessary confidence? Let’s think how we would test the relationship between temperature and height of a mercury column. We would prepare different mercury samples with different values of temperatures in many different conditions, measure height and temperature with different devices, repeat many times, ask someone else to do it independently, compare results, and so on. At some point we will have explored enough of the possible cases, checked and tried anything that could invalidate the result and we will have the confidence to say that “*if the temperature of the mercury column is between 24 and 25 Celsius then its height is between 24 and 25 millimeters*”. We should stress that the procedure is not at all to observe a few values and then generalize. It is not mere induction. It is the ability to prepare and control the system in many different conditions and our inability to violate the relationship that gives us the confidence needed to reach the conclusion.

Suppose, in fact, that we want to experimentally verify the link between inflation and money supply. As long as we cannot create new countries in different economic conditions, the only thing we can do is gather data for as many nations as we can throughout history.<sup>5</sup> Since we cannot purposely explore different conditions and we can’t even replicate older ones,

<sup>5</sup>Computer simulations can sometimes alleviate this problem, though they are only as good as the model one uses.

the best we can do is show that there was a correlation between those specific values. It is the inability to freely and fully explore the problem space that may not enable us to experimentally verify the causal relationship.<sup>6</sup>

The point is that we cannot give a general purpose algorithm for how to construct experimental tests for the relationships starting from the experimental tests of the individual domains. We cannot formalize in general what it means that we have explored the space “enough” to consider the relationship verified, no more than we can formalize when the data collected is “enough” to consider a statement verified or when a statement is specific “enough” to consider the semantics well defined. This is where the practice of experimental science comes in.

But, while we may not know in general whether we can experimentally verify a relationship between two specific domains, we do know that the relationship domain can always be constructed in principle and therefore our mathematical framework is complete. That is: we can take two experimental domains (e.g.  $\mathcal{D}_t$  for time and  $\mathcal{D}_x$  for position), construct a relationship domain between them (e.g.  $\mathcal{D}_{x(t)}$  for trajectories in space), then take another experimental domain (e.g.  $\mathcal{D}_{(q,p)}$  for the states) and construct another relationship domain between the two (e.g.  $\mathcal{D}_{(q,p) \rightarrow x(t)}$  for the relationship between states and trajectories). Our general mathematical theory of experimental science is therefore closed, since we can recursively create relationship domains about relationship domains indefinitely while remaining within its bounds. In other words, experimentally distinguishable objects and their relationships will never lie outside of the theory.

## 2.4 Summary

In this chapter we have seen the first important set of consequences of our general mathematical theory of experimental science. We have seen that experimental relationships between domains can be defined in terms of inference between verifiable statements or equivalently in terms of causal relationship between possibilities. The causal relationship always corresponds to a continuous function in the natural topology. Moreover, it will need to preserve any additional mathematical structure that formalizes a physical characteristic of the domain.

We have also seen how to combine a set of countably many experimental domains and how the combined possibilities depend on the logical and semantic relationships that exist across domains. Depending on the case, we can have the scalar product of the possibilities (with the product topology) all the way to the disjoint union of the established possibilities (with the disjoint union topology).

We have shown that it is possible to construct experimental domains for the relationships themselves. This means that within our theory we can describe relationships of arbitrarily higher order (i.e. relationships about relationships) and therefore we never go outside of our framework.

As we have only explored simple constructions based on the original concepts, these conclusions are of a general nature and therefore must apply to any area of science.

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<sup>6</sup>In this sense, experimental sciences allow for more rigorous results than observational sciences precisely because it is more feasible to experimentally test relationships between domains.

## Chapter 3

# Properties and quantities

In this chapter we will introduce the idea of **properties**, which we use to label the possibilities of an experimental domain. For example, we may use names to distinguish among people, fur color to distinguish among cats, pressure to distinguish the state of a gas. In particular, we'll define a **quantity** as a property that has a magnitude: we can compare two different values and determine which one is greater or smaller.

Instead of simply assuming we have quantities, we will need to construct them from the verifiable statements of an experimental domain. To do that, we will prove three theorems that will give necessary and sufficient conditions for that construction, clarifying how quantities are defined experimentally. These theorems will allow us to understand how the ordering of the values for a quantity are linked to the logical relationships between the verifiable statements, and in particular how the ordering of values is linked to the ordering defined by narrowness.

After characterizing quantities in general, we will see the cases of **discrete** and **continuous** quantities. We will see how discrete quantities are linked to decidability, the ability to verify both a statement and its negation, while continuous quantities are linked to a lack thereof. We will then discuss how the requirements for continuous quantities cannot be truly realized in practice and what happens when that idealization fails.

### 3.1 Properties

Whether the result of direct observation or not, a physical object is most often identified by its properties and their values. A person may be identified by name and date of birth; a bird may be characterized by the color of its beak; the state of a particle may be qualified by its position and momentum.

In this section we define how in general we can use properties to label the possibilities of an experimental domain. Each property will specify a continuous function from the possibilities to the topological space of the possible property values. Some properties may fully identify each possibility, as they assign distinct values, and some may also fully characterize which statements are verifiable.

Suppose  $\mathcal{D}_X$  is a domain for animal identification and  $X$ , its possibilities, are all animal species. Providing good names and definitions for species is a whole scientific subject by itself (i.e. taxonomy) with its own rules (i.e. the International Code of Zoological Nomenclature). The ICZN assigns each species a name composed of two Latin words. For example, "*Passer domesticus*" is the official name for the house sparrow while "*Passer italiae*" is the one for

the Italian sparrow. This means that identifying an animal species is equivalent to identifying its name. Therefore the domain to identify the species name  $\mathcal{D}_Q \equiv \mathcal{D}_X$  is equivalent to the one for identifying the actual species and we have an experimental relationship  $q : X \rightarrow \mathcal{Q}$  between them. As each species is given a unique name this is the most discriminating type of property: one that covers the whole range of possibilities and fully identifies each of them. But this is a special case.

The ICZN also assigns a genus (pl. genera) to each species, which corresponds to the first part of the species name. For example, both aforementioned sparrows are of the genus “*Passer*” while all swans, black or white, are of the genus “*Cygnus*”. Now suppose that  $\mathcal{Q}$  is the set of all names for all genera and  $q : X \rightarrow \mathcal{Q}$  is the function that gives us the genus name for each species. We can still use this as a label for our possibilities, but it does not fully identify them.

On a different note, we could decide to distinguish species by their morphological attributes. For example, if  $\mathcal{Q}$  is the set of all colors, we can imagine a partial function that gives us the beak color for each species, if they have one. We have  $q : U \rightarrow \mathcal{Q}$  where  $U \subseteq X$  is the set where the property is defined. To be consistent, though, we must at least be able to tell in what cases the property is actually defined. For example, we must be able to tell whether an animal has a beak. Therefore there must be a verifiable statement that is true if and only if the property is well defined. The set  $U$ , then, must correspond to the verifiable set of said statement.

Other examples of properties include: postal addresses for buildings, tax ID numbers for people, generation for fundamental particles, position of the center of mass of a body. In all these cases, the general pattern is that we assign a label to a possibility from an established set.

**Definition 3.1.** A **property** for an experimental domain  $\mathcal{D}_X$  is any attribute we can use to distinguish between its possibilities. Formally, it is a tuple  $(\mathcal{Q}, q)$  where  $\mathcal{Q}$  is a topological space and  $q : U \rightarrow \mathcal{Q}$  is a continuous function where  $U \in \mathbb{T}_X$  is a verifiable set of possibilities.

*Justification.* For the property to be physically meaningful, the domain of  $q$  must be a verifiable set in the topology as we must be able to tell experimentally when the property is defined or not. Therefore there must be a verifiable statement that is true if the property is defined, which corresponds to the verifiable set  $U$ , the domain of the property.

Similarly,  $\mathcal{Q}$  must be a topological space since we must be able to experimentally test the value for the property. Furthermore  $q$  must be continuous since there must be a causal relationship between the possibility and the value of the property. □

Note that we have not defined a property as an experimental domain. The possibility of the domain for a property would be a statement like “*the species name for this animal is *Passer domesticus**” while the value is just the object “*Passer domesticus*”. The idea is that the property and its values are defined independently of the particular object. For example, distance in meters is defined independently of whether we later want to measure the earth diameter or its distance to the moon.<sup>1</sup>

<sup>1</sup>While in principle we could envision a formal construction of properties and their values purely built on top of the notion of statement (e.g. define the value *red* to be the set of all statements that claim that something is of that color) we are not going to do so. While appealing in some respects, it is not clear whether

The fact that the property and its values are defined more generally leads to some subtle issues: even if each possibility of the domain corresponds to one property value and each verifiable statement of the property is equivalent to a verifiable statement of the domain, the reverse may not be true. Consider the experimental domain for identifying negatively charged fundamental particles. In this case, each particle will correspond to a unique value of mass, and by measuring the mass we can infer the particle. But for each possible value of mass we will not have a possible fundamental particle. Moreover, the verifiable statement “*this particle is an electron*” would correspond to an infinitely precise statement of the form “*the mass of the particle is exactly 510998.9461... eV*” which is not verifiable. The reason is that identifying a negatively charged particle is not the same as measuring its mass with arbitrary precision: in the first case we can stop when there is only one possible particle within the finite range of our measurement. Now consider the experimental domain for the position of the center of mass of a particle along a particular direction. Not only is the distance from a fixed point enough to identify the position, but for each value of the distance we also have a possible position of the particle.

We say that a property fully characterizes an experimental domain if each possible value of a property corresponds to a possibility of the domain, like in the case of the distance from a reference and position of a particle. The idea is that it tells us what cases are possible and how they are experimentally verified. Instead, we say that a property fully identifies an experimental domain if each possibility corresponds to a unique value, like in the case of the mass of a negatively charged particle. The idea is that the value of the property allows us to uniquely identify the possibility but nothing more.

**Definition 3.2.** *The possibilities of an experimental domain are **fully identified** by a property if its value is enough to uniquely determine a possibility. Formally, let  $(\mathcal{Q}, q)$  be a property for an experimental domain  $\mathcal{D}_X$ . Then the possibilities  $X$  are fully identified if  $q: X \rightarrow \mathcal{Q}$  is injective.*

**Definition 3.3.** *An experimental domain is **fully characterized** by a property if all verifiable statements in the domain correspond to verifiable statements on the property and vice-versa. Formally, let  $(\mathcal{Q}, q)$  be a property for an experimental domain  $\mathcal{D}_X$ . Then it is fully characterized if  $q: X \rightarrow \mathcal{Q}$  is a homeomorphism.*

## 3.2 Quantities and ordering

We now focus our attention on those properties that can be quantified. The number of people in a group can be quantified by an integer, the distance between two objects can be quantified in meters, the force acting on a body can be quantified by the magnitude of a vector expressed in Newtons. The defining characteristic for a quantity is that a value can be greater, smaller or equal to another.

In this section we will define a quantity as a property with a linear order which we assume given a priori, and study its relationship with the experimental domain. We will define the order topology, which assumes one can experimentally verify whether a value is before or after another one. We will see that a domain is fully characterized by a quantity only if

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such a construction would actually help to clarify underlying assumptions while it is clear it would distract from other more critical issues.

the possibilities themselves can be ordered, and how this ordering, in the end, is uniquely characterized by statement narrowness: 10 is less than 42 because “the quantity is less than 10” is narrower than “the quantity is less than 42”.

As the defining characteristic for a quantity is the ability to compare its values, then the values must be ordered in some fashion from smaller to greater. Therefore, given two different values, one must be before the other. Mathematically, we call linear order an order with such a characteristic as we can imagine the elements positioned along a line. Note that vectors are not linearly ordered: no direction is greater than the other. Therefore, in this context, a vector will not strictly be a quantity but a collection of quantities.<sup>2</sup>

We also have to define how this order can be experimentally verified. The idea is that we should, at least, be able to verify that the value of a given quantity is before or after a set value. This allows us to construct bounds such as “the mass of the electron is  $511 \pm 0.5$  keV” which we take to be equivalent to “the mass of the electron is more than 510.5 keV but less than 511.5 keV”.<sup>3</sup> For integers, this also allows us to verify particular numbers as “the earth has one natural satellite” is equivalent to the “the earth has more than zero natural satellites and fewer than two”. Therefore we will define the order topology as the one generated by sets of the type  $(a, \infty)$  and  $(-\infty, b)$ .

A quantity, then, is an ordered property with the order topology.

**Definition 3.4.** A *linear order* on a set  $\mathcal{Q}$  is a relationship  $\leq: \mathcal{Q} \times \mathcal{Q} \rightarrow \mathbb{B}$  such that:

1. (antisymmetry) if  $q_1 \leq q_2$  and  $q_2 \leq q_1$  then  $q_1 = q_2$
2. (transitivity) if  $q_1 \leq q_2$  and  $q_2 \leq q_3$  then  $q_1 \leq q_3$
3. (total) at least  $q_1 \leq q_2$  or  $q_2 \leq q_1$

A set together with a linear order is called a *linearly ordered set*.

**Definition 3.5.** Let  $(\mathcal{Q}, \leq)$  be a linearly ordered set. The *order topology* is the topology generated by the collections of sets of the form:

$$(a, \infty) = \{q \in \mathcal{Q} \mid a < q\}, \quad (-\infty, b) = \{q \in \mathcal{Q} \mid q < b\}.$$

**Definition 3.6.** A *quantity* for an experimental domain  $\mathcal{D}_X$  is a linearly ordered property. Formally, it is a tuple  $(\mathcal{Q}, \leq, q)$  where  $(\mathcal{Q}, q)$  is a property,  $\leq: \mathcal{Q} \times \mathcal{Q} \rightarrow \mathbb{B}$  is a linear order and  $\mathcal{Q}$  is a topological space with the order topology with respect to  $\leq$ .

As for properties, the quantity values are just symbols used to label the different cases. The set  $\mathcal{Q}$  may correspond to the integers, real numbers or a set of words ordered alphabetically.<sup>4</sup> The units are not captured by the numbers themselves: they are captured by the function  $q$

<sup>2</sup>In other languages, there are two words to differentiate quantity as in “physical quantity” (e.g. grandezza, Grösse, grandeur) and as in “amount” (e.g. quantità, Menge, quantité). It is the second meaning of quantity that is captured here.

<sup>3</sup>The sentence “the mass of the electron is  $511 \pm 0.5$  keV” could instead be referring to statistical uncertainty instead of an accuracy bound and would constitute a different statement when the different meaning is attached. We will be treating these types of statistical statements later in the book, but suffice it to say that they cannot be defined before statements that identify bounds.

<sup>4</sup>When consulting the dictionary, we use the fact that we can experimentally tell whether the word we are looking for is before or after the one we randomly selected.



that allows us to map statements to numbers and vice-versa.

As we want to understand quantities better, we concentrate on those experimental domains that are fully characterized by a quantity. For example, the domain for the mass of a system will be fully characterized by a real number greater than or equal to zero. Each possibility will be identified by a number which will correspond to the mass expressed in a particular unit, say in Kg. As the values of the mass are ordered, we can also say that the possibilities themselves are ordered. That is, “the mass of the system is 1 Kg” precedes “the mass of the system is 2 Kg”. This ordering of the possibilities will be linked to the natural topology as “the mass of the system is less than 2 Kg”, the disjunction of all possibilities that come before a particular possibility, is a verifiable statement.

We call a natural order for the possibility a linear order on them such that the order topology is the natural topology. An experimental domain is fully characterized by a quantity if and only if it is naturally ordered and that quantity is ordered in the same way: it is order isomorphic. In other words, we can only assign a quantity to an experimental domain if it already has a natural ordering of the same type.

**Definition 3.7.** Let  $\mathcal{D}_X$  be an experimental domain and  $X$  its possibilities. We say  $\leq: X \times X \rightarrow \mathbb{B}$  is a **natural order** for the possibilities of the domain if the order topology constructed from that ordering coincides with the natural topology. We say the domain and the possibilities are **naturally ordered** if they admit a natural order.

**Definition 3.8.** Let  $(\mathcal{Q}, \leq)$  and  $(\mathcal{P}, \leq)$  be two ordered sets. A function  $f: \mathcal{Q} \rightarrow \mathcal{P}$  is **increasing** if for every  $q_1, q_2 \in \mathcal{Q}$ ,  $q_1 \leq q_2$  implies  $f(q_1) \leq f(q_2)$ . It is an **order isomorphism** if it is bijective and, for every  $q_1, q_2 \in \mathcal{Q}$ ,  $q_1 \leq q_2$  if and only if  $f(q_1) \leq f(q_2)$ .

**Theorem 3.9** (Property ordering theorem). An experimental domain  $\mathcal{D}_X$  is fully characterized by a quantity  $(\mathcal{Q}, \leq, q)$  if and only if it is naturally ordered and the possibilities are order isomorphic to the quantity.

*Proof.* Suppose  $\mathcal{D}_X$  is fully characterized by a quantity  $(\mathcal{Q}, \leq_q, q)$ . Since  $q$  is a bijection, we can define an ordering  $\leq_x: X \times X \rightarrow \mathbb{B}$  such that  $x_1 \leq_x x_2$  if and only if  $q(x_1) \leq_q q(x_2)$ . As  $q$  is now an order isomorphism, sets of the type  $(q_1, \infty)$  will be mapped to  $(q^{-1}(q_1), \infty)$ , and sets of the type  $(-\infty, q_2)$  will be mapped to  $(-\infty, q^{-1}(q_2))$  for all  $q_1, q_2 \in \mathcal{Q}$ . As  $q$  is also a homeomorphism, it will map a basis of one space to and only to a basis of the other. This means the collection of sets of the type  $(x_1, \infty)$  and  $(-\infty, x_2)$  form a basis, and therefore the ordering  $\leq_x$  is a natural order.

We can run the argument in reverse, by assuming we have a naturally ordered experimental domain, finding a set  $\mathcal{Q}$  that is order isomorphic to the possibility and showing that the topology induced by the isomorphism is the order topology.  $\square$

Now that we have established that the linear ordering is something already present in the domain, we can show it is actually based on the ordering of verifiable statements in terms of narrowness. That is, “the mass of the system is 1 Kg” is before “the mass of the system is 2 Kg” precisely because “the mass of the system is less than 1 Kg” is narrower than “the mass of the system is less than 2 Kg”. The set of statements  $\mathcal{B}_b$  of the form “the mass of the system is less than  $q_1$  Kg” are linearly ordered by narrowness, and their ordering is the same as the ordering of the possibilities/values. Similarly, the set of statements  $\mathcal{B}_a$  of the form “the

mass of the system is more than  $q_1$  Kg” is also ordered by narrowness but with the reverse ordering of the possibilities/values. These are the very statements whose verifiable sets define the order topology and therefore jointly constitute a basis for the experimental domain.

Now consider the statement  $s_1 = \text{“the mass of the system is less than or equal to 1 Kg”}$  with  $s_2 = \text{“the mass of the system is less than 1 Kg”}$ . We have  $s_2 \preceq s_1$ . In fact, if we replace the value in  $s_2$  with anything less than 1 Kg we’ll still have  $s_2 \preceq s_1$ . Instead if we use a value greater than 1 Kg we’d have  $s_1 \preceq s_2$ . In other words, if we call  $B$  the set that includes both the less-than-or-equal and less-than statements this is also linearly ordered by narrowness. But *“the mass of the system is less than or equal to 1 Kg”* is equivalent to  $\neg$  *“the mass of the system is greater than 1 Kg”*. In other words,  $B = \mathcal{B}_b \cup \neg(\mathcal{B}_a)$  contains all the statements like *“the mass of the system is less than  $q_1$  Kg”* and  $\neg$  *“the mass of the system is more than  $q_1$  Kg”* and these are all linearly ordered by narrowness.

The ordering of  $B$  can be further characterized. Note that  $s_1 = \text{“the mass of the system is less than or equal to 1 Kg”}$  is the immediate successor of  $s_2 = \text{“the mass of the system is less than 1 Kg”}$ . That is, they are different and there can’t be any other statement in  $B$  that is broader than  $s_2$  but narrower than  $s_1$  since they differ for a single case. This will happen for any mass value. So  $B$  is composed of two exact copies of the ordering of  $X$ , where each element of one copy is immediately followed by an element of the other copy. Moreover, if a statement in  $B$  has an immediate successor, there must be only one case that separates the two. If we call  $q_1$  the value of that case, then the statement must be of the form *“the mass of the system is less than  $q_1$  Kg”* while its immediate successor is of the form *“the mass of the system is less than or equal to  $q_1$  Kg”*: the successor is broader by just the possibility associated with  $q_1$ . Therefore statements in  $B$  that have an immediate successor must be in  $\mathcal{B}_b$  as well.

The main result is that the above characterization of the basis of the domain is necessary and sufficient to order the possibilities. If an experimental domain has a basis composed of two parts  $\mathcal{B}_b$  and  $\mathcal{B}_a$  such that  $B = \mathcal{B}_b \cup \neg(\mathcal{B}_a)$  is linearly ordered by narrowness with those characteristics, then the experimental domain is naturally ordered. The possibilities can be written as  $x \equiv \neg s_b \wedge \neg s_a$  where  $s_b \in \mathcal{B}_b$  and  $\neg s_a$  is the immediate successor. In fact, *“the mass of the system is 1 Kg”* is equivalent to *“the mass of the system is not less than 1 Kg and not more than 1 Kg”*. Note that, because of negation, the possibilities may not in general be verifiable.

**Definition 3.10.** Let  $\mathcal{D}_X$  be a naturally ordered experimental domain and  $X$  its possibilities. We define the following notation:  $“x < x_1” = \bigvee_{\{x \in X \mid x < x_1\}} x$ ,  $“x > x_1” = \bigvee_{\{x \in X \mid x > x_1\}} x$ ,  $“x \leq x_1” = \bigvee_{\{x \in X \mid x \leq x_1\}} x$  and  $“x \geq x_1” = \bigvee_{\{x \in X \mid x \geq x_1\}} x$ . That is, they represent statements like “the value of the quantity  $x$  is less than  $x_1$ ”.

**Corollary 3.11.** Based on the previous notation,  $“x \leq x_1” \equiv \neg “x > x_1”$  and  $“x \geq x_1” \equiv \neg “x < x_1”$ .

*Proof.* Note that  $“x \leq x_1” \vee “x > x_1” \equiv \bigvee_{x \in X} x \equiv \top$  while  $“x \leq x_1” \wedge “x > x_1” \equiv \bigvee_{x \in \emptyset} x \equiv \perp$ . Therefore one is the negation of the other. Similarly  $“x < x_1” \vee “x \geq x_1” \equiv \bigvee_{x \in X} x \equiv \top$  while

$$"x < x_1" \wedge "x \geq x_1" \equiv \bigvee_{x \in \emptyset} x \equiv \perp. \quad \square$$

**Definition 3.12.** Let  $\mathcal{D}_X$  be a naturally ordered experimental domain and  $X$  its possibilities. Define  $\mathcal{B}_b = \{ "x < x_1" \mid x_1 \in X \}$ ,  $\mathcal{B}_a = \{ "x > x_1" \mid x_1 \in X \}$  and  $B = \mathcal{B}_b \cup \neg(\mathcal{B}_a)$ .

**Definition 3.13.** Let  $(\mathcal{Q}, \leq)$  be an ordered set. Let  $q_1, q_2 \in \mathcal{Q}$ . Then  $q_2$  is an **immediate successor** of  $q_1$  and  $q_1$  is an **immediate predecessor** of  $q_2$  if there is no element strictly between them in the ordering. That is,  $q_1 < q_2$  and there is no  $q \in \mathcal{Q}$  such that  $q_1 < q < q_2$ . Two elements are **consecutive** if one is the immediate successor of the other.

**Proposition 3.14.** Let  $\mathcal{D}_X$  be a naturally ordered experimental domain. Then  $(\mathcal{B}_b, \leq)$ ,  $(\mathcal{B}_a, \geq)$  and  $(B, \leq)$  are linearly ordered sets. Moreover  $(\mathcal{B}_b, \leq)$ ,  $(\mathcal{B}_a, \geq)$  are order isomorphic to  $(X, \leq)$ .

*Proof.* Let  $f : X \rightarrow \mathcal{B}_b$  be defined such that  $f(x_1) = "x < x_1"$ . As there is one and only one statement " $x < x_1$ " for each  $x_1 \in X$ ,  $f$  is a bijection. Suppose  $x_1 \leq x_2$ , we have  $f(x_2) \equiv \bigvee_{\{x \in X \mid x < x_2\}} x \equiv \left( \bigvee_{\{x \in X \mid x < x_1\}} x \right) \vee \left( \bigvee_{\{x \in X \mid x < x_2\}} x \right) \equiv f(x_1) \vee f(x_2)$  and therefore  $f(x_1) \leq f(x_2)$ . On the other hand if  $f(x_1) \leq f(x_2)$  then as sets  $(-\infty, x_1) \subseteq (-\infty, x_2)$  which means  $x_1 \leq x_2$ . This means that  $f$  is an order isomorphism between  $(\mathcal{B}_b, \leq)$  and  $(X, \leq)$ .

Similarly, let  $g : X \rightarrow \mathcal{B}_a$  be defined such that  $g(x_1) = "x > x_1"$ . As there is one and only one statement " $x > x_1$ " for each  $x_1 \in X$ ,  $g$  is a bijection. Suppose  $x_1 \leq x_2$ , we have  $g(x_1) \equiv \bigvee_{\{x \in X \mid x > x_1\}} x \equiv \left( \bigvee_{\{x \in X \mid x > x_1\}} x \right) \vee \left( \bigvee_{\{x \in X \mid x > x_2\}} x \right) \equiv g(x_1) \vee g(x_2)$  and therefore  $g(x_1) \geq g(x_2)$ . On the other hand if  $g(x_1) \geq g(x_2)$  then as sets  $(x_1, \infty) \supseteq (x_2, \infty)$  which means  $x_1 \leq x_2$ . This means that  $g$  is an order isomorphism between  $(\mathcal{B}_a, \geq)$  and  $(X, \leq)$ .

To show that  $B$  is linearly ordered, let  $x_1, x_2 \in X$ . If they both come from either  $\mathcal{B}_b$  or  $\neg(\mathcal{B}_a)$  then they are already ordered by narrowness. If not, consider the two statements " $x < x_1$ " and " $x \leq x_2$ "  $\equiv \neg "x > x_2"$ . As  $X$  is linearly ordered, either  $\{x \in X \mid x < x_1\} \subseteq \{x \in X \mid x \leq x_2\}$  or  $\{x \in X \mid x \leq x_2\} \subseteq \{x \in X \mid x < x_1\}$ . Therefore either " $x < x_1$ "  $\leq$  " $x \leq x_2$ " or " $x \leq x_2$ "  $\leq$  " $x < x_1$ ". Which means  $B = \mathcal{B}_b \cup \neg(\mathcal{B}_a)$  is linearly ordered by  $\leq$ .  $\square$

**Proposition 3.15.** Let  $\mathcal{B}_b$  and  $\mathcal{B}_a$  be two sets of verifiable statements such that  $B = \mathcal{B}_b \cup \neg(\mathcal{B}_a)$  is linearly ordered by narrowness. Let  $\mathcal{D}_b$  and  $\mathcal{D}_a$  be the experimental domains they respectively generate and  $D = \mathcal{D}_b \cup \neg(\mathcal{D}_a)$ . Then  $(\mathcal{D}_b, \leq)$ ,  $(\mathcal{D}_a, \geq)$  and  $(D, \leq)$  are linearly ordered.

*Proof.* First we show that  $(\mathcal{D}_b, \leq)$  is linearly ordered. We have that  $\mathcal{B}_b$  is linearly ordered by narrowness because it is a subset of  $B$  which is linearly ordered by narrowness. Note that the conjunction of a finite set of statements linearly ordered by narrowness will return the narrowest element and the disjunction of a finite set of statements linearly ordered by narrowness will return the broadest element. The countable disjunction, instead, can introduce new elements. But using those elements again will not introduce new ones: the disjunction of countable disjunctions will still be a countable disjunction; the finite conjunction of countable disjunctions is the countable disjunction of finite conjunctions,

which returns elements of the original set and therefore reduces to countable conjunctions. Therefore, when forming  $\mathcal{D}_b$  the only new elements will be the countable disjunctions.

Consider two countable sets  $B_1, B_2 \subseteq \mathcal{B}_b$ . Their disjunctions  $\mathbf{b}_1 = \bigvee_{\mathbf{b} \in B_1} \mathbf{b}$  and  $\mathbf{b}_2 = \bigvee_{\mathbf{b} \in B_2} \mathbf{b}$  represent the narrowest statement that is broader than all elements of the respective set. Suppose that for each element of  $B_1$  we can find a broader element in  $B_2$ . Then  $\mathbf{b}_2$ , being broader than all elements of  $B_2$ , will be broader than all elements of  $B_1$ . But since  $\mathbf{b}_1$  is the narrowest element that is broader than all elements in  $B_1$ , we have  $\mathbf{b}_2 \succcurlyeq \mathbf{b}_1$ . Conversely, suppose there is some element in  $B_1$  for which there is no broader element in  $B_2$ . Since the initial set is fully ordered, it means that that element of  $B_1$  is broader than all the elements in  $B_2$ . This means that element is broader than  $\mathbf{b}_2$  and since  $\mathbf{b}_1$  is broader than all elements in  $B_1$  we have  $\mathbf{b}_1 \succcurlyeq \mathbf{b}_2$ . Therefore the domain  $\mathcal{D}_b$  generated by  $\mathcal{B}_b$  is linearly ordered by narrowness.

Now we show that  $(\mathcal{D}_a, \succcurlyeq)$  is linearly ordered. The basis  $\mathcal{B}_a$  is linearly ordered by broadness because the negation of its elements are part of  $B$  and are ordered by narrowness. Note that broadness is the opposite order of narrowness and therefore a set linearly ordered by one is linearly ordered by the other. Therefore  $\mathcal{B}_a$  is also linearly ordered by narrowness and so is  $\mathcal{D}_a$  by the previous argument. Therefore  $\mathcal{D}_a$  is ordered by broadness.

To show that  $D = \mathcal{D}_b \cup \neg(\mathcal{D}_a)$  is linearly ordered by narrowness, we only need to show that the countable disjunctions of elements of  $\mathcal{B}_b$  are either narrower or broader than the countable conjunctions of the negations of elements of  $\mathcal{B}_a$ . Let  $B_1 \subseteq \mathcal{B}_b$  and  $A_2 \subseteq \mathcal{B}_a$ . The disjunction  $\mathbf{b}_1 = \bigvee_{\mathbf{b} \in B_1} \mathbf{b}$  represents the narrowest statement that is broader than all elements of  $B_1$  while the conjunction  $\neg \mathbf{a}_2 = \neg \bigvee_{\mathbf{a} \in A_2} \mathbf{a} = \bigwedge_{\mathbf{a} \in A_2} \neg \mathbf{a}$  represents the broadest statement that is narrower than all elements of  $\neg(A_2)$ . Suppose that for one element of  $\neg(A_2)$  we can find a broader statement in  $B_1$ . Then  $\mathbf{b}_1$ , being broader than all elements in  $B_1$ , will be broader than that one element in  $\neg(A_2)$ . But since  $\neg \mathbf{a}_2$  is narrower than all elements in  $\neg(A_2)$ , we have  $\neg \mathbf{a}_2 \preccurlyeq \mathbf{b}_1$ . Conversely, suppose that for no element of  $\neg(A_2)$  we can find a broader statement in  $B_1$ . As  $B$  is linearly ordered, it means that all elements in  $\neg(A_2)$  are broader than all elements in  $B_1$ . This means that all elements in  $\neg(A_2)$  are broader than  $\mathbf{b}_1$  and therefore  $\mathbf{b}_1 \preccurlyeq \neg \mathbf{a}_2$ . Therefore  $D$  is linearly ordered by narrowness.  $\square$

**Theorem 3.16** (Domain ordering theorem). *An experimental domain  $\mathcal{D}_X$  is naturally ordered if and only if it is the combination of two experimental domains  $\mathcal{D}_X = \mathcal{D}_a \times \mathcal{D}_b$  such that:*

- (i)  $D = \mathcal{D}_b \cup \neg(\mathcal{D}_a)$  is linearly ordered by narrowness
- (ii) all elements of  $D$  are part of a pair  $(\mathbf{s}_b, \neg \mathbf{s}_a)$  such that  $\mathbf{s}_b \in \mathcal{D}_b$ ,  $\mathbf{s}_a \in \mathcal{D}_a$  and  $\neg \mathbf{s}_a$  is either the immediate successor of  $\mathbf{s}_b$  in  $D$  or  $\mathbf{s}_b \equiv \neg \mathbf{s}_a$
- (iii) if  $\mathbf{s} \in D$  has an immediate successor, then  $\mathbf{s} \in \mathcal{D}_b$

*Proof.* Let  $\mathcal{D}_X$  be a naturally ordered experimental domain. Let  $\mathcal{B}_b$  and  $\mathcal{B}_a$  be defined as in 3.12 which means  $\mathcal{B} = \mathcal{B}_b \cup \mathcal{B}_a$  is the basis that generates the order topology. Let  $\mathcal{D}_b$  be the domain generated by  $\mathcal{B}_b$  and  $\mathcal{D}_a$  be the domain generated by  $\mathcal{B}_a$ . Then  $\mathcal{D}_X$  is generated from  $\mathcal{D}_b$  and  $\mathcal{D}_a$  by finite conjunction and countable disjunction and therefore  $\mathcal{D}_X = \mathcal{D}_b \times \mathcal{D}_a$ .

To prove (i), we have that  $\mathcal{B}_b$  and  $\mathcal{B}_a$  are linearly ordered by 3.14. We need to show that the linear ordering holds across the sets. Let  $x_1, x_2 \in X$  and consider the two statements “ $x < x_1$ ” and “ $x \leq x_2$ ”  $\equiv$  “ $x > x_2$ ”. As  $X$  is linearly ordered, either  $\{x \in X \mid x < x_1\} \subseteq \{x \in X \mid x \leq x_2\}$  or  $\{x \in X \mid x \leq x_2\} \subseteq \{x \in X \mid x < x_1\}$ . Therefore either “ $x < x_1$ ”  $\leq$  “ $x \leq x_2$ ” or “ $x \leq x_2$ ”  $\leq$  “ $x < x_1$ ”. Which means  $B = \mathcal{B}_b \cup \neg(\mathcal{B}_a)$  is linearly ordered by  $\leq$ . By 3.15 the set  $D = \mathcal{D}_b \cup \neg(\mathcal{D}_a)$  is also linearly ordered.

To prove (ii), let  $s_b \in \mathcal{D}_b$ . Take  $s_a \in \mathcal{D}_a$  such that  $\neg s_a$  is the narrowest statement in  $\neg(\mathcal{D}_a)$  that is broader than  $s_b$ . This exists because  $\mathcal{D}_a$  is closed by infinite disjunction. As  $\neg s_a \geq s_b$ , let  $X_1$  be the set of possibilities compatible with  $\neg s_a$  but not compatible with  $s_b$ . The set cannot have more than one element, or we could find an element  $x_1 \in X_1$  such that  $s_b \leq “x \leq x_1” < \neg s_a$ . If  $X_1$  contains one possibility, then  $\neg s_a$  is the immediate successor. If  $X_1$  is empty then  $s_b \equiv \neg s_a$ . Similarly, we can start with  $s_a \in \mathcal{D}_a$  and find  $s_b \in \mathcal{D}_b$  such that  $s_b$  is the broadest statement in  $\mathcal{D}_b$  that is narrower than  $\neg s_a$ . Let  $X_1$  be the set of possibilities compatible with  $\neg s_a$  but not compatible with  $s_b$ . If  $X_1$  contains one possibility, then  $\neg s_a$  is the immediate successor and if  $X_1$  is empty then  $s_b \equiv \neg s_a$ .

To prove (iii), let  $s_1, s_2 \in D$  such that  $s_2$  is the immediate successor of  $s_1$ . This means we can write  $s_2 \equiv s_1 \vee x_1$  for some  $x_1 \in X$ . This means  $s_1 \equiv “x < x_1”$  while  $s_2 \equiv “x \leq x_1”$  and therefore  $s_1 \in \mathcal{B}_b$ .

Now we need to prove the opposite direction. Now let  $\mathcal{D}_X = \mathcal{D}_b \times \mathcal{D}_a$  be an experimental domain as described in the theorem. Let  $X$  be the set of all statements of the form  $x = \neg s_b \wedge \neg s_a$  for which  $s_b \in \mathcal{D}_b$ ,  $s_a \in \mathcal{D}_a$  and  $\neg s_a$  is the immediate successor of  $s_b$  in  $D$ . All statements in  $X$  are possibilities. In fact, take  $x = \neg s_b \wedge \neg s_a \in X$  and  $s \in D$ . It is not a contradiction because  $s_b < \neg s_a$ . Since  $\neg s_a$  is the immediate successor of  $s_b$ , we either have  $s \leq s_b \leq s_b \vee s_a \equiv \neg x$  or  $s \geq \neg s_a \geq x$ . That is,  $x \not\leq s$  or  $x \leq s$ . And since the theoretical domain of  $\mathcal{D}_X$  can be generated from  $D$ ,  $x$  is either narrower than or incompatible with any other statement in the theoretical domain. Therefore  $x$  is a possibility.

Now we show that  $X$ , as defined, covers all possibilities. Let  $x$  be a possibility for  $\mathcal{D}_x$ . Let  $F_x = \{s \in D \mid x \not\leq s\}$  and  $T_x = \{s \in D \mid x \leq s\}$ . Since  $x$  is a possibility  $F_x \cup T_x = D$  and since  $D$  is linearly ordered,  $s_1 < s_2$  for all  $s_1 \in F_x$  and  $s_2 \in T_x$ . Let  $f_x = \bigvee_{s \in F_x} s$  and  $t_x = \bigwedge_{s \in T_x} s$ . To see that  $f_x \in D$ , let  $f'_x = \bigvee_{s \in F_x \cap \mathcal{D}_b} s$ . This will be in  $F_x$  as it is in  $\mathcal{D}_b$  and will be false if  $x$  is true. Because of (ii), there can be only one statement in  $F_x \cap \neg(\mathcal{D}_a)$  that is broader than  $f'_x$  but narrower than the other elements of  $\mathcal{D}_b$  that are not in  $F_x$ , therefore  $f_x$  is in  $D$  as it has been reduced to a finite disjunction. Similarly,  $t_x \in D$ . Let  $t'_x = \bigwedge_{s \in T_x \cap \neg(\mathcal{D}_a)} s$ . This will be in  $T_x$  as it is in  $\neg(\mathcal{D}_a)$  and will be true if  $x$  is true. Because of (ii), there can be only one statement in  $T_x \cap \mathcal{D}_b$  that is narrower than  $t'_x$  but broader than the other elements of  $\mathcal{D}_a$  that are not in  $T_x$ , therefore  $t_x$  is in  $D$  as it has been reduced to a finite conjunction.

Consider  $\neg f_x \wedge t_x$ : if true then  $f_x$  will be false, and so will all statements in  $F_x$  since they are narrower; also  $t_x$  will be true, and so will all statements in  $T_x$  since they are broader. We have  $x \equiv \neg f_x \wedge t_x$ . Since  $x$  is not a contradiction,  $f_x \neq t_x$ . Since all statements in  $D$  are either in  $F_x$  or  $T_x$ ,  $t_x$  is the immediate successor of  $f_x$ . Therefore by (iii)  $f_x \in \mathcal{D}_b$ ,  $\neg t_x \in \mathcal{D}_a$  and  $x \in X$ .

Now we show that  $X$  can be given a natural ordering. Let  $\mathcal{B}_b \subseteq \mathcal{D}_b$  be the set of statements that have an immediate successor in  $D$  and  $\mathcal{B}_a \subseteq \mathcal{D}_a$  be the set of the negation

of the immediate successors. Let  $(\cdot)^{++} : \mathcal{B}_b \rightarrow \mathcal{B}_a$  be the function such that  $\neg(\mathbf{b}^{++}) = \neg\mathbf{b}^{++}$  is the immediate successor of  $\mathbf{b}$ . Let  $\mathbf{b} : X \rightarrow \mathcal{B}_b$  be the function such that  $x \equiv \neg\mathbf{b}(x) \wedge \neg\mathbf{b}(x)^{++}$ . On  $X$  define the ordering  $\leq$  such that  $x_1 \leq x_2$  if and only if  $\mathbf{b}(x_1) \leq \mathbf{b}(x_2)$ . Since  $(\mathcal{B}_b, \leq)$  is linearly ordered so is  $(X, \leq)$ . To show that the ordering is natural, suppose  $x_1 < x_2$  then  $\mathbf{b}(x_1) < \neg\mathbf{b}(x_1)^{++} \leq \mathbf{b}(x_2)$  and therefore  $x_1 \leq \mathbf{b}(x_2)$ . We also have  $\neg\mathbf{b}(x_1)^{++} \leq \mathbf{b}(x_2) < \neg\mathbf{b}(x_2)^{++}$  and therefore  $x_2 \leq \mathbf{b}(x_1)^{++}$ . This means that given a possibility  $x_1 \in X$ , all and only the possibilities lower than  $x_1$  are compatible with  $\mathbf{b}(x_1)$  and therefore  $\mathbf{b}(x_1) \equiv "x < x_1"$ , while all and only the possibilities greater than  $x_1$  are compatible with  $\mathbf{b}(x_1)^{++}$  and therefore  $\mathbf{b}(x_1)^{++} \equiv "x > x_1"$ . The topology is the order topology and the domain has a natural ordering.  $\square$

### 3.3 References and experimental ordering

In the previous section we have characterized what a quantity is and how it relates to an experimental domain. But as we saw in the first chapters, the possibilities of a domain are not objects that exist a priori: they are defined based on what can be verified experimentally. Therefore simply assigning an ordering to the possibilities of a domain does not answer the more fundamental question: how are quantities actually constructed? How do we, in practice, create a system of references that allows us to measure a quantity at a given level of precision? What are the assumptions we make in that process?

In this section we construct ordering from the idea of a reference that physically defines a boundary between a *before* and an *after*. In general, a reference has an extent and may overlap with others. We define ordering in terms of references that are clearly before and after others. We see that the possibilities have a natural ordering only if they are generated from a set of references that is refinable (we can always find finer ones that do not overlap) and for which before/on/after are mutually exclusive cases. The possibilities, then, are the finest references possible.

We are by now so used of the ideas of real numbers, negative numbers and the number zero that it is difficult to realize that these are mental constructs that are, in the end, somewhat recent in the history of humankind. Yet geometry itself started four thousand years ago as an experimentally discovered collection of rules concerning lengths, areas and angles. That is, human beings were measuring quantities well before the real numbers were invented. So, how does one construct instruments that measure values?

To measure position, we can use a ruler, which is a series of equally spaced marks. We give a label to each mark (e.g. a number) and note which two marks are closest to the target position (e.g. between 1.2 and 1.3 cm). To measure weight, we can use a balance and a set of equally prepared reference weights. The balance can clearly tell us whether one side is heavier than the other, so we use it to compare the target with a number of reference weights and note the two closest (e.g. between 300 and 400 grams). A clock gives us a series of events to compare to (e.g. earth's rotation on its axis, the ticks of a clock). We can pour water from a reference container into another as many times as are needed to measure its volume. In all these cases what actually happens is similar: we have a reference (e.g. a mark on a ruler, a set of equally prepared weights, a number of ticks of a clock) and it is fairly easy to tell whether what we are measuring is before (e.g. shorter, lighter, sooner) or after (e.g. longer, heavier, later) the reference.

Note that determining whether the quantity is exactly equal to the reference is not as easy: the mark on the ruler has a width, the balance has friction, the tick of our clock will last a finite amount of time. That is, the reference itself can only be compared up to a finite level of precision. This may be a problem when constructing the references themselves: how do we know that the marks on our ruler are equally spaced, or that the weights are equally prepared, or that ticks of our clock are equally timed? It is a circular problem in the sense that, in a way, we need instruments of measurement to be able to create instruments of measurement. Yet, even if our references can't be perfectly compared and are not perfectly equal, we can still say whether the value is well before or well after any of them.

To make matters worse, the object we are measuring may itself have an extent. If we are measuring the position of a tiny ball, it may be clearly before or clearly after the nearest mark, but it may also be partly before, partly on and partly after. One may try to sidestep the problem by measuring part of the object, say the position of the center of mass or of its closest part. But this assumes we have a process to interact with only part of the object, and that part can only be before, on or after the reference. It may be a reasonable assumption in many cases but we have to be mindful that we made that assumption: our general definition will have to be able to work in the less ideal cases.

In our general mathematical theory of experimental science, we can capture the above discussion with the following definitions. A reference is represented by a set of three statements: they tell us whether the object is before, on or after a specific reference. To make sense, these have to satisfy the following minimal requirements. The before and the after statements must be verifiable, as otherwise they would not be usable as references. As the reference must be somewhere, the on statement cannot be a contradiction. If the object is not before and not after the reference, then it must be on the reference. If the object is before and after the reference, then it must also be on the reference. These requirements recognize that, in general, a reference has an extent and so does the object being measured.

We can compare the extent of two references and say that one is finer than the other if the on statement is narrower than the other, and the before and after statements are wider. This corresponds to a finer tick of a ruler or a finer pulse in our timing system. We say that a reference is strict if the before, on and after statements are incompatible. That is, the three cases are distinct and can't be true at the same time.

**Definition 3.17.** A *reference* defines a before, an on and an after relationship between itself and another object. Formally a reference  $\mathbf{r} = (\mathbf{b}, \mathbf{o}, \mathbf{a})$  is a tuple of three statements such that:

1. we can verify whether the object is before or after the reference:  $\mathbf{b}$  and  $\mathbf{a}$  are verifiable statements
2. the object can be on the reference:  $\mathbf{o} \neq \perp$
3. if it's not before or after, it's on the reference:  $\neg \mathbf{b} \wedge \neg \mathbf{a} \leq \mathbf{o}$
4. if it's before and after, it's also on the reference:  $\mathbf{b} \wedge \mathbf{a} \leq \mathbf{o}$

A *beginning reference* has nothing before it. That is,  $\mathbf{b} \equiv \perp$ . An *ending reference* has nothing after it. That is,  $\mathbf{a} \equiv \perp$ . A *terminal reference* is either beginning or ending.

**Corollary 3.18.** Let  $(\mathbf{b}, \mathbf{o}, \mathbf{a})$  be a reference. Then  $\mathbf{b} \vee \mathbf{o} \vee \mathbf{a} \equiv \top$ .

*Proof.* By definition, we have  $\neg b \wedge \neg a \leq o$  and by 1.23  $\neg(\neg b \wedge \neg a) \vee o \equiv \top \equiv b \vee a \vee o$ .  $\square$

**Definition 3.19.** A reference  $r_1 = (b_1, o_1, a_1)$  is **finer** than another reference  $r_2 = (b_2, o_2, a_2)$  if  $b_1 \geq b_2$ ,  $o_1 \leq o_2$  and  $a_1 \geq a_2$ .

**Corollary 3.20.** The finer relationship between references is a partial order.

*Proof.* As the finer relationship is directly based on narrowness, it inherits its reflexivity, antisymmetry and transitivity properties and is therefore a partial order.  $\square$

**Definition 3.21.** A reference is **strict** if its before, on and after statements are incompatible. Formally,  $r = (b, o, a)$  is such that  $b \not\# a$  and  $o \equiv \neg b \wedge \neg a$ . A reference is **loose** if it is not strict.

*Remark.* In general, we can't turn a loose reference into a strict one. The on statement can be made strict by replacing it with  $\neg b \wedge \neg a$ . This is possible because  $o$  is not required to be verifiable. The before (and after) statements would need to be replaced with statements like  $b \wedge \neg a$ , which are not in general verifiable because of the negation.

To measure a quantity we will have many references one after the other: a ruler will have many marks, a scale will have many reference weights, a clock will keep ticking. What does it mean that a reference comes after another in terms of the before/on/after statements?

If reference  $r_1$  is before reference  $r_2$  we expect that if the value measured is before the first it will also be before the second, and if it is after the second it will also be after the first. Note that this is not enough, though, because as references have an extent they may overlap. And if they overlap one can't be after the other. To have an ordering properly defined we must have that the first reference is entirely before the second. That is, if the value measured is on the first it will be before the second and if it is on the second it will be after the first.

Mathematically, this type of ordering is strict in the sense that it defines what is strictly before and strictly after. It does not define what happens in the overlap, in between cases. One may be tempted to define the ordering based on how the references overlap, but that requires refining the references and, in the end, it means we are defining an ordering on those refined references, not the original ones.

**Definition 3.22.** A reference is before another if whenever an object is found before or on the first it cannot be on or after the second. Formally,  $r_1 < r_2$  if and only if  $b_1 \vee o_1 \not\# o_2 \vee a_2$ .

**Proposition 3.23.** Reference ordering satisfies the following properties:

- *irreflexivity:* not  $r < r$
- *transitivity:* if  $r_1 < r_2$  and  $r_2 < r_3$  then  $r_1 < r_3$

and is therefore a **strict partial order**.

*Proof.* For irreflexivity, since the on statement can't be a contradiction, we have  $o \approx o$  and therefore  $b \vee o \approx o \vee a$ . Therefore a reference is not before itself and the relationship is irreflexive.



For transitivity, if  $\mathbf{r}_1 < \mathbf{r}_2$ , we have  $\mathbf{b}_1 \vee \mathbf{o}_1 \not\leq \mathbf{o}_2 \vee \mathbf{a}_2$  and therefore  $\neg(\mathbf{b}_1 \vee \mathbf{o}_1) \geq \mathbf{o}_2 \vee \mathbf{a}_2$  by 1.23. Since  $\mathbf{b}_1 \vee \mathbf{o}_1 \vee \mathbf{a}_1 \equiv \top$ , we have  $\mathbf{a}_1 \geq \neg(\mathbf{b}_1 \vee \mathbf{o}_1)$ . Similarly if  $\mathbf{r}_2 < \mathbf{r}_3$  we'll have  $\mathbf{a}_2 \geq \neg(\mathbf{b}_2 \vee \mathbf{o}_2) \geq \mathbf{o}_3 \vee \mathbf{a}_3$ . Putting it all together  $\neg(\mathbf{b}_1 \vee \mathbf{o}_1) \geq \mathbf{o}_2 \vee \mathbf{a}_2 \geq \mathbf{a}_2 \geq \neg(\mathbf{b}_2 \vee \mathbf{o}_2) \geq \mathbf{o}_3 \vee \mathbf{a}_3$ , which means  $\mathbf{b}_1 \vee \mathbf{o}_1 \not\leq \mathbf{o}_3 \vee \mathbf{a}_3$ .  $\square$

**Corollary 3.24.** *The relationship  $\mathbf{r}_1 \leq \mathbf{r}_2$ , defined to be true if  $\mathbf{r}_1 < \mathbf{r}_2$  or  $\mathbf{r}_1 = \mathbf{r}_2$ , is a partial order.*

As we saw, two references may overlap and therefore an ordering between them cannot be defined. But references can overlap in different ways.

Suppose we have a vertical line one millimeter thick and call the left side the part before the line and the right side the part after. We can have another vertical line of the same thickness overlapping but we can also have a horizontal line which will also, at some point, overlap. The case of the two vertical lines is something that, through finding finer references, can be given a linear order. The case of the vertical and horizontal line, instead, cannot. Intuitively, the vertical lines are aligned while the horizontal and vertical are not.

Conceptually, the overlapping vertical lines are aligned because we can imagine narrower lines around the borders, and those lines will be ordered references in the above sense: each line would be completely before or after, without intersection. This means that the before and not-after statements of one reference are either narrower or broader than the before and not-after statements of the other. That is, alignment can also be defined in terms of narrowness of statements.

Note that if a reference is strict, before and after statements are not compatible and therefore the before statement is narrower than the not-after statement. This means that, given a set of aligned strict references, the set of all before and not-after statements is linearly ordered by narrowness. As we saw in the previous section, this was a necessary condition for the possibilities of a domain to be ordered and therefore aligned strict references play a crucial role.

**Definition 3.25.** *Two references  $\mathbf{r}_1 = (\mathbf{b}_1, \mathbf{o}_1, \mathbf{a}_1)$  and  $\mathbf{r}_2 = (\mathbf{b}_2, \mathbf{o}_2, \mathbf{a}_2)$  are **aligned** if for any  $\mathbf{s}_1 \in \{\mathbf{b}_1, \neg\mathbf{a}_1\}$  and  $\mathbf{s}_2 \in \{\mathbf{b}_2, \neg\mathbf{a}_2\}$  we have  $\mathbf{s}_1 \leq \mathbf{s}_2$  or  $\mathbf{s}_2 \leq \mathbf{s}_1$ . A set  $R$  of references is aligned if any pair of references is aligned.*

**Proposition 3.26.** *Let  $R = \{(\mathbf{b}_i, \mathbf{o}_i, \mathbf{a}_i)\}_{i \in I}$  be a set of aligned strict references. Let  $\mathcal{B}_b = \{\mathbf{b}_i\}_{i \in I}$  and  $\mathcal{B}_a = \{\mathbf{a}_i\}_{i \in I}$  be respectively the sets of before and after statements. Then the set  $B = \mathcal{B}_b \cup \neg(\mathcal{B}_a)$  is linearly ordered by narrowness.*

*Proof.* Let  $R$  be a set of aligned strict references. Let  $\mathbf{s}_1, \mathbf{s}_2 \in B$ . Suppose they are taken from the same reference. If they are both before statements or both after statements, we have  $\mathbf{s}_1 \equiv \mathbf{s}_2$  and therefore  $\mathbf{s}_1 \leq \mathbf{s}_2$ . If one is the before statement and the other is the not-after statement, since the reference is strict, we have  $\mathbf{b} \not\leq \mathbf{a}$  and  $\mathbf{b} \leq \neg\mathbf{a}$  by 1.23 and therefore  $\mathbf{s}_1 \leq \mathbf{s}_2$  or  $\mathbf{s}_2 \leq \mathbf{s}_1$ . Now suppose they are taken from different references. Since they are aligned we have  $\mathbf{s}_1 \leq \mathbf{s}_2$  or  $\mathbf{s}_2 \leq \mathbf{s}_1$  by definition.  $\square$

**Proposition 3.27.** *Let  $\mathbf{r}_1 = (\mathbf{b}_1, \mathbf{o}_1, \mathbf{a}_1)$  and  $\mathbf{r}_2 = (\mathbf{b}_2, \mathbf{o}_2, \mathbf{a}_2)$  be two references. If  $\mathbf{r}_1 < \mathbf{r}_2$  then  $\mathbf{b}_1 \leq \mathbf{b}_2$ ,  $\mathbf{a}_2 \leq \mathbf{a}_1$ ,  $\mathbf{b}_1 \leq \neg\mathbf{a}_2$ ,  $\neg\mathbf{a}_1 \leq \mathbf{b}_2$  and therefore the two references are aligned.*

*Proof.* We have  $\mathbf{b}_1 \vee \mathbf{o}_1 \equiv (\mathbf{b}_1 \vee \mathbf{o}_1) \wedge \top \equiv (\mathbf{b}_1 \vee \mathbf{o}_1) \wedge (\mathbf{b}_2 \vee \mathbf{o}_2 \vee \mathbf{a}_2) \equiv ((\mathbf{b}_1 \vee \mathbf{o}_1) \wedge \mathbf{b}_2) \vee ((\mathbf{b}_1 \vee \mathbf{o}_1) \wedge (\mathbf{o}_2 \vee \mathbf{a}_2)) \equiv ((\mathbf{b}_1 \vee \mathbf{o}_1) \wedge \mathbf{b}_2) \vee \perp \equiv (\mathbf{b}_1 \vee \mathbf{o}_1) \wedge \mathbf{b}_2$ . Therefore  $\mathbf{b}_1 \vee \mathbf{o}_1 \preceq \mathbf{b}_2$ . And since  $\mathbf{b}_1 \preceq \mathbf{b}_1 \vee \mathbf{o}_1$ , we have  $\mathbf{b}_1 \preceq \mathbf{b}_2$ .

Similarly, we have  $\mathbf{o}_2 \vee \mathbf{a}_2 \equiv (\mathbf{o}_2 \vee \mathbf{a}_2) \wedge \top \equiv (\mathbf{o}_2 \vee \mathbf{a}_2) \wedge (\mathbf{b}_1 \vee \mathbf{o}_1 \vee \mathbf{a}_1) \equiv ((\mathbf{o}_2 \vee \mathbf{a}_2) \wedge (\mathbf{b}_1 \vee \mathbf{o}_1)) \vee ((\mathbf{o}_2 \vee \mathbf{a}_2) \wedge \mathbf{a}_1) \equiv \perp \vee ((\mathbf{o}_2 \vee \mathbf{a}_2) \wedge \mathbf{a}_1) \equiv (\mathbf{o}_2 \vee \mathbf{a}_2) \wedge \mathbf{a}_1$ . Therefore  $\mathbf{a}_2 \vee \mathbf{o}_2 \preceq \mathbf{a}_1$ . And since  $\mathbf{a}_2 \preceq \mathbf{o}_2 \vee \mathbf{a}_2$ , we have  $\mathbf{a}_2 \preceq \mathbf{a}_1$ .

Since  $\mathbf{b}_1 \vee \mathbf{o}_1 \not\preceq \mathbf{o}_2 \vee \mathbf{a}_2$ , we have  $\mathbf{b}_1 \not\preceq \mathbf{a}_2$  which means  $\mathbf{b}_1 \preceq \neg \mathbf{a}_2$ .

Since  $\mathbf{b}_1 \vee \mathbf{o}_1 \vee \mathbf{a}_1 \equiv \top$ , we have  $\neg \mathbf{a}_1 \preceq \mathbf{b}_1 \vee \mathbf{o}_1$ . Similarly  $\neg \mathbf{b}_2 \preceq \mathbf{o}_2 \vee \mathbf{a}_2$ . Since  $\mathbf{b}_1 \vee \mathbf{o}_1 \not\preceq \mathbf{o}_2 \vee \mathbf{a}_2$ ,  $\neg \mathbf{a}_1 \not\preceq \neg \mathbf{b}_2$  and therefore  $\neg \mathbf{a}_1 \preceq \mathbf{b}_2$ .

Since  $\mathbf{b}_1 \preceq \mathbf{b}_2$ ,  $\mathbf{a}_2 \preceq \mathbf{a}_1$ ,  $\mathbf{b}_1 \preceq \neg \mathbf{a}_2$  and  $\neg \mathbf{a}_1 \preceq \mathbf{b}_2$ , the two references are aligned.  $\square$

**Proposition 3.28.** *Let  $\mathbf{r}_1 = (\mathbf{b}_1, \mathbf{o}_1, \mathbf{a}_1)$  and  $\mathbf{r}_2 = (\mathbf{b}_2, \mathbf{o}_2, \mathbf{a}_2)$  be two strict references. Then  $\mathbf{r}_1 < \mathbf{r}_2$  if and only if  $\neg \mathbf{a}_1 \preceq \mathbf{b}_2$ .*

*Proof.* Let  $\mathbf{r}_1 < \mathbf{r}_2$ . By 3.27, we have  $\neg \mathbf{a}_1 \preceq \mathbf{b}_2$ . Conversely, let  $\neg \mathbf{a}_1 \preceq \mathbf{b}_2$ . Then  $\neg \mathbf{a}_1 \not\preceq \neg \mathbf{b}_2$ . Because the references are strict,  $\neg \mathbf{a}_1 \equiv \mathbf{b}_1 \vee \mathbf{o}_1$  and  $\neg \mathbf{b}_2 \equiv \mathbf{o}_2 \vee \mathbf{a}_2$ . Therefore  $\mathbf{b}_1 \vee \mathbf{o}_1 \not\preceq \mathbf{o}_2 \vee \mathbf{a}_2$  and  $\mathbf{r}_1 < \mathbf{r}_2$  by definition.  $\square$

**Definition 3.29.** *A reference is the **immediate** predecessor of another if nothing can be found before the second and after the first. Formally,  $\mathbf{r}_1 < \mathbf{r}_2$  and  $\mathbf{a}_1 \not\preceq \mathbf{b}_2$ . Two references are **consecutive** if one is the immediate successor of the other.*

**Proposition 3.30.** *Let  $\mathbf{r}_1 = (\mathbf{b}_1, \mathbf{o}_1, \mathbf{a}_1)$  and  $\mathbf{r}_2 = (\mathbf{b}_2, \mathbf{o}_2, \mathbf{a}_2)$  be two references. If  $\mathbf{r}_1$  is immediately before  $\mathbf{r}_2$  then  $\mathbf{b}_2 \equiv \neg \mathbf{a}_1$ .*

*Proof.* Let  $\mathbf{r}_1$  be immediately before  $\mathbf{r}_2$ . Then  $\mathbf{a}_1 \not\preceq \mathbf{b}_2$  which means  $\mathbf{b}_2 \preceq \neg \mathbf{a}_1$ . By 3.27 we also have  $\neg \mathbf{a}_1 \preceq \mathbf{b}_2$ . Therefore  $\mathbf{b}_2 \equiv \neg \mathbf{a}_1$ .  $\square$

**Proposition 3.31.** *Let  $\mathbf{r}_1 = (\mathbf{b}_1, \mathbf{o}_1, \mathbf{a}_1)$  and  $\mathbf{r}_2 = (\mathbf{b}_2, \mathbf{o}_2, \mathbf{a}_2)$  be two strict references. Then  $\mathbf{r}_1$  is immediately before  $\mathbf{r}_2$  if and only if  $\mathbf{b}_2 \equiv \neg \mathbf{a}_1$ .*

*Proof.* Let  $\mathbf{r}_1$  be immediately before  $\mathbf{r}_2$ . Then  $\mathbf{b}_2 \equiv \neg \mathbf{a}_1$  by 3.30. Conversely, let  $\mathbf{b}_2 \equiv \neg \mathbf{a}_1$ . Then  $\mathbf{r}_1 < \mathbf{r}_2$  by 3.28. We also have  $\mathbf{a}_1 \not\preceq \neg \mathbf{a}_1$ , therefore  $\mathbf{a}_1 \not\preceq \mathbf{b}_2$  and  $\mathbf{r}_1$  is immediately before  $\mathbf{r}_2$  by definition.  $\square$

If we have a set of references, we can generate an experimental domain by using the before and after statements as the basis. More specifically we can take all the before statements and generate the before domain  $\mathcal{D}_b$  and all the after statements and generate the after domain  $\mathcal{D}_a$ . If the references are all strict and aligned, the set  $D = \mathcal{D}_b \cup \neg(\mathcal{D}_a)$  of all the before and not-after statements will be linearly ordered by narrowness. We recognize this as the first requirement of the domain ordering theorem 3.16.

**Definition 3.32.** *Let  $R = \{(\mathbf{b}_i, \mathbf{o}_i, \mathbf{a}_i)\}_{i \in I}$  be a set of references. Let  $\mathcal{B}_b = \{\mathbf{b}_i\}_{i \in I}$  be the set of all before statements and  $\mathcal{B}_a = \{\mathbf{a}_i\}_{i \in I}$  be the set of all after statements. The experimental domain  $\mathcal{D}$  generated by  $R$  is the one generated by all before and after statements  $\mathcal{B}_b \cup \mathcal{B}_a$ . The before domain  $\mathcal{D}_b$  is the domain generated only by the before statements  $\mathcal{B}_b$  and the after domain  $\mathcal{D}_a$  is the domain generated only by the after statements  $\mathcal{B}_a$ .*

**Definition 3.33.** Let  $\mathcal{D}$  be a domain generated by a set of references  $R$ . A reference  $r = (b, o, a)$  is said to be aligned with  $\mathcal{D}$  if  $b \in \mathcal{D}_b$  and  $a \in \mathcal{D}_a$ .

**Proposition 3.34.** Let  $\mathcal{D}$  be an experimental domain generated by a set of aligned strict references  $R$  and let  $D = \mathcal{D}_b \cup \neg(\mathcal{D}_a)$ . Then  $(D, \leq)$  is linearly ordered.

*Proof.* By 3.26 we have that  $B = \mathcal{B}_b \cup \neg(\mathcal{B}_a)$  is aligned by narrowness. By 3.15 the ordering extends to  $D$ .  $\square$

Having a set of aligned references is not necessarily enough to cover the whole space at all levels of precision. To do that we need to make sure that, for example, between two references that are not consecutive we can at least put a reference in between. Or that if we have two references that overlap, we can break them apart into finer ones that do not overlap and one is after the other.

We call a set of references refinable if the domain they generate has the above mentioned properties. This allows us to break up the whole domain into a sequence of references that do not overlap, are linearly ordered and that cover the whole space. As we get to the finest references, their before statements will be immediately followed by the negation of their after statements, since there can't be any reference in between. Conceptually, this will give us the second and the third condition of the domain ordering theorem 3.16.

**Definition 3.35.** Let  $\mathcal{D}$  be an experimental domain generated by a set of aligned references  $R$ . The set of references is **refinable** if, given two strict references  $r_1 = (b_1, o_1, a_1)$  and  $r_2 = (b_2, o_2, a_2)$  aligned with  $\mathcal{D}$ , we can always:

- find an intermediate one if they are not consecutive; that is, if  $r_1 < r_2$  but  $r_2$  is not the immediate successor of  $r_1$ , then we can find a strict reference  $r_3$  aligned with  $\mathcal{D}$  such that  $r_1 < r_3 < r_2$ .
- refine overlapping references if one is finer than the other; that is, if  $o_2 < o_1$ , we can find a strict reference  $r_3$  aligned with  $\mathcal{D}$  such that  $o_3 \leq o_1$  and either  $b_3 \equiv b_1$  and  $r_3 < r_2$  or  $a_3 \equiv a_1$  and  $r_2 < r_3$ .

**Proposition 3.36.** Let  $\mathcal{D}$  be an experimental domain generated by a set of refinable aligned strict references  $R$ .

1. If  $b_1, b_2 \in \mathcal{D}_b$  such that  $b_1 < b_2$ , then there exists  $a \in \mathcal{D}_a$  such that  $b_1 < \neg a \leq b_2$ .
2. If  $a_1, a_2 \in \mathcal{D}_a$  such that  $\neg a_1 < \neg a_2$ , then there exists  $b \in \mathcal{D}_b$  such that  $\neg a_1 \leq b < \neg a_2$ .
3. If  $a_1 \in \mathcal{D}_a$  and  $b_2 \in \mathcal{D}_b$  such that  $\neg a_1 < b_2$ , then there exists  $b \in \mathcal{D}_b$  and  $a \in \mathcal{D}_a$  such that  $\neg a_1 \leq b < \neg a \leq b_2$ .

*Proof.* For the first, suppose  $b_1, b_2 \in \mathcal{D}_b$  such that  $b_1 < b_2$ . Then  $r_1 = (b_1, \neg b_1, \perp)$  and  $r_2 = (b_2, \neg b_2, \perp)$  are strict references aligned with the domain such that  $\neg b_2 < \neg b_1$ . This means we can find  $r_3 = (b_1, \neg b_1 \wedge \neg a, a)$  for some  $a \in \mathcal{D}_a$  such that  $r_3 < r_2$  and therefore  $b_1 < \neg a \leq b_2$ .

For the second, suppose  $a_1, a_2 \in \mathcal{D}_a$  such that  $\neg a_1 < \neg a_2$ . Then  $r_1 = (\perp, \neg a_2, a_2)$  and  $r_2 = (\perp, \neg a_1, a_1)$  are strict references aligned with the domain such that  $\neg a_1 < \neg a_2$ . This

means we can find  $\mathbf{r}_3 = (\mathbf{b}, \neg\mathbf{b} \wedge \neg\mathbf{a}_2, \mathbf{a}_2)$  for some  $\mathbf{b} \in \mathcal{D}_b$  such that  $\mathbf{r}_3 < \mathbf{r}_2$  and therefore  $\neg\mathbf{a}_1 \leq \mathbf{b} < \neg\mathbf{a}_2$ .

For the third, suppose  $\mathbf{a}_1 \in \mathcal{D}_a$  and  $\mathbf{b}_2 \in \mathcal{D}_b$  such that  $\neg\mathbf{a}_1 < \mathbf{b}_2$ . Then  $\mathbf{r}_1 = (\perp, \neg\mathbf{a}_1, \mathbf{a}_1)$  and  $\mathbf{r}_2 = (\mathbf{b}_2, \neg\mathbf{b}_2, \perp)$  are strict references aligned with the domain such that  $\mathbf{r}_1 < \mathbf{r}_2$  but  $\mathbf{r}_2$  is not an immediate successor of  $\mathbf{r}_1$ . This means we can find  $\mathbf{r}_3 = (\mathbf{b}, \neg\mathbf{b} \wedge \neg\mathbf{a}, \mathbf{a})$  such that  $\mathbf{r}_1 < \mathbf{r}_3 < \mathbf{r}_2$  and therefore  $\neg\mathbf{a}_1 \leq \mathbf{b} < \neg\mathbf{a} \leq \neg\mathbf{b}_2$ .  $\square$

**Proposition 3.37.** *Let  $\mathcal{D}$  be an experimental domain generated by a set of refinable aligned strict references. Then all elements of  $D$  are part of a pair  $(s_b, \neg s_a)$  such that  $s_b \in \mathcal{D}_b$ ,  $s_a \in \mathcal{D}_a$  and  $\neg s_a$  is the immediate successor of  $s_b$  in  $D$  or  $s_b \equiv \neg s_a$ . Moreover if  $s \in D$  has an immediate successor, then  $s \in \mathcal{D}_b$ .*

*Proof.* Let  $\mathcal{D}$  be an experimental domain generated by a set of refinable aligned strict references. Let  $s_b \in \mathcal{D}_b$ . Let  $A = \{\mathbf{a} \in \mathcal{D}_a \mid \mathbf{a} \vee s_b \neq \top\}$ . Let  $s_a = \bigvee_{\mathbf{a} \in A} \mathbf{a}$ . First we show that  $s_b \leq \neg s_a$ . We have  $s_b \wedge \neg s_a \equiv s_b \wedge \neg \bigvee_{\mathbf{a} \in A} \mathbf{a} \equiv s_b \wedge \bigwedge_{\mathbf{a} \in A} \neg \mathbf{a} \equiv \bigwedge_{\mathbf{a} \in A} s_b \wedge \neg \mathbf{a}$ . For all  $\mathbf{a} \in A$  we have  $\mathbf{a} \vee s_b \neq \top$ ,  $\neg \mathbf{a} \not\leq s_b$  which means  $s_b \leq \neg \mathbf{a}$  because of the total order of  $D$ . This means that  $s_b \wedge \neg \mathbf{a} \equiv s_b$  for all  $\mathbf{a} \in A$ , therefore  $s_b \wedge \neg s_a \equiv s_b$  and  $s_b \leq \neg s_a$ .

Next we show that no statement  $s \in D$  is such that  $s_b < s < \neg s_a$ . Let  $\mathbf{a} \in \mathcal{D}_a$  such that  $s_b < \neg \mathbf{a}$ . By construction  $\mathbf{a} \in A$  and therefore  $\neg s_a \leq \neg \mathbf{a}$ . Therefore we can't have  $s_b < \mathbf{a} < \neg s_a$ . We also can't have  $\mathbf{b} \in \mathcal{D}_b$  such that  $s_b < \mathbf{b} < \neg s_a$ : by 3.36 we'd find  $\mathbf{a} \in \mathcal{D}_a$  such that  $s_b < \mathbf{a} \leq \mathbf{b} < \neg s_a$  which was ruled out. So there are two cases. Either  $s_b \neq \neg s_a$  then  $s_b < \neg s_a$ :  $\neg s_a$  is the immediate successor of  $s_b$ . Or  $s_b \equiv \neg s_a$ .

The same reasoning can be applied starting from  $s_a \in \mathcal{D}_a$  to find a  $s_b \in \mathcal{D}_b$  such that  $s_b$  is the immediate predecessor of  $\neg s_a$  or an equivalent statement. This shows that all elements of  $D$  are paired.

To show that if a statement in  $D$  has a successor then it must be a before statement, let  $s_1, s_2 \in D$  such that  $s_2$  is the immediate successor of  $s_1$ . By 3.36, in all cases where  $s_1 \notin \mathcal{D}_b$  and  $s_2 \notin \mathcal{D}_a$  we can always find another statement between the two. Then we must have that  $s_1 \in \mathcal{D}_b$  and  $s_2 \in \mathcal{D}_a$ .  $\square$

**Theorem 3.38** (Reference ordering theorem). *An experimental domain is naturally ordered if and only if it can be generated by a set of refinable aligned strict references.*

*Proof.* Suppose  $\mathcal{D}_X$  is an experimental domain generated by a set of refinable aligned strict references. Then by 3.34 and 3.37 the domain satisfies the requirement of theorem 3.16 and therefore is naturally ordered.

Now suppose  $\mathcal{D}_X$  is naturally ordered. Define the set  $\mathcal{B}_b, \mathcal{B}_a$  and  $D$  as in 3.12. Let  $R = \{(\mathbf{b}, \neg\mathbf{b} \wedge \neg\mathbf{a}, \mathbf{a}) \mid \mathbf{b} \in \mathcal{B}_b, \mathbf{a} \in \mathcal{B}_a, \mathbf{b} < \neg\mathbf{a}\}$  be the set of all references constructed from the basis. First let us verify they are references. The before and after statements are verifiable since they are part of the basis. The on statement  $\neg\mathbf{b} \wedge \neg\mathbf{a}$  is not a contradiction since  $\mathbf{b} < \neg\mathbf{a}$  means  $\mathbf{b} \not\leq \mathbf{a}$  and  $\mathbf{b} \not\leq \neg\mathbf{a}$ . The on statement is broader than  $\neg\mathbf{b} \wedge \neg\mathbf{a}$  as they are equivalent and it is broader than  $\mathbf{b} \wedge \mathbf{a}$  as that is a contradiction since  $\mathbf{b} < \neg\mathbf{a}$ . Therefore  $R$  is a set of references. Since the before and after statements of  $R$  coincide with the basis of the domain,  $\mathcal{D}_X$  is generated by  $R$ .

Now we show that  $R$  consists of aligned strict references. We already saw that  $\mathbf{b} \neq \mathbf{a}$  and we also have  $\neg \mathbf{b} \wedge \neg \mathbf{a}$  is incompatible with both  $\mathbf{b}$  and  $\mathbf{a}$ . The references are strict. To show they are aligned, take two references. The before and not after statements are linearly ordered by 3.14 which means the references are aligned.

To show  $R$  is refinable, note that each reference can be expressed as (“ $x < x_1$ ”, “ $x_1 \leq x \leq x_2$ ”, “ $x > x_2$ ”) where  $x_1, x_2 \in X$  and “ $x_1 \leq x \leq x_2$ ”  $\equiv$  “ $x \geq x_1$ ”  $\wedge$  “ $x \leq x_2$ ”. That is, every reference is identified by two possibilities  $x_1, x_2$  such that  $x_1 \leq x_2$ . Therefore take two references  $\mathbf{r}_1, \mathbf{r}_2 \in R$  and let  $(x_1, x_2)$  and  $(x_3, x_4)$  be the respective pair of possibilities we can use to express the references as we have shown. Suppose  $\mathbf{r}_1 < \mathbf{r}_2$  but they are not consecutive. Then “ $x \leq x_2$ ”  $<$  “ $x < x_3$ ”. That is, we can find  $x_5 \in X$  such that  $x_2 < x_5 < x_3$  which means “ $x \leq x_2$ ”  $\leq$  “ $x < x_5$ ” and “ $x \leq x_5$ ”  $\leq$  “ $x < x_3$ ”. Therefore the reference  $\mathbf{r}_3 \in R$  identified by  $(x_5, x_5)$  is between the two references. On the other hand, assume the second reference is finer than the first. Then  $x_1 \leq x_3$  and  $x_4 \leq x_2$  with either  $x_1 \neq x_3$  or  $x_4 \neq x_2$ . Consider the references  $\mathbf{r}_3, \mathbf{r}_4 \in R$  identified by  $(x_1, x_1)$  and  $(x_2, x_2)$ . Either  $\mathbf{r}_3 < \mathbf{r}_2$  or  $\mathbf{r}_2 < \mathbf{r}_4$ . Also note that the before statements of  $\mathbf{r}_1$  and  $\mathbf{r}_3$  are the same and the after statements of  $\mathbf{r}_1$  and  $\mathbf{r}_4$  are the same. Therefore we satisfy all the requirements and the set  $R$  is refinable by definition.  $\square$

To recap, experimentally we construct ordering by placing references and being able to tell whether the object measured is before or after. We can define a linear order on the possibilities, and therefore a quantity, only when the set of references meets special conditions. The references must be strict, meaning that before, on and after are mutually exclusive. They must be aligned, meaning that the before and not-after statement must be ordered by narrowness. They must be refinable, meaning when they overlap we can always find finer references with well defined before/after relationships. If all these conditions apply, we have a linear order. If any of these conditions fail, a linear order cannot be defined.

The possibilities, then, correspond to the finest references we can construct within the domain. That is, given a value  $q_0$ , we have the possibility “*the value of the property is  $q_0$* ” and we have the reference (“*the value of the property is less than  $q_0$* ”, “*the value of the property is  $q_0$* ”, “*the value of the property is more than  $q_0$* ”).

### 3.4 Discrete quantities

Now that we have seen the general conditions to have a naturally ordered experimental domain, we study common types of quantities and under what conditions they arise. We start with discrete ones: the number of chromosomes for a species, the number of inhabitants of a country or the atomic number for an element are all discrete quantities. These are quantities that are fully characterized by integers (positive or negative).

We will see that discrete quantities have a simple characterization: between two references there can only be a finite number of other references.

The first thing we want to do is characterize the ordering of the integers. That is, we want to find necessary and sufficient conditions for an ordered set of elements to be isomorphic to a subset of integers. First we note that between any two integers there are always finitely many elements. Let’s call sparse an ordered set that has that property: that between two elements there are only finitely many. This is enough to say that the order is isomorphic to the integers.

In fact, if an ordered set is sparse we can always go from any element to another in finitely many steps. Therefore we can pick one element, call it zero, go forward one element at a time and assign a positive integer to all the following elements or go backward one element at a time and assign a negative integer to all the preceding elements.

**Definition 3.39.** A *chain* is a linearly ordered subset of an ordered set. A chain between two elements is a chain where the two elements are the greatest and smallest elements.

**Definition 3.40.** An ordered set is *sparse* if every chain between any two elements is finite.

**Corollary 3.41.** Every element in a sparse linearly ordered set that has a predecessor (or successor) has an immediate predecessor (or successor).

*Proof.* Let  $\mathcal{Q}$  be a sparse ordered set. Suppose  $q_1 \in \mathcal{Q}$  has a predecessor (or successor)  $q_0$ . Then we have a finite chain between the two, and the immediate predecessor (or successor) of  $q_1$  is the second greatest (or smallest) element.  $\square$

*Remark.* The converse of the corollary, that a linearly ordered set in which every element that has a predecessor (or successor) has an immediate predecessor (or successor) is sparse, is not true. Consider the integers with the following ordering  $\{0, 1, 2, 3, \dots, -3, -2, -1\}$ . All elements have an immediate predecessor/successor or no predecessor/successor, yet 3 and  $-3$  have infinitely many elements in between.

**Proposition 3.42.** A linear order is sparse if and only if it is isomorphic to a contiguous subset of the integers.

*Proof.* Let  $\mathcal{Q}$  be a sparse linearly ordered set. Pick an element  $q_0 \in \mathcal{Q}$ . Let  $q : \mathcal{Q} \rightarrow \mathbb{Z}$  such that it returns 0 for  $q_0$ , 1 for its immediate successor (if it exists),  $-1$  for its immediate predecessor (if it exists), 2 for the immediate successor of the immediate successor (if it exists) and so on. Since the order is sparse, all elements will eventually be reached through a chain of immediate successors/predecessors and will be assigned a value. The function is injective and order preserving, so it is an isomorphism over  $q(\mathcal{Q})$ , which, by construction, is a contiguous subset of the integers

Conversely, let  $\mathcal{Q}$  be an ordered set isomorphic to a contiguous subset of the integers and let  $q : \mathcal{Q} \rightarrow \mathbb{Z}$  be the isomorphism. The number of elements between two elements of the set will be equal to the number of elements between the two corresponding integers, which is always finite.  $\square$

We can now define a discrete quantity as one for which the ordering is sparse. While this may typically correspond to a set of contiguous integers, it is not necessary. For example, a set of names ordered alphabetically, the set of orbitals for a hydrogen atom, the possible energies for a quantum harmonic oscillator, these are all discrete quantities even if the label we use is not an integer.

The natural question now is: under what conditions are the possibilities of a domain ordered like the integers? The answer is straightforward: when the references within the domain have a sparse order. That is, between two references we can only put finitely many ordered references.

**Definition 3.43.** A *discrete quantity* for an experimental domain  $\mathcal{D}_X$  is a quantity  $(\mathcal{Q}, \leq, q)$  for which the ordering is sparse.

**Theorem 3.44** (Discrete ordering theorem). *Let  $\mathcal{D}_X$  be an experimental domain. Then the following are equivalent:*

1. *the domain has a natural sparse order*
2. *the domain is fully characterized by a discrete quantity*
3. *the domain is generated by a set of refinable aligned strict references with a sparse order*

*Proof.* For (1) to (2), let  $\mathcal{D}_X$  be an experimental domain with a natural sparse order and let  $X$  be its possibilities. Pick an ordered set  $(\mathcal{Q}, \leq)$  that is order isomorphic to the possibilities and let  $q : X \rightarrow \mathcal{Q}$  be an order isomorphism. By 3.9  $\mathcal{D}_X$  is fully characterized by  $(\mathcal{Q}, \leq, q)$ . Since the order on  $X$  is sparse,  $X$  will be order isomorphic to a contiguous set of integers and so will  $\mathcal{Q}$ . Therefore  $\mathcal{Q}$  has a sparse order as well and is therefore a discrete quantity.

For (2) to (3), let  $\mathcal{D}_X$  be an experimental domain fully characterized by  $\mathcal{Q}$ . Then by 3.9 and by 3.38 it is generated by a set of refinable aligned strict references. Let  $\mathbf{r}_1$  and  $\mathbf{r}_2$  be two references aligned with the domain such that  $\mathbf{r}_1 < \mathbf{r}_2$ . Then the after statement of  $\mathbf{r}_1$  will be of the form “ $x > q^{-1}(q_1)$ ”, the before statement of  $\mathbf{r}_2$  will be of the form “ $x < q^{-1}(q_2)$ ” for some  $q_1, q_2 \in \mathcal{Q}$  such that  $q_1 < q_2$ . Let  $\mathbf{r} : \mathcal{Q} \rightarrow \mathcal{D}_X \times \bar{\mathcal{D}}_X \times \mathcal{D}_X$  be the function such that  $\mathbf{r}(q_i) = (“x < q^{-1}(q_i)”, “x \geq q^{-1}(q_i)” \wedge “x \leq q^{-1}(q_i)”, “x > q^{-1}(q_i)”)$ . Let  $C = \{\mathbf{r}_1\} \cup \{\mathbf{r}(q_i) \mid q_i \in \mathcal{Q}, q_1 < q_i < q_2\} \cup \{\mathbf{r}_2\}$ . This is the longest chain between  $\mathbf{r}_1$  and  $\mathbf{r}_2$  and it is finite because  $\mathcal{Q}$  has a sparse ordering. The set of references that generate the domain, then, must have a sparse ordering.

For (3) to (1), let  $\mathcal{D}_X$  be an experimental domain generated by a set of refinable aligned strict references with a sparse order. By 3.38  $\mathcal{D}_X$  has a natural order. Let  $\mathbf{r} : X \rightarrow \mathcal{D}_X \times \bar{\mathcal{D}}_X \times \mathcal{D}_X$  be the function such that  $\mathbf{r}(x_i) = (“x < x_i”, “x \geq x_i” \wedge “x \leq x_i”, “x > x_i”)$ . Let  $R = \{\mathbf{r}(x_i) \mid x_i \in X\}$ . Then  $R$  is order isomorphic to  $X$ . As the order on  $R$  is sparse then the order on  $X$  is sparse as well.  $\square$

Now, consider the examples above of discrete quantities: in each case we can experimentally test whether we have a particular value or not. For example, we are always able to tell whether there are exactly three apples on the table or not.<sup>5</sup> This is not a coincidence: there is a direct link between the ability to have consecutive references and decidability.

As we saw before in 3.31, two consecutive references are such that the before statement of one is equal to the negation of the after statement of the other. But since before and after statements are both verifiable, it means their negation is also verifiable: they are decidable. And since before and after statements generate the domain, all statements in the domain are decidable. It turns out that this will work in reverse as well: whenever we have a domain consisting of only decidable statements, we can always create a discrete quantity that fully characterizes the experimental domain.

<sup>5</sup>Recall that this is not the case with continuous quantities. Because of finite precision, we are able to exclude that a given particle has exactly zero mass but it is not possible to conclusively show that it has zero mass.

**Proposition 3.45.** *The order topology for the integers is discrete.*

*Proof.* Each singleton  $\{z\} \subseteq \mathbb{Z}$  is in the order topology since  $\{z\} = (z-1, \infty) \cap (-\infty, z+1)$ . Each arbitrary set of integers is the union of singletons and is therefore in the order topology as well. The order topology on the integers is discrete.  $\square$

**Proposition 3.46.** *An experimental domain is decidable if and only if it is fully characterized by a discrete quantity.*

*Proof.* Let  $\mathcal{D}_X$  be a decidable domain. Then by 1.75 the set of possibilities  $X$  is countable and by 1.77 the natural topology is discrete. Since  $X$  is countable, there exists a bijective map  $q : X \rightarrow \mathbb{Z}$ . The map is a homeomorphism since the topology on both  $X$  and  $\mathbb{Z}$  is discrete. The domain  $\mathcal{D}_X$  is fully identified by  $(\mathbb{Z}, \leq, q)$ .

Let  $\mathcal{D}_X$  be fully characterized by  $(\mathbb{Z}, \leq, q)$ . This means that  $q : X \rightarrow \mathbb{Z}$  is a homeomorphism. The natural topology for  $X$  is therefore discrete and the domain is decidable by 1.77.  $\square$

Note that, since any discrete order leads to a decidable domain and a discrete topology, any reordering of the possibilities will give the same exact domain. This means that, while we can always assign a natural order, the order itself may not necessarily be meaningful. For example, we can always take a finite group of objects and arbitrarily assign each a unique integer to identify it. In the discrete case the domain itself is not enough to pick a unique order, though the set of aligned references that are used to generate the domain is.

Also note that for the link between decidability and discrete quantities to apply, it is crucial the quantity is measurable: that we can actually experimentally ascertain its values. Consider the domain with the possibilities “*there is no extra-terrestrial life*” and “*there is extra-terrestrial life*”. We can arbitrarily label 0 the former and 1 the latter. But since we cannot verify the first statement, we cannot really “measure” 0. In that case, the domain is fully identified by the discrete quantity, but not fully characterized.

### 3.5 Arbitrary precision and continuous quantities

The second type of quantities we want to consider are continuous ones: the average wingspan for a species, the population density of a country or the mass of a proton are all continuous quantities. These are quantities that are fully characterized by real numbers.

We will see that also continuous quantities have a simple characterization: between two references there can always be an infinite number of other references.

Similar to what we did for the integers, we want to characterize the ordering of the real numbers. This will be a little bit more involved as we will need a few more requirements. First of all we note that between two real numbers there are always infinitely many real numbers. Let’s call dense an ordered set that has that property. This is not enough to identify the real numbers, though; the rational numbers are also dense.

One reason real numbers are used over the rationals is that they contain all the limits. This property can be restated in terms of ordering in the following way. Suppose  $(\mathcal{Q}, \leq)$  is a linearly ordered set. Take a set  $A \subset \mathcal{Q}$  that is bounded. That is, the set  $B = \{q \in \mathcal{Q} \mid a \leq q \forall a \in A\}$  of elements that are greater than all the elements of  $A$  is not empty. Then we say that  $(\mathcal{Q}, \leq)$  is complete if  $B$  has a smallest element. One can show that the rationals are not complete. Say



$A$  contains all rationals less than  $\pi$ , then there is no smallest rational value that is greater than all elements of  $A$ .

Dense and complete linear orders exclude both the integers and the rationals, but they don't pick out only the reals. To do that we take advantage of two results of order theory. The first is that all dense countable ordered sets are isomorphic to the rational numbers. The second is that from any linear order one can construct its completion (i.e. you add all the missing limits), which is unique up to an order isomorphism. Suppose that  $(\mathcal{Q}, \leq)$  has a countable subset  $Q \subset \mathcal{Q}$  that is dense in  $\mathcal{Q}$ . That is, for every two distinct elements  $q_1, q_2 \in \mathcal{Q}$  where  $q_1 < q_2$  we can find an element  $q \in Q$  such that  $q_1 < q < q_2$ . If  $\mathcal{Q}$  is dense,  $Q$  will also be and therefore will be order isomorphic to the rationals, since it is countable. If  $\mathcal{Q}$  is complete, then it will be the completion of its dense set  $Q$ , and therefore it will be order isomorphic to the reals.

**Definition 3.47.** *An ordered set is said to be **dense** if between any two elements there exists an infinite chain.*

**Corollary 3.48.** *An ordered set is dense if and only if between two elements we can always find another one.*

*Proof.* We give a definition of dense that is different from the typical definition because we want it to be formally similar to our definition of sparse. Here we show that our definition of dense is equivalent to the standard one.

Let  $(\mathcal{Q}, \leq)$  be a dense ordered set. Let  $q_1, q_2 \in \mathcal{Q}$  then we can find an infinite chain between them. Take an element  $q$  within that chain that is not an endpoint. We have  $q_1 < q < q_2$ .

Now let  $(\mathcal{Q}, \leq)$  be a linearly ordered set such that between two elements we can always find another one. Let  $q_a, q_b \in \mathcal{Q}$  and let  $C \subset \mathcal{Q}$  contain  $q_a, q_b$ , an element  $q_1$  such that  $q_a < q_1 < q_b$ , an element  $q_2$  such that  $q_1 < q_2 < q_b$ , an element  $q_3$  such that  $q_2 < q_3 < q_b$  and so on. Then  $C$  is a chain between  $q_a$  and  $q_b$  that contains infinitely many elements.  $\square$

**Definition 3.49.** *A subset  $Q \subset \mathcal{Q}$  of a linearly ordered set is **dense** in  $\mathcal{Q}$  if given  $q_1, q_2 \in \mathcal{Q}$  such that  $q_1 < q_2$  we can find  $q \in Q$  such that  $q_1 < q < q_2$ .*

**Definition 3.50.** *A linearly ordered set  $\mathcal{Q}$  is **complete** if every non-empty bounded subset of  $\mathcal{Q}$  has a supremum. This is, given  $A \subset \mathcal{Q}$  such that  $B = \{q \in \mathcal{Q} \mid a \leq q \forall a \in A\}$  is not empty, then  $B$  has a smallest element.*

**Theorem 3.51.** *A linearly ordered set is dense, complete and has a countable dense subset if and only if it is order isomorphic to a contiguous subset of real numbers.*

*Remark.* Proving this theorem would go beyond the scope of this book so we will take it as a given. The general idea is as follows. Show that the completion of an ordered set is unique up to an isomorphism. Show that the given set is the completion of the countable dense subset. Show that the countable dense subset is order isomorphic to a subset of the rational numbers. Show that the real numbers are the completion of the rational numbers. Then the set is isomorphic to a subset of the real numbers.

We can now define a continuous quantity as one for which the values are a contiguous

subset of the real numbers. While in principle we could define it on a generic set that is order isomorphic to the real numbers, we do not have any other example of such a set.

The natural question now is: under what conditions are the possibilities of a domain ordered like the real numbers? The answer is straightforward: when the references within the domain have a dense order. That is, between two references we can put infinitely many ordered references. Intuitively, between two marks of a ruler we can keep putting finer and finer marks.

Note that the dense order on the references is enough to get a dense complete order on the possibilities that has a countable dense subset. The completion comes without extra conditions because experimental domains are closed under countable disjunctions. New references, in fact, can be constructed as limits of others and, since they will be just other references they will have the same properties. The countable dense subset simply corresponds to the countable basis of the domain.

**Definition 3.52.** A *continuous quantity* for an experimental domain  $\mathcal{D}_X$  is a quantity  $(U, \leq, q)$  where  $U \subseteq \mathbb{R}$  is a contiguous subset of the real numbers.

**Theorem 3.53** (Continuous ordering theorem). Let  $\mathcal{D}_X$  be an experimental domain. Then the following are equivalent:

1. the domain has a natural dense complete order that has a countable dense subset
2. the domain is fully characterized by a continuous quantity
3. the domain is generated by a set of refinable aligned strict references with a dense order

*Proof.* For (1) to (2). Let  $\mathcal{D}_X$  be a domain with a natural dense complete order with a countable dense subset. Then by 3.51 it is order isomorphic to a contiguous subset of the real numbers. Then by 3.9 the domain is fully characterized by a continuous quantity  $(U, \leq, q)$  where  $U \subseteq \mathbb{R}$ .

For (2) to (3). Let  $\mathcal{D}_X$  be a domain fully characterized by a continuous quantity. Then by 3.9 and by 3.38 it is generated by a set of refinable aligned strict references. Let  $\mathbf{r}_1$  and  $\mathbf{r}_2$  be two references aligned with the domain such that  $\mathbf{r}_1 < \mathbf{r}_2$ . Then the after statement of  $\mathbf{r}_1$  will be of the form “ $x > q^{-1}(q_1)$ ”, the before statement of  $\mathbf{r}_2$  will be of the form “ $x < q^{-1}(q_2)$ ” for some  $q_1, q_2 \in \mathcal{Q}$  such that  $q_1 < q_2$ . Let  $\mathbf{r} : \mathcal{Q} \rightarrow \mathcal{D}_X \times \bar{\mathcal{D}}_X \times \mathcal{D}_X$  be the function such that  $\mathbf{r}(q_i) = (“x < q^{-1}(q_i)”, “x \geq q^{-1}(q_i)” \wedge “x \leq q^{-1}(q_i)”, “x > q^{-1}(q_i)”)$ . Let  $C = \{\mathbf{r}_1\} \cup \{\mathbf{r}(q_i) \mid q_i \in \mathcal{Q}, q_1 < q_i < q_2\} \cup \{\mathbf{r}_2\}$ . As  $\mathcal{Q}$  is dense, this chain will be infinite. Therefore the domain can be generated by a set of refinable aligned strict references with a dense order.

For (3) to (1). Let  $\mathcal{D}_X$  be an experimental domain generated by a set of refinable aligned strict references with a dense order. By 3.38  $\mathcal{D}_X$  has a natural order. Let  $\mathbf{r} : X \rightarrow \mathcal{D}_X \times \bar{\mathcal{D}}_X \times \mathcal{D}_X$  be the function such that  $\mathbf{r}(x_i) = (“x < x_i”, “x \geq x_i” \wedge “x \leq x_i”, “x > x_i”)$ . Let  $R = \{\mathbf{r}(x_i) \mid x_i \in X\}$ . Then  $R$  is order isomorphic to  $X$ . As the order on  $R$  is dense then the order on  $X$  is dense as well. Let  $\mathcal{D}_b$  be the before domain. By 3.14 it is order isomorphic to  $X$ . Let  $\mathcal{B}_b \subseteq \mathcal{D}_b$  be a countable basis for the domain. As it is a subset of a linearly ordered set, it will also be a linearly ordered set. As the basis is ordered by narrowness, finite conjunctions and disjunctions of basis elements will return a basis

element. Therefore every element in  $\mathcal{D}_b$  is equivalent to the disjunction of a countable set of elements of  $\mathcal{B}_b$ . Let  $\mathbf{b}_1, \mathbf{b}_2 \in \mathcal{D}_b$  be two statements such that  $\mathbf{b}_1 < \mathbf{b}_2$ . Let  $B_1, B_2 \subset \mathcal{B}_b$  be the set of basis elements such that  $\mathbf{b}_1 = \bigvee_{s \in B_1} s$  and  $\mathbf{b}_2 = \bigvee_{s \in B_2} s$ . Since  $\mathbf{b}_1 \neq \mathbf{b}_2$ , there must be a  $\mathbf{b} \in B_2$  such that  $\mathbf{b} \notin B_1$ . Because of the ordering of the basis, we have  $\mathbf{b}_1 < \mathbf{b} \leq \mathbf{b}_2$ . The basis  $\mathcal{B}_b$  is dense in  $\mathcal{D}_b$ . Moreover,  $\mathcal{D}_b$  is complete. Let  $B \subseteq \mathcal{D}_b$  then  $\bigvee_{s \in B} s$  is in  $\mathcal{D}_b$  and, since it is the narrowest statement that is broader than any statement in  $B$ , it is the supremum of  $B$ . As  $\mathcal{D}_b$  is complete and has a countable dense subset so does  $X$  and therefore it has a continuous order.  $\square$

Another way to think about the verifiable statements of a domain characterized by a continuous quantity is in terms of finite but arbitrarily small precision. That is, when we measure a continuous quantity we can verify statements of the form “the value is  $1 \pm 0.5$ ”. The verifiable statement is in terms of a range and the extremes are rational numbers. It is instructive to know, then, that the topology for the real numbers can be generated by those types of statements. In terms of references this means that all our verifiable statements could be expressed as verifying that the value is between two references from a countable set of possible references. This, again, maps well to what one can and does do in scientific practice.

Mathematically, we call the standard topology on the real numbers the one generated by the open intervals between rational numbers, and we can show that this is exactly the order topology. Moreover, every verifiable set is the disjoint union of open intervals.

**Definition 3.54.** We call *standard topology* on the real numbers  $\mathbb{R}$  the one generated by the collections of sets  $\mathcal{B} = \{(a, b) \subset \mathbb{R} \mid a, b \in \mathbb{Q}\}$  of all open intervals between rational numbers  $\mathbb{Q}$ .

**Proposition 3.55.** The order topology on the real numbers is the standard topology.

*Proof.* To show that they are equivalent, we show that the basis of one generates the basis of the other. Let  $a, b \in \mathbb{Q}$  be two rationals. The sets  $(-\infty, b)$  and  $(a, \infty)$  are in the basis of the order topology. Their intersection is the set  $(a, b)$  of the standard topology. The basis of the standard topology can be generated by the order topology.

Conversely, let  $a \in \mathbb{R}$  be a real number. Let  $\{U_i\}_{i \in I}$  be the collection of all sets  $U_i = (a_i, b_i)$  such that  $a_i, b_i \in \mathbb{Q}$  and  $a < a_i$ . These sets are in the basis of the standard topology. We have  $\bigcup_{i \in I} U_i = (a, \infty)$ . In the same way, let  $b \in \mathbb{R}$  be a real number. Let  $\{V_j\}_{j \in J}$  be the collection of all sets  $V_j = (a_j, b_j)$  such that  $a_j, b_j \in \mathbb{Q}$  and  $b_j < b$ . These sets are in the basis of the standard topology. We have  $\bigcup_{j \in J} V_j = (-\infty, b)$ . The basis of the order topology can be generated by the standard topology.  $\square$

**Proposition 3.56.** Let  $U \in \mathcal{T}_{\mathbb{R}}$  be a set in the standard topology on the reals. Then  $U = \bigcup_{i=1}^{\infty} V_i$  is the countable disjunction of open intervals  $V_i = (a_i, b_i)$  where  $a_i, b_i \in \mathbb{R} \cup \{-\infty, \infty\}$ .

*Proof.* The set  $U$  can be expressed as the union of some collection  $B \subseteq \mathcal{B}$  of open rational intervals. From  $B$  construct  $B_1 \subseteq B$  by picking an element of  $B$  and keep adding

any element that is not-disjoint from an element in  $B$ . If there are elements left over, construct  $B_2 \subseteq B$  with the same procedure and continue until there are no elements left.

Take  $V_1 = \bigcup_{V \in B_1} V$  the union of all elements of  $B_1$ . Since we are taking the countable union of open rational intervals, and because of the overlap of these intervals, the result will be an open interval over the real numbers. Repeating for all  $B_i$  will give us a collection of disjoint open intervals  $\{V_i\}_{i=1}^{\infty}$  such that  $U = \bigcup_{i=1}^{\infty} V_i$ .  $\square$

In the previous section we saw how the ability to have consecutive references is linked to decidability. In the case of continuous quantities, the inability to have consecutive references means we cannot have decidable before or after statements, otherwise we could use them to create consecutive references. So, while for integer quantities references have immediate successors and predecessors and all statements are decidable, for a continuous quantity references do not have immediate successors and predecessors and the statements are only verifiable.

We stress here that it is the immediate successors of the references that matter, not the immediate successors of possibilities/values. Take the rationals, for example. As values, they do not have immediate successors or predecessors: their order is dense. But we can construct a reference with “*the rational quantity is more than  $\pi$* ” as an after statement and a reference that has “*the rational quantity is less than  $\pi$* ” as a before statement. Since  $\pi$  is not a rational number, there are no values in between: the two references are consecutive. This can never happen with the reals, because all limit values are possible values. So, while the rationals do not admit consecutive values, it is only the reals that never admit consecutive references.<sup>6</sup>

**Proposition 3.57.** *A naturally ordered experimental domain  $\mathcal{D}_X$  is characterized by a continuous quantity if and only if none of the verifiable statements are decidable except for the tautology and contradiction.*

*Proof.* Let  $\mathcal{D}_X$  be a naturally ordered domain for which none of the verifiable statements are decidable except for the tautology and contradiction. Then it is generated by a refinable set of aligned strict references  $R$ . Let  $\mathbf{r}_1 = (\mathbf{b}_1, \neg\mathbf{b}_1 \wedge \neg\mathbf{a}_1, \mathbf{a}_1)$  be a reference aligned with the domain that admits a successor. Then  $\mathbf{a}_1$  is a verifiable statement that is not a contradiction and therefore it is not decidable given the properties of  $\mathcal{D}_X$ . This means there can't be an immediate successor for  $\mathbf{r}_1$ : by 3.31 the before statement of the immediate successor would have to be equivalent to  $\neg\mathbf{a}_1$  which is not verifiable. Therefore if  $\mathbf{r}_3$  is a reference such that  $\mathbf{r}_1 < \mathbf{r}_3$ , we can find yet another reference  $\mathbf{r}_2$  aligned with the domain such that  $\mathbf{r}_1 < \mathbf{r}_2 < \mathbf{r}_3$ . The order on  $R$  is dense and by 3.53 the domain is fully characterized by a continuous quantity.

Conversely, let  $\mathcal{D}_X$  be a domain characterized by a continuous quantity  $(U, \leq, q)$ . Take a verifiable statement  $\mathbf{s} \in \mathcal{D}_X$  that is not a tautology or a contradiction and a possibility  $x_0 \in X$  such that  $x_0 \approx \mathbf{s}$ . Consider the value  $q_0 \in \mathbb{R}$  such that  $q(x_0) = q_0$ . Because  $\mathbf{s}$  is verifiable, it will correspond to a set  $V$  of the topology of the quantity, which by 3.56 is the union of open intervals. Since  $x_0 \approx \mathbf{s}$  then  $q_0 \in V$ . Find the interval  $(q_1, q_2)$  that contains  $q_0$ . We can find  $q_4, q_5 \in (q_1, q_2)$  such that  $q_4 < q_0 < q_5$ . We'll also have  $\hat{q} \in V$  for all  $q_4 < \hat{q} < q_5$ .

<sup>6</sup>Mathematically, this construction corresponds to the Cauchy limits or the Dedekind cuts that one uses to construct the reals. The idea is that experimental domains already have them built into their structure in a way that corresponds better to physical concepts.

That is, for any value that is compatible with  $s$  we can find a contiguous finite interval surrounding the value with all elements compatible with  $s$ .

Consider now the statement  $s$ . Because it is not a tautology or a contradiction, it will not be compatible with at least one possibility and therefore one value in  $U$ . The set  $V$  will contain some interval with at least one finite endpoint  $q_0$ . Since it is the endpoint of an open interval, we cannot find  $q_1 < q_0 < q_2$  such that  $\hat{q} \notin V$  for all  $q_1 < \hat{q} < q_2$ . That is, there is at least one value incompatible with  $s$  that does not admit a finite interval surrounding it with all elements incompatible with  $s$ .

Now consider  $\neg s$ . There will be at least one value compatible with  $\neg s$  that does not admit a finite interval surrounding it that is all compatible with  $\neg s$ . But since this cannot happen for a verifiable statement, then  $\neg s$  is not a verifiable statement and  $s$  is not decidable.  $\square$

The integers and the reals, then, are the only two possible orders defined experimentally that are, in a sense, regular. That is, the order relationships look the same no matter where you are in the order. You have an immediate successor (or not) regardless of what reference you have. You can only put finitely many references (or not) between any two references. For any other ordering, instead, some references will have an immediate successor and some won't. In this sense integers and reals are very special and that is why they are fundamental in physics.

### 3.6 When ordering breaks down

As we have identified the necessary and sufficient conditions to define an order from experimental verification, we can reflect on whether these are achievable in practice or they are idealizations. We need to be able to create references that are strict (before/on/after mutually exclusive), aligned (references can be fully before or after each other) and refinable (overlapping references can be divided into finer sequential ones). Are these always reasonable expectations?

In the case of discrete quantities, they indubitably are. The domain is decidable so the possibilities themselves are verifiable statements. We can actually confirm that “*there are 3 ducks on the table*”. Therefore it is clear that this can be and is achieved.

In the case of continuous quantities, instead, things are a lot more problematic. In some cases, you start with what you think is a continuous quantity but then you realize that it was a discrete one. For example, an amount of water seems continuous but if you keep refining your references you see that it consists of discrete molecules. That is, you can't really go on refining references indefinitely. But that's not the only way the continuous order can break down.

Suppose you want to refine the marks on a ruler over and over, getting more and more precise position measurements. You start with 1 mm thick lines, you reduce them to 0.1 mm and make more of them and so on. At some point you'll reach single molecules or single atoms, but those have spatial extension as well. We can reach fundamental particles, but those too have an extent (i.e. their wavefunctions can overlap). We can imagine using more wavelike features, but the spatial resolution will be linked to higher and higher energies through higher wave-numbers. So it is not clear we continue having ever finer references.

On a similar note, if a reference is, in the end, realized through a set of particles, it stands to reason that if we reduce the number of particles the reference will become finer. If single

particles are the finest reference, we don't have that many choices. But how are we going to be able to tell our references apart, since, for example, all electrons are indistinguishable from each other? How can we place them ever increasingly close to each other so that they don't scatter and switch place?

Moreover, it is not clear how our references can be strict. That is, that the object we measure is always either before, on or after the reference. If the object we are measuring is of smaller extent than our references then we can reasonably pretend they are strict. But if both the references and what we are measuring are single particles, it would seem we have a problem.

It would appear, then, that the conditions for a continuous quantity can never really be ultimately met. And if those conditions can't be met, it's not that we don't have the real numbers: we don't have ordering at all. Strictness, alignment and refinability are requirements for order in general. And if space and time can't be truly given an ordering, other derived quantities, like velocity, acceleration, mass, energy and so on would inherit the problems.

In both cases the real numbers are just an approximation we can make by pretending we can get finer and finer strict and aligned references. This may be contrary to the way many people see the relationship between mathematical and physical objects. Some may feel that the geometric description, with its infinite precision, is the perfect one while the physical one, with the inherent measurement bounds, is the less precise one. Actually, it is quite the opposite: the bounds of a measurement better qualify our description and knowledge while the geometrical description provides a simplified, idealized and therefore less precise account. In other words,  $3.14 \pm 0.005$  is an exact physical description while  $\pi$  is the approximation.

We again stress the fact that the approximation can break down in different ways. It may break down because we reach a finest element or it may break down because we do not have finer, strict and aligned references anymore, which is what we expect to happen for space and time. We'd have a structure where coarse references, at some point, are to a good approximation refinable/strict/aligned and therefore approximately ordered while the really fine ones are not. Note that a lot of physical ideas and mathematical tools rest on the idea that there is a well defined ordering. Causality and deterministic motion require that time is linearly ordered. Differentiation and integration also require the reals to be linearly ordered. All these tools, then, need to be fundamentally reshaped. The general theory, then, is telling us that there is a lot of work that needs to be redone if we are to construct a physical theory that works in those regimes.

### 3.7 Summary

In this chapter we have seen how our general mathematical theory of experimental science handles properties and quantities. These are what we typically use in practice to distinguish between the possible cases and are what we measure experimentally.

Mathematically, each possibility is mapped to an element of a topological space whose verifiable sets correspond to verifiable statements. This construction maps to what happens in manifolds, where the points of the space are in one-to-one correspondence with a suitable Euclidean space. Our construction is more general and works for non-numeric properties as well.

We have seen that quantities are particular types of properties characterized by a linear order. In this case the topology is the order topology given by the linear order, which repre-

sents the ability to experimentally compare two different values and tell which one is greater. The property ordering theorem and the domain ordering theorem give us the necessary and sufficient conditions under which an experimental domain is fully characterized by a quantity.

To construct a system of measurement for a quantity, we saw that all we need is to define a set of references: objects that partition the cases into a before and after. In general, though, references can overlap as they will have some physical extent and may not be aligned. The reference ordering theorem tells us that an ordering on the possibilities emerges only if the references are refinable (we can always break apart overlapping references), aligned (the before and not-after statements are ordered by narrowness) and strict (the value is always either before, on or after the reference).

We defined discrete quantities as the ones that can be associated with integers and continuous quantities as the ones that can be associated with real numbers. Physically, the defining characteristic of the first is that between two references we can only put finitely many references while the defining characteristic of the second is that between two references we can always put infinitely many. Mathematically, the ordering in the second case is automatically complete because experimental domains will already contain all the limits in the form of countable disjunctions.

It is important to note that the requirements for continuous quantities cannot really be physically realized. Continuous quantities, then, should really be thought of as an idealization: the limit of an infinite process of subdivision. To go past the idealization, either we lose the idea of having infinitely many references between two, in which case we revert to discrete quantities, or we lose the ordering altogether.





# Appendix A

## Reference sheets for math and physics

### A.1 Set theory

	Name	Meaning
$A = \{1, 2, 3\}$	set	a collection of elements
$\mathbb{N} = \{0, 1, 2, \dots\}$	natural numbers	the set of numbers one uses to count
$\mathbb{Z} = \{\dots, -1, 0, 1, \dots\}$	integers	the set of all whole numbers
$\mathbb{Q}$	rationals	the set of all fractions
$\mathbb{R}$	reals	the set of numbers with infinite precision
$\mathbb{C}$	complex	the set of numbers that represent a two dimensional vector or rotation
$a \in A$	in	whether the element $a$ is contained in $A$
$A \subseteq B$	subset	a set that only contains elements of the other set
$A \subset B$	proper subset	a set that only contains elements of the other set but not all of them; it is a subset but is not the same set
$A \supseteq B$	superset	a set that contains all elements of the other set
$A \supset B$	proper subset	a set that contains all elements of the other set but not just them; it is a superset but is not the same set
$A \cup B$	union	the set of all elements contained in either sets
$A \cap B$	intersection	the set of all elements contained in both sets
$A \setminus B$	subtraction	the set of elements in $A$ that are not in $B$
$A^C$	complement	the set of all elements that are not in $A$ it is equal to $A \setminus U$ where $U$ is the set of all elements, which depends on context
$A \times B$	Cartesian product	the set of all ordered pairs $(a, b)$ with $a \in A$ and $b \in B$
$2^A$	power set	the set of all possible subsets of $A$

	Name	Meaning
$f : A \rightarrow B$	function	a map that for every element $A$ returns an element of $B$
	injective function	a function that every distinct element of $A$ map that for every element $A$ returns an element of $B$
$B^A$		the set of all possible functions $f : A \rightarrow B$
$C(A, B)$		the set of all continuous functions $f : A \rightarrow B$

# Appendix B

## Exploratory section

### B.1 Side tracks

This chapter lists ideas to be explored further. These are problems left open as they are not critical for the foundation of the work, but are nonetheless interesting. Some of these may very well turn out to be dead ends or not as interesting as they sound.

#### Chapter 1 - can we construct set theory?

One thing to explore is whether the same ideas can be extended to be used as a foundation for set theory. From what I understand, axiomatic set theory is developed to avoid paradoxes: proposition that if true prove their falsehood. For example: (Russel's paradox) does the set of all sets that do not contain themselves contain itself? Or (Berry's paradox) the set of all natural numbers that can be described in fewer than twenty words of the English language, which leads to "the least natural number that cannot be described in fewer than twenty words of the English language", which we used less than twenty words to describe.

The way this is framed is that one needs to avoid the ability to construct predicates that allow to construct paradoxes. It uses two basic strategy. The first is to constrain statements to only ones formed with a formal language, which avoids the paradoxes of the semantic kind. The downside is that all "real objects" are thrown out. The second strategy is to divide elements (things that can be contained) from classes (things that can contain things). Since the machinery we introduced puts at the same level "logical" relationships (i.e.  $s$  and  $\neg s$  can't both be true) with "semantic" relationships (i.e. "*this is a dog*" and "*this is a cat*" can't both be true) maybe it can be used to solve both problems at the same time, without sacrificing the semantic.

One possible point of attack. We start with statements, like is done in this work, that are primary undefined objects. The formal operations do not "construct" sentences, but simply pick statements from the universal set of statements. And since all statements have a well defined truth value, they can't be paradoxes. The basic axioms, then, enumerate all the rules one has to follow to construct statements from statements (i.e. that do not generate paradoxes). An object could simply be a set of statements (i.e. a particular chair is everything we can say about a chair, the number one is all the statements that we can say about it). A set, in particular, would be the set of statements that say what objects it contains. A predicate would be a function that given an element would give a statement.

There may be a certain amount of circular reference in the basic definitions, but this does

not seem to be a problem for computer languages.

### Chapter 1 - homogeneity of an experimental domain

Probably an important property a domain can have is whether all possibilities can be equally verified. That is, the “extra-terrestrial life” domain is not homogeneous because one possibility can be verified while the other cannot. While when we measure space, each point is equally verifiable from the other. It is not clear how this intuitive notion should be formalized, but it should have consequences. For example, it should give us a complete domain (since the residual possibility is not verifiable) and most likely it will give us approximately verifiable possibilities. In fact, it may coincide with approximately verifiable possibilities.

It could be something like: suppose  $s_a \preceq s$  and  $s_b \preceq s$ . If the domain is homogeneous then for each  $s_a \preceq s_1 \preceq s$  we can find a  $s_a \preceq s_2 \preceq s$ . If there is a verifiable statement in the middle in one case, there is a verifiable statement in the middle in the other case as well.

### Chapter 2 - predictive relationships

Another way to characterize experimental relationships should be in terms of predictions. That is, we give a prediction (i.e. a theoretical statement) for the independent domain and this should correspond to the best prediction (i.e. theoretical statement) for the dependent domain. The idea is to find the correct mathematical representation for this.

A possible approach. Let  $\mathcal{D}_X$  and  $\mathcal{D}_Y$  be two experimental domains and  $\bar{\mathcal{D}}_X$  and  $\bar{\mathcal{D}}_Y$  their respective theoretical domains. Let them be experimentally consistent, such that if a theoretical statement is in both domains, then it is verifiable for both or for neither. Suppose that a prediction for  $\mathcal{D}_X$  gives us a prediction for  $\mathcal{D}_Y$ . That is, we are given a function  $\pi : \bar{\mathcal{D}}_X \rightarrow \bar{\mathcal{D}}_Y$  such that  $\pi(\bar{\mathcal{D}}_X) = \bar{\mathcal{D}}_Y$  and given  $s_X \in \bar{\mathcal{D}}_X$  and  $s_Y \in \bar{\mathcal{D}}_Y$  such that  $s_X \preceq s_Y$  we always have  $s_X \preceq \pi(s_X) \preceq s_Y$ . Under these assumption, can we prove that  $\pi$  is a projection and that  $\mathcal{D}_Y \subseteq \mathcal{D}_X$ ?

### Chapter 2 - structure preserving maps and experimental relationships

Theorem 2.11 shows the connection between domain equivalence and isomorphism. Is there a way to have a similar conclusion for domain dependence and homomorphism?

### Chapter 2 - defining structures on experimental domain instead of possibilities

Some mathematical structures are defined on the points (i.e. vector spaces, ordering) and others on  $\sigma$ -algebra. In our context, verifiable statements are the only elements that are actually physical, therefore it would be nice to always define the structures on the experimental domain and show that it induces a unique structure on the theoretical statements and possibilities (and vice-versa).

For example, we could define a partial ordering on the finite precision statements, and show that, under suitable conditions, it gives us an ordering on the possibilities.

### Chapter 2 - space of possible combined domains

It should be possible to better characterize the space of all possible combined domains. As we show that the space of the possible experimental relationships is the space of continuous functions, there should be an analogue for the space of all possible combined domains. For example, one should be able to show that the combined domain is an immersion within the

product topology. Is that the only constraint? How can that be characterized? Can we create an experimental domain to distinguish them?

### Chapter 2 - continuous quantities

It should be possible to define the requirement for arbitrary precision more abstractly, and therefore more generally. The general idea should be that if we have arbitrary precision it would mean that for each verifiable statement one can always find a set of narrower statements whose disjunction is the original statement. With the correct starting point one should then be able to arbitrarily assign pairs rational numbers to the bounds.

It may be necessary to require an ordering. This could be defined on the verifiable statements themselves. The idea would be to keep the basis of contiguous intervals. And then assign a partial ordering to those elements that are incompatible, which means they do not overlap. That is: if we have two contiguous intervals that do not overlap we can tell which is before the other.

### Chapter 2 - limited precision

What type of space we have once we recognize that precision can't be arbitrary? How is it different from the continuous case? There are a few issues that are already identified.

The limited precision case can't simply lead to a discrete topology. The standard topology of the real is not the limit of the integer topology since it is not discrete. Most likely, the limited precision case will need to have uncountable possibilities so that the limit to arbitrary precision can work well.

The main cause of confusion is that, in the continuous case, overlapping of the precision and compatibility of the statements is the same. So "position is between 0 and 1 meters" and "position is between 2 and 3 meters" are both incompatible and not overlapping. This cannot be the case for limited precision. The possibilities themselves must be incompatible with each other but some of them must overlap. That is, suppose that 1 unit is the precision limit, the statements "the position is between 0 and 1" and "the position is between 0.5 and 1.5" are incompatible because if we measure one we cannot measure the other. If we could measure them both, we would measure at a smaller precision. So, overlapping cannot be defined in terms of incompatibility.

We can define overlapping in terms of precision: suppose we have following arbitrary precision statements.

$$\begin{aligned} s_1 &= \text{"the position is between 0 and 1 meter"} \\ s_2 &= \text{"the position is between 0.5 and 1.5 meter"} \\ s_3 &= \text{"the position is between 2 and 3 meter"} \end{aligned}$$

The precision associated to all statements will be one meter. The precision for  $s_1 \vee s_2$  will be one meter and a half while the precision for  $s_1 \vee s_3$  will be two meters. That is: the precision for non overlapping statements sums. In the limited precision case, we can still recognize if two statement are not overlapping by checking the precision of the disjunction. If it simply sums, they do not overlap.

This has far reaching consequences. The measure associated to the real numbers is really the precision. Since we are saying that two incompatible statements are not necessarily non overlapping, we are saying that the "measure" we define for limited precision does not sum if

the open sets are disjoint. That is: it is not a measure mathematically. This means that many concepts built on top of the measure (e.g. probability, integration) may need to be revisited as well.

## B.2 Main track

This chapter includes partially developed ideas toward the main goal of the work. Some of these may very well turn out to be dead ends.

### Sequences/probability

One thing we'll need to recover/justify is probability theory. We already have sigma algebras, so we are not that far. One possible idea is to link convergence of a sequence of truth values with the value of probability.

Here's a possible approach. A source is a sequence of truth values  $s = \{t_i\}$ . Two sources  $s = \{t_i\}$  and  $s = \{u_i\}$  are similar  $s_1 \sim s_2$  if given  $\epsilon > 0$  we can find an  $N \in \mathbb{N}$  such that for all  $a, b > N$  we have  $\left| \frac{1}{a} \sum_{i=1}^a t_i - \frac{1}{b} \sum_{i=1}^b u_i \right| < \epsilon$ . That is, at some point the number of truths from one is close to the number of truths of the other. A source is stable if it self-similar  $s \sim s$ . The average of a stable source converges as it satisfies the Cauchy condition. That average we call the probability.

This means that if we have a sequence of experimental domains, all constructed the same, we can create a sequence for each verifiable statement and assign a probability to all. Then we can prove that the probability is a measure and it sums to 1.

### Thermodynamics

At some point we'll have to recover/justify thermodynamics. The idea is to study non-deterministic evolution, as opposed to deterministic/reversible evolution which gives Hamiltonian mechanics. We still have a reducible system, so the state is a distribution over the state of the parts. Deterministic and reversible processes conserve information entropy. Non-deterministic processes increase information entropy. Non-reversible processes decrease information entropy. Deterministic and reversible processes lead to Hamiltonian mechanics. Non-deterministic processes lead to max entropy.

Suppose you have a non-deterministic process. Either because it is influenced by an external system or because of unknown internal degrees of freedom. Since the evolution is non-deterministic, information entropy increases.

Suppose you have a constraint, i.e. conservation of energy. The constraints limits what states can be explored by the system. The non-deterministic evolution will push the state to the ones that have higher information entropy. If the constraint bounds the maximum information entropy to a finite value, the system will tend to those states.

Suppose the constraint is such that there is a unique state that corresponds to the maximum entropy. Then the non-deterministic process will push the system into that state, no matter what the process is. That is: the constraint is enough to determine the final state. We call this state equilibrium.

Suppose the only constraint on the system is the total energy (how do we justify this?). Suppose for each value of energy there is an equilibrium state. Then the entropy  $I = I(E)$  is a function of  $E$ .

Suppose two systems come into contact. The entropy of each one constraint by its total energy.  $I_1 = I_1(E_1)$  and  $I_2 = I_2(E_2)$ . The entropy sums  $I = I_1 + I_2$  if the systems are independent degrees of freedom. The energy sums  $E = E_1 + E_2$  (why? under what conditions the Hamiltonian of the whole is the sum of the Hamiltonian of the parts?). Suppose the system undergoes non-deterministic evolution and they can exchange energy.  $I$  is maximized under the constraint of the total energy. The equilibrium is reached when  $\frac{\partial I}{\partial E_1} = 0$  and  $\frac{\partial I}{\partial E_2} = 0$  on the constraint. That is:  $\frac{\partial I}{\partial E_1} = \frac{\partial I_1}{\partial E_1} + \frac{\partial I_2}{\partial E_2} \frac{\partial E_2}{\partial E_1} = \frac{\partial I_1}{\partial E_1} - \frac{\partial I_2}{\partial E_2} = 0$ . We have  $\frac{\partial I_1}{\partial E_1} = \frac{\partial I_2}{\partial E_2}$ .

Define temperature  $\frac{1}{T_1} = \frac{\partial I_1}{\partial E_1}$ : at equilibrium the two systems have the same temperature. Note that temperature cannot be zero since the information entropy at equilibrium is a function of the energy, and not the other way around.

### Classical mechanics on 2n+1

The one dimensional case works with differential calculus. We have  $\eta^a = \{q, p, t\}$ ,  $S^a = \{\frac{dq}{dt}, \frac{dp}{dt}, \frac{dt}{dt}\}$  and  $\theta_a = \{p, 0, -H\}$ . The equations are  $S = -curl(\theta)$  and  $L = \theta \cdot S$ . In fact,  $curl(\theta) = \{\partial_p \theta_t - \partial_t \theta_p, \partial_t \theta_q - \partial_q \theta_t, \partial_q \theta_p - \partial_p \theta_q\} = \{-\partial_p H, \partial_q H, 1\}$  and  $L = \frac{dq}{dt} p - \frac{dt}{dt} H$ .

To generalize,  $\eta^a = \{q^i, p_i, t\}$ ,  $S^a = \{\frac{dq^i}{dt}, \frac{dp_i}{dt}, \frac{dt}{dt}\}$  and  $\theta_a = \{p_i, 0, -H\}$ . The Lagrangian is still  $L = \theta \cdot S = S^a \theta_a = \frac{dq^i}{dt} p_i - \frac{dt}{dt} H$ . Equation should be  $d\theta(S, \cdot) = 0$ . In fact,  $d\theta = \partial_a \theta_b e^a \wedge e^b = (\partial_a \theta_b - \partial_b \theta_a) e^a \otimes e^b$ .

$$(d\theta)_{ab} = \begin{bmatrix} 0 & \delta_i^j & -\partial_{q^i} H \\ -\delta_j^i & 0 & -\partial_{p_i} H \\ \partial_{q^j} H & \partial_{p_j} H & 0 \end{bmatrix}$$

We find

$$\begin{aligned} S^a (d\theta)_{ab} e^b &= 0 \\ &= (S^{q^i} (d\theta)_{q^i b} + S^{p_i} (d\theta)_{p_i b} + S^t (d\theta)_{tb}) e^b \\ &= (S^{q^i} (d\theta)_{q^i q^j} + S^{p_i} (d\theta)_{p_i q^j} + S^t (d\theta)_{t q^j}) e^{q^j} + \\ &\quad (S^{q^i} (d\theta)_{q^i p_j} + S^{p_i} (d\theta)_{p_i p_j} + S^t (d\theta)_{t p_j}) e^{p_j} + \\ &\quad (S^{q^i} (d\theta)_{q^i t} + S^{p_i} (d\theta)_{p_i t} + S^t (d\theta)_{tt}) e^t \\ &= (-S^{p_i} \delta_j^i + S^t \partial_{q^j} H) e^{q^j} + \\ &\quad (S^{q^i} \delta_i^j + S^t \partial_{p_j} H) e^{p_j} + \\ &\quad (-S^{q^i} \partial_{q^i} H - S^{p_i} \partial_{p_i} H) e^t \\ S^{p_j} &= S^t \partial_{q^j} H \\ S^{q^j} &= -S^t \partial_{p_j} H \\ -S^{q^i} \partial_{q^i} H - S^{p_i} \partial_{p_i} H &= S^t \partial_{p_i} H \partial_{q^i} H - S^t \partial_{q^i} H \partial_{p_i} H = 0 \end{aligned}$$

### Closed two form for Hamiltonian mechanics

We have to better understand why the two form  $\omega$  has to be closed. One idea: consider  $\frac{\omega^n}{n!}$ . This gives us the volume in phase space. It's a  $2n$ -form in a  $2n$ -dimensional space. It's

exterior derivative  $\frac{\partial \omega^n}{n!} = 0$  because its rank exceeds the dimensionality of the space. We have  $\frac{\partial \omega^n}{n!} = \frac{\omega^{n-1}}{(n-1)!} \wedge \partial \omega = 0$ . Since  $\omega$  cannot be degenerate,  $\partial \omega$  must be zero. Does that work?

### Better notation for differential calculus

To really understand/derive the correct physical relationships we'll need to use differential geometry. The standard vector calculus does not generalize and does not lead to the correct way of thinking. The notation used in differential geometry (for vectors and covectors) and their definitions (i.e. space of derivations, space of real functions of derivations) makes no physical sense. We need to define better concepts and notation that fit the physics better.

In terms of concepts, the main idea is to start from the integral operators and see the differential operators as the limit of recursive subdivisions. This is the opposite of what is typically done, but is what is closer to the physics. That is: mass over a finite region is what we measure and the density is the limit for which we make the region shrink. Same thing for a vector: a finite curve is what we have and the idea that we can divide it into infinitesimal parts, each described by a vector, is a limit. Since we start from a different point, some theorems get reversed.

For example, given an  $n$ -dimensional space, we will have a function  $F$  from an  $m$ -dimensional sub-space to a real number. These functions are going to be linear, in the sense that if the function applied to the union of disjoint region is equal to the sum of the function of the individual regions:  $F(\cup X_i) = \sum F(X_i)$  if  $X_i \cap X_j = \emptyset$ . So, for example,  $F(\emptyset) = F(\emptyset \cup \emptyset) = F(\emptyset) + F(\emptyset) = 0$  always. We want to be able to show that  $F = \int f(dx^m)$  where  $f$  is an  $m$ -form. Stokes theorem should be reversed. That is, we start by saying that if we have a function on  $m$ -dimensional subspace we can always create one that is  $m + 1$  dimensional by applying the  $m$  dimensional to the boundary. That is  $F_{(m+1)}(U) = F_{(m)}(\partial U)$ . We then show/define the corresponding  $f_{(m+1)}$  as the exterior derivative of  $f_m$ . Already we have  $F_{(m+2)}(U) = F_{(m+1)}(\partial U) = F_{(m)}(\partial \partial U) = F_{(m+2)}(\emptyset) = 0$  since a boundary has no boundary. So double exterior derivative is the zero form. This is the generalization of  $\text{curl}(\text{grad}) = 0$  and  $\text{div}(\text{curl}) = 0$ .

Here is a first stab at the notation.

Exterior product:  $\theta_1 \wedge \theta_2$ . Anti-symmetric: rotating 180 degrees is the same as reflection.

Base vector along  $i$ -th component:  $e_i$ . Generic form for vector:  $v = v^i e_i$ .

Base one-form for  $i$ -th component:  $e^i$ . Base one-form returns one if the base vector is along the same component. Zero otherwise.  $e^i(e_j) = \delta_j^i$ . Generic form for one-form:  $\theta = \theta_i e^i$ .

Generic one form applied to generic vector:  $\theta(v) = \theta_i e^i(v) = \theta_i v^j e^i(e_j) = \theta_i v^j \delta_j^i = \theta_i v^i$ .

Generic form for n-form:  $\theta = \theta_{i_1 \dots i_n} e^{i_1} \wedge \dots \wedge e^{i_n}$ .

Exterior derivative:  $\partial \theta = \partial_{i_1} \theta_{i_2 \dots i_{n+1}} e^{i_1} \wedge \dots \wedge e^{i_{n+1}}$ .  $\partial \partial \theta = \partial_{i_1} \partial_{i_2} \theta_{i_3 \dots i_{n+1}} e^{i_1} \wedge e^{i_2} \wedge e^{i_3} \wedge \dots \wedge e^{i_{n+1}} = -\partial_{i_1} \partial_{i_2} \theta_{i_3 \dots i_{n+1}} e^{i_2} \wedge e^{i_1} \wedge e^{i_3} \wedge \dots \wedge e^{i_{n+1}} = 0$ .

In three dimensions. Exterior derivative of zero form  $f$ :  $\partial f = \partial_x f e^x + \partial_y f e^y + \partial_z f e^z$ . Exterior derivative of one-form  $\theta$ :  $\partial \theta = \partial_x \theta_x e^x \wedge e^x + \partial_y \theta_x e^y \wedge e^x + \partial_z \theta_x e^z \wedge e^x + \partial_x \theta_y e^x \wedge e^y + \partial_y \theta_y e^y \wedge e^y + \partial_z \theta_y e^z \wedge e^y + \partial_x \theta_z e^x \wedge e^z + \partial_y \theta_z e^y \wedge e^z + \partial_z \theta_z e^z \wedge e^z = (\partial_x \theta_y - \partial_y \theta_x) e^x \wedge e^y + (\partial_y \theta_z - \partial_z \theta_y) e^y \wedge e^z + (\partial_z \theta_x - \partial_x \theta_z) e^z \wedge e^x$ . Exterior derivative of two-form  $\omega$ :  $\partial \theta = \partial_x \theta_{yz} e^x \wedge e^y \wedge e^z + \partial_y \theta_{zx} e^y \wedge e^z \wedge e^x + \partial_z \theta_{xy} e^z \wedge e^x \wedge e^y = (\partial_x \theta_{yz} + \partial_y \theta_{zx} + \partial_z \theta_{xy}) e^x \wedge e^y \wedge e^z$ .

Connection between axial vector and two-form:  $B^x = \omega_{yz}, B^y = \omega_{zx}, B^z = \omega_{xy}$ .

Another reason why we should not use  $df$  for exterior derivative.  $f$  can be consider a point in a function space or a function from the  $X$  to  $\mathbb{R}$ . We can take  $df$  to be the differential (i.e.



infinitesimal change) of the function in the function space while  $\partial f$  the exterior derivative. Using  $df$  as the exterior derivative would be misleading.

### Angular momentum and spin

To derive the Dirac equation, we need to have a good way to motivate spin. The idea is to say that spin is the degree of freedom that captures a direction in space. Many things work, but there are a number of open issues which makes it unclear (for example) how to make it relativistic.

First, what works. Suppose you have one d.o.f. for spatial direction. Degrees of freedom must be two dimensional. This means that we can only use two variables to specify a direction. This means the space is three dimensional.

Next, we need to find conjugate variable. Consider the solid angle  $d\Omega = \sin \varphi_z d\varphi_z d\theta_{xy}$  where  $\varphi_z$  is the azimuthal angle. This is a quantity that is dimensionless and that is proportional to the number of possible directions. Therefore we can use it as a measure for the number of degrees of freedom. We can use the two form for our space as  $\omega(d\theta_{xy}, d\varphi_z) = \hbar d\Omega = \hbar \sin \varphi_z d\varphi_z d\theta_{xy} = d(-\hbar \cos \varphi_z) \wedge d\theta_{xy} = d\theta_{xy} \wedge d(\hbar \cos \varphi_z)$ . Set  $S_z = \hbar \cos \varphi_z$  the component of the normalized vector multiplied by  $\hbar$ . We have that  $\omega(d\theta_{xy}, dS_z) = d\theta_{xy} \wedge dS_z$  therefore  $\theta_{xy}$  and  $S_z$  are conjugate variables.

The question is: how do those two quantities change under coordinate transformations?

A simple answer should be: they should change like angular momentum. So we study that change and we should be fine. Except that in phase space angular momentum does not really change like a vector or a covector.

Suppose we have  $(q^x, q^y, q^z)$  and  $(p_x, p_y, p_z)$ . Note that we are assuming Cartesian, so we already have limited the applicability. Change to spherical coordinates  $(r, \theta, \varphi) = (\sqrt{(q^x)^2 + (q^y)^2 + (q^z)^2}, \arccos \frac{z}{r}, \arctan \frac{y}{x})$ . Conjugate momentum becomes:

$$\begin{aligned}
q^x &= r \sin \varphi \cos \theta \\
q^y &= r \sin \varphi \sin \theta \\
q^z &= r \cos \varphi \\
p_r &= \frac{\partial q^x}{\partial r} p_x + \frac{\partial q^y}{\partial r} p_y + \frac{\partial q^z}{\partial r} p_z \\
&= \frac{1}{\sqrt{(q^x)^2 + (q^y)^2 + (q^z)^2}} (q^x p_x + q^y p_y + q^z p_z) \\
p_\theta &= \frac{\partial q^x}{\partial \theta} p_x + \frac{\partial q^y}{\partial \theta} p_y + \frac{\partial q^z}{\partial \theta} p_z \\
&= -r \sin \varphi \sin \theta p_x + r \sin \varphi \cos \theta p_y + 0 p_z \\
&= -q^y p_x + q^x p_y \\
p_\varphi &= \frac{\partial q^x}{\partial \varphi} p_x + \frac{\partial q^y}{\partial \varphi} p_y + \frac{\partial q^z}{\partial \varphi} p_z \\
&= r \cos \varphi \cos \theta p_x + r \cos \varphi \sin \theta p_y - r \sin \varphi p_z \\
&= q^z \frac{q^x}{\sqrt{(q^x)^2 + (q^y)^2}} p_x + q^z \frac{q^y}{\sqrt{(q^x)^2 + (q^y)^2}} p_y - \sqrt{(q^x)^2 + (q^y)^2} p_z
\end{aligned}$$

The conjugate of  $\theta$  is angular momentum. The first problem is that here angular momentum is simply a component within a vector. The other components of the vector are not angular momentum. The second problem is that  $q^x p_y - q^y p_x$  is neither invariant, covariant or contravariant. This is radically different from  $xv^y - yv^x$  where we use velocity instead of momentum. This has better transformation rules but velocity and momentum are not the same. This is not going to help us understand how spin should transform. The last problem is that we could create an "angular-momentum of angular momentum". That is, we can make the spherical coordinate of the spherical coordinates and we'd have  $rp_\theta - \theta p_r$ . Is that an angular momentum?

The issue seems to be that angular momentum is only vaguely related to actual momentum. The best way to look at it should be: I have a group of generators for rotations along different directions. How do they combine? So, I have a rotation along the  $x$  axis and a rotation along the  $y$  axis: how do they compose? But again, seems somewhat ill defined. These are going to be global rotations on the whole space. What group of rotation is possible depends on the topology of the space. For example, on a sphere a rotation along the pole is the same as a translation along the equator. How can this all be constructed in a way that does not lead into contradictions?

This may have already the math worked out:

[https://www.researchgate.net/publication/299636714\\_Kinematical\\_Theory\\_of\\_Elementary\\_Spinning\\_Particles\\_Lecture\\_Notes](https://www.researchgate.net/publication/299636714_Kinematical_Theory_of_Elementary_Spinning_Particles_Lecture_Notes)

### General relativity for particles

The assumption of kinematic equivalence already gives us relativistic Hamiltonians. Does it also give us a relationship between the curvature and the forces acting on the particles?

The setup is the following. Suppose we have two vectors in the extended phase space  $d\xi^a = [dq^\alpha, 0]$  and  $d\nu^a = [0, dp_\alpha]$ . Using the symplectic form we have the invariant  $d\xi^a \omega_{ab} d\nu^b = dq^\alpha dp_\alpha$ . Under the kinematic assumption we have  $dq^\alpha = dx^\alpha dp_\alpha = mg_{\alpha\beta} du^\beta + qA_\alpha$ . We have  $d\xi^a \omega_{ab} d\nu^b = dx^\alpha g_{\alpha\beta} du^\beta$ .

Since the two terms have to match at each point and the symplectic form has the same components at each point, can we constrain the change of the components of  $g_{\alpha\beta}$ ? The general idea would be that components of  $g_{\alpha\beta}$  may have to change in space/time coordinately with  $A_\alpha$  as to make  $d\xi^a \omega_{ab} d\nu^b = dq^\alpha dp_\alpha$  remain the same. Note that derivatives in  $q^\alpha$  are taken at constant  $p_\alpha$  while derivatives in  $x^\alpha$  are taking at constant  $u^\alpha$ .

# Credits

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